

## Form ADV Part 2B – Brochure Supplement

for

**Christopher W. Bordner**  
**Chief Executive Officer, Chief Compliance Officer, Principal, and**  
**Wealth Manager**

**Effective: March 22, 2024**

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Christopher W. Bordner (CRD# 4051484) in addition to the information contained in the Synergy Investment Management, LLC ("Synergy" or the "Advisor", CRD# 305961) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Synergy Disclosure Brochure or this Brochure Supplement, please contact us at (321) 203-4458.

Additional information about Mr. Bordner is available on the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 4051484.

## Item 2 – Educational Background and Business Experience

Christopher W. Bordner, born in 1969, is dedicated to advising Clients of Synergy as the Chief Executive Officer, Chief Compliance Officer, Principal, and Wealth Manager. Mr. Bordner earned a Bachelor's Degree in Electrical Engineering from the University of Central Florida in 1991. Additional information regarding Mr. Bordner's employment history is included below.

### Employment History:

Chief Executive Officer, Chief Compliance Officer, Principal, and Wealth Manager, Synergy Investment Management, LLC dba Synergy Wealth Alliance	04/2021 to Present
Registered Representative, LPL Financial, LLC	12/2019 to Present
Registered Representative, Cetera Advisors, LLC	03/2014 to 11/2019

## Item 3 – Disciplinary Information

***There are no legal, civil or disciplinary events to disclose regarding Mr. Bordner.*** Mr. Bordner has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Bordner.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Bordner.***

However, we do encourage you to independently view the background of Mr. Bordner on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 4051484.

## Item 4 – Other Business Activities

### Broker-Dealer Affiliation

Mr. Bordner is also a registered representative of LPL Financial, LLC ("LPL Financial"). LPL Financial is a registered broker-dealer (CRD# 6413) member FINRA, SIPC. In Mr. Bordner's separate capacity as a registered representative, Mr. Bordner will receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Bordner. Neither the Advisor nor Mr. Bordner will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Bordner's separate capacity as a registered representative. Mr. Bordner spends approximately 90% of his time per month in his role as a registered representative of LPL Financial.

### Synergy Insurance Partners, LLC

Mr. Bordner is also a licensed insurance professional and the Managing Partner and Principal of Synergy Insurance Partners, LLC ("Synergy Insurance Partners"), an insurance agency under common control with the Advisor. Implementations of insurance recommendations are separate and apart from Mr. Bordner's role with Synergy. As an insurance professional, Mr. Bordner and Synergy Insurance Partners will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Bordner is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Bordner, Synergy Insurance Partners, or the Advisor. Mr. Bordner spends approximately 10% of his time per month in this capacity.

### Board Chair

Mr. Bordner also serves as a Board Chair for AdventHealth University. Mr. Bordner spends approximately 3 hours per month in this capacity.

#### Chief Executive Officer and Wealth Manager

Mr. Bordner also serves as the Chief Executive Officer and Wealth Manager of Synergy Wealth Alliance, LLC. Mr. Bordner spends approximately 150 hours per month in this capacity.

#### Manager

Mr. Bordner also serves as the Manager of CB Properties and Investments, LLC. Mr. Bordner spends approximately 1 hour per month in this capacity.

#### Managing Partner

Mr. Bordner also serves as a Managing Partner of BTA Holdings, PLLC. Mr. Bordner spends approximately 2 hours per month in this capacity.

#### Board Member

Mr. Bordner also serves as a Board Member of Bouchelle CSA. Mr. Bordner spends approximately 1 hour per month in this capacity.

#### Synergy Wealth Alliance

Mr. Bordner is a registered representative under Synergy Wealth Alliance, LLC, the dba entity for LPL Financial business. Mr. Bordner spends approximately 90% of his time per month in this capacity.

### **Item 5 – Additional Compensation**

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Mr. Bordner has additional business activities where compensation is received that are detailed in Item 4 above.

### **Item 6 – Supervision**

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Mr. Bordner serves as the Chief Compliance Officer, Chief Executive Officer, Principal, and Wealth Manager of Synergy. Mr. Bordner can be reached at (321) 203-4458.

Synergy has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Synergy. Further, Synergy is subject to regulatory oversight by various agencies. These agencies require registration by Synergy and its Supervised Persons. As a registered entity, Synergy is subject to examinations by regulators, which may be announced or unannounced. Synergy is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.