



Efficient Goal-Based Solutions

Disclosure Brochure - The Moore Team

80 General Breckinridge Lane,
Beechgrove, TN 37018
(615) 504-7045

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This brochure supplement provides information about the members of PlanRock Wealth Management, LLC senior management (the “Supervised Persons”) and supplements the PlanRock Wealth Management, LLC disclosure brochure. You should have received a copy of the PlanRock Wealth Management, LLC disclosure brochure. Please contact Support at 800-677-6025 if you did not receive PlanRock Wealth Management, LLC's disclosure brochure or if you have any questions about the contents of this supplement. Additional information each of the Supervised Persons is available on the SEC’s website at www.adviserinfo.sec.gov.

General Information and Definitions

PlanRock Wealth Management, LLC (“PlanRock”) is registered as an investment adviser and offers clients a variety of investment advisory and portfolio development services. The general advisory services provided by PlanRock are described in more detail in PlanRock’s Form ADV Part 2 Disclosure Brochure (the “PlanRock General Disclosure Brochure”).

Please contact us (800) 677-6025 if you did not receive the PlanRock Disclosure or if you have any questions about the contents of this supplement. PlanRock’s registration as an investment adviser does not imply any level of skill or training.

LISTING OF ADVISORS COVERED IN THIS SUPPLEMENT

David J. Moore

Angela K. Moore

David Moore, CFP®

Advisor

Address: 80 General Breckinridge Lane, Beechgrove, TN 37018

Phone Number: (615) 504-7045

Educational Background and Business Experience

Full Legal Name: David Joe Moore **Born:** 1952

Education

- Ohio State University, Lima, OH; Studied Business and Criminal Law 1970-1972
- College for Financial Planning, Denver, CO 1983-1986

Business Experience

- PlanRock Wealth Management, LLC; Advisor; Brentwood, TN; 03/2021 to Present
- Timothy Partners, LTD; Registered Rep; Maitland, FL; 02/2019
- Compass Advisory Partners LLC; Investment Adviser Rep; Beechgrove, TN ; 05/2020 to 03/2021
- FSC Securities Corp; Registered Rep; Cookeville, TN; 05/2020 to 03/2021
- Sabbatical; Brentwood, TN; 05/2018 to 05/2020
- Victory Capital Management; Chief Investment Officer/Chief Portfolio Strategist; Brentwood, TN; 5/1/2015 to 6/30/2019
- Compass Efficient Model Portfolios (Compass EMP Funds); Chief Investment Officer; Brentwood, TN; President/CEO; 3/15/2003 to 4/30/2015
- Compass Advisory Group; FSC Securities Corp Registered Rep; Brentwood, TN; 1996-2011

Disciplinary Information

David Moore has no legal or disciplinary events to report.

Other Business Activities

As noted below, in addition to representing PlanRock, Mr. Moore from time-to-time act in other business activities as described below.

Investment-Related Activities

In addition to his role as an investment advisor representative of PlanRock LLC, Mr. Moore is engaged in an investment related activity, representing Timothy Partners as a Sr. ETF Specialist.

He does not spend a significant about of time in this business, approximately one (1) hour per month during trading hours.

Non-Investment-Related Activities

In addition to the above described, Mr. Moore is engaged, actively, in business activities outside of his activities and responsibilities at PlanRock Wealth Management, LLC. Mr. Moore is a managing member of Tailwinds Aviation, LLC which is an aircraft holding company. Mr. Moore has been involved in this

business since 2007.

Mr. Moore formed Compass World Outreach, a 501C3 ministry, Mr. Moore is the CEO. Mr. Moore has been involved in this business since 2005.

He does not spend a significant amount of time in these businesses, less than 1 hour per month.

Additional Compensation

Mr. Moore receives compensation from PlanRock LLC as an Advisor of PlanRock. Mr. Moore's compensation will take into account the profitability of PlanRock; as a result, will take into account the fees and other income received over time by PlanRock in connection with services provided to PlanRock clients. Further, Mr. Moore has a financial incentive to promote PlanRock services. Mr. Moore may receive referral or other compensation in connection with services provided to PlanRock.

Supervision

PlanRock has established policies and procedures (in the "Compliance Manual") to govern the activities of all supervised persons, including Mr. Moore. Additionally, all PlanRock supervised persons engaged in investment advisory activities, including Mr. Moore, are subject to PlanRock's Code of Ethics that establishes policies and procedure to address potential conflicts of interest that may arise in connection with the provision of investment advisory services to Clients. Additional information concerning PlanRock's Code of Ethics is contained in the PlanRock Disclosure Brochure.

As an Investment Advisor of PlanRock Wealth Management, LLC, David Moore's activities are limitedly supervised by Stephen Hammers as the COO.

Angela Moore

Advisor

Address: 80 General Breckinridge Lane, Beechgrove, TN 37018

Phone Number: (615) 504-7045

Educational Background and Business Experience

Full Legal Name: Angela Kay Moore **Born:** 1974

Education

- University of Ohio; Studied at University of Findlay; 2016

Business Experience

- PlanRock Wealth Management, LLC; Investment Advisor; Brentwood, TN; 03/2021 to Present
- Compass Advisory Partners LLC; Assistant; Beechgrove, TN ; 05/2020 to 03/2021
- Sabbatical; Brentwood, TN; 06/2018 to 05/2020
- University of Findlay; Campus Visit Coordinator; Findlay, OH; 03/2015 to 6/2018
- Calvary Baptist Church; Main Office Secretary; Findlay, OH; 03/2015 to 6/2018

Disciplinary Information

Angela Moore has no legal or disciplinary events to report.

Other Business Activities

Investment-Related Activities

Mrs. Moore is not actively engaged in any other investment-related business.

Non-Investment-Related Activities

Mrs. Moore is not actively engaged in any non-investment-related business.

Additional Compensation

Mrs. Moore receives compensation from PlanRock LLC as an Advisor of PlanRock. Mrs. Moore's compensation will take into account the profitability of PlanRock; as a result, will take into account the fees and other income received over time by PlanRock in connection with services provided to PlanRock clients. Further, Mrs. Moore has a financial incentive to promote PlanRock services. Mrs. Moore may receive referral or other compensation in connection with services provided to PlanRock.

Supervision

PlanRock has established policies and procedures (in the "Compliance Manual") to govern the activities of all supervised persons, including Mrs. Moore. Additionally, all PlanRock supervised persons engaged in investment advisory activities, including Mrs. Moore, are subject to PlanRock's Code of Ethics that establishes policies and procedure to address potential conflicts of interest that may arise in connection with the provision of investment advisory services to Clients. Additional information concerning PlanRock's Code of Ethics is contained in the PlanRock Disclosure Brochure.

As an Investment Advisor of PlanRock Wealth Management, LLC, Angela Moore's activities are limitedly supervised by Stephen Hammers as the COO.