



## **Karsten Jon Caviezel**

3312 Rosedale Street, Suite 201  
Gig Harbor, WA 98335  
(253)948-3723

## **Lighthouse Wealth Strategies**

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This Brochure Supplement provides information about Karsten Jon Caviezel that supplements the Lighthouse Wealth Strategies Brochure. You should have received a copy of the Brochure. Please contact Kim Nordi, Chief Compliance Officer, if you did not receive Lighthouse Wealth Strategies' Brochure or if you have any questions about the contents of this supplement.

Additional information about Karsten Jon Caviezel (CRD# 2841071) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as the CRD number listed above.



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## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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### **Karsten Jon Caviezel**

Born: 1976

Post-Secondary Education:

- University of Washington BA Economics 1995-1999
- Successfully passed Series 7, 9, 10, 31, 66 and SIE Exams

Recent Business Experience:

Lighthouse Wealth Strategies, Investment Advisor	09/2022 to Present
Wells Fargo Advisors Financial Network	11/2017 to 09/2022
UBS Financial Services, Inc	12/2008 to 12/2017
Morgan Stanley & Co. Incorporated	04/2007 to 12/2008

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## ITEM 3 – DISCIPLINARY INFORMATION

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As of the date of filing for Karsten Jon Caviezel there is no disciplinary actions that have been reported on his U4. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Karsten Jon Caviezel that is not included in this brochure supplement.  
(<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

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## ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. Caviezel is self-employed and uses Caviezel Wealth Management to pay business expenses. Lighthouse Wealth Strategies is a name brand to market the services offered.

Mr. Caviezel is a partial owner of RCW LLC a real estate building in which he receives compensation from. Partners in this business include family members and clients. A potential conflict of interest exists between those family members and clients involved with Mr. Caviezel in outside business activities, and Mr. Caviezel's other clients; However, Mr. Caviezel is obligated as a fiduciary to act in the best interest of all of his clients.

Karsten Jon Caviezel owns and operates various Vacation Rental By Owner (VRBO) properties in which he receives compensation from. Partners in these VRBO properties include his spouse and clients. A potential conflict of interest exists between the client involved with Mr. Caviezel in outside business

activities, and Mr. Caviezel's other clients; However, Mr. Caviezel is obligated as a fiduciary to act in the best interest of all of his clients.

Mr. Caviezel is obligated as a fiduciary to disclose any potential conflicts of interest and to always act in the best interest of all of his clients.

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**ITEM 5 - ADDITIONAL COMPENSATION**

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Refer to Other Business Activities above

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**ITEM 6 - SUPERVISION**

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Karsten Jon Caviezel is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by CCO, Kimberly Nordi, who is responsible for administering the policies and procedures. Kim Nordi reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation and ensures that all policies and procedures of the firm are followed. Ms. Nordi may be reached at [compliance@lighthousegh.com](mailto:compliance@lighthousegh.com) or (253) 948-3723.