



Martin Edward Paul

3312 Rosedale Street NW, Suite 201
Gig Harbor, WA 98335
(253)948-3723

Lighthouse Wealth Strategies

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March 1, 2024

This Brochure Supplement provides information about Martin Edward Paul that supplements the Lighthouse Wealth Strategies Brochure. You should have received a copy of the Brochure. Please contact Kim Nordi, Chief Compliance Officer, if you did not receive Lighthouse Wealth Strategies' Brochure or if you have any questions about the contents of this supplement.

Additional information about Martin Edward Paul (CRD# 2540039) is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as the CRD number listed above.



ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Martin Edward Paul

Born: 1971

Post-Secondary Education:

- BA, Economics, University of Washington
- Successfully passed Series 63 and Series 65

Recent Business Experience:

Lighthouse Wealth Strategies, Investment Advisor	02/2019 to Present
Sowell Management Services, Investment Advisor	01/2017 to Present
CONCERT Wealth Management Inc., Registered Associate	02/2016 to 01/2017
Northwest Asset Management, Registered Associate	03/2011 to 07/2014
RBC Capital Markets Corp, Reg Associate, Branch Manager	03/1998 to 03/2011
RBC Dain Rauscher., Inc Sr Registered Associate	08/1994 to 03/1998

ITEM 3 – DISCIPLINARY INFORMATION

Martin Edward Paul has a history of any legal or disciplinary events that deems to be material to a client's consideration of Martin Edward Paul to act as their investment adviser representative. On October 20, 2014, State of Washington, resolution of consent included bar, cease and desist, civil and administrative penalties, and monetary penalty for alleged dishonest or unethical business practices in borrowing money from clients and providing inaccurate information about outside business activities.; On April 20, 2012, FINRA, resolution of acceptance, waiver & consent included suspension, and civil and administrative penalties for alleged engagement in outside business activities without providing prompt written notice to his firm, and borrowing money from client.; On June 30, 2014, Northwest Asset Management, termination due to alleged false and misleading statements regarding outside business activities. ; On March 7, 2011, RBC Capital Markets, termination due to alleged borrowing money from a client. ; Financial disclosures include compromises with Sterling Savings Bank, On April 22, 2010, and First-Citizens Bank & Trust Company, 7/20/2011. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Martin Edward Paul that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

ITEM 4 - OTHER BUSINESS ACTIVITIES

Martin Edward Paul is a partner, member or officer with an ownership interest in several real estate ventures that include development, leasing and rental. These ventures include Hunt Tower, LLC, MP 4, Inc., MP 8, Inc., MP 10, Inc., MP 12, Inc., 7 1 3 3 Grandview, personal rental property, 1600 43rd Avenue E, personal rental property, 111 and 112 Rest Haven and 5 Via Monarca vacation rental properties, and 7218 Pioneer Way Gig Harbor which is undeveloped Land. Partners in these ventures include family members/clients, and entities. A potential conflict of interest exists between those family members/ clients involved with Mr. Paul in outside business activities, and Mr. Paul's other clients; However, Mr. Paul is obligated as a fiduciary to act in the best interest of all of his clients. Mr Paul is a fundraiser for the YMCA of Gig Harbor, WA. In this role, Mr. Paul is attempting to attract contributions for new athletic fields at the YMCA. It is not investment related and Mr. Paul spends less than 10 hours a month during non-market hours in this activity.

ITEM 5 - ADDITIONAL COMPENSATION

Refer to Other Business Activities above

ITEM 6 - SUPERVISION

Martin Edward Paul is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by CCO, Kimberly Nordi, who is responsible for administering the policies and procedures. Kim Nordi reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation and ensures that all policies and procedures of the firm are followed. Ms. Nordi may be reached at compliance@lighthousegh.com or (253) 948-3723.