



# **Kimberly Marie Nordi**

3312 Rosedale Street, Suite 201  
Gig Harbor, WA 98335  
(253)948-3723

## **Lighthouse Wealth Strategies**

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This Brochure Supplement provides information about Kimberly Marie Nordi that supplements the Lighthouse Wealth Strategies Brochure. You should have received a copy of the Brochure. Please contact Kim Nordi, Chief Compliance Officer, if you did not receive Lighthouse Wealth Strategies' Brochure or if you have any questions about the contents of this supplement.

Additional information about Kimberly Marie Nordi (CRD# 2145303) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as the CRD number listed above.



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## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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### **Kimberly Marie Nordi**

Born: 1970

Post-Secondary Education:

- Studies in Business, Rocky Mountain College
- Studies in Finance, Eastern Montana College
- Successfully passed the Series 7, Series 10, Series 63, Series 65
- Insurance License – State of Washington WAOIC# 802310

Recent Business Experience:

Lighthouse Wealth Strategies, Investment Advisor	09/2017 to Present
Sowell Management Services, Investment Advisor	09/2016 to Present
Trutoro LLC, Registered Representative	09/2017 to Present
Dinosaur Securities, LLC, Registered Representative	06/2014 to 09/2017
CONCERT Wealth Management Inc., Registered Associate	07/2014 to 09/2016
Purshe Kaplan Sterling Investments, Registered Representative	04/2012 to 07/2014
Northwest Asset Management, Registered Associate	03/2011 to 07/2014
RBC Capital Markets Corp, Investment Associate/ Branch Service Manager	03/1999 to 03/2011
RBC Dain Rauscher., Inc Sr Registered Sales Associate	12/1991 to 03/1998

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## ITEM 3 – DISCIPLINARY INFORMATION

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Kimberly Marie Nordi has no history of any legal or disciplinary events that deems to be material to a client's consideration of Kimberly Marie Nordi to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Kimberly Marie Nordi that is not included in this brochure supplement.

(<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

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## ITEM 4 - OTHER BUSINESS ACTIVITIES

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Kimberly Marie Nordi is a registered representative of Trutoro, LLC, a registered broker-dealer, member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC"), and an insurance agent appointed with various companies. In these capacities Kim

Nordi may recommend securities, insurance, advisory, or other products, and receive commissions and other compensation if products are purchased through any firms with which Ms. Nordi is affiliated. Thus, a conflict of interest may exist between the interests of Ms. Nordi and those of the advisory clients. However, the clients are under no obligation to act upon any recommendations of Ms. Nordi or effect any transactions through Ms. Nordi if they decide to follow the recommendations. Ms. Nordi is self-employed and uses Lighthouse Advisor Partners, LLC. to pay business expenses. Lighthouse Wealth Strategies is a name brand to market the services offered.

Kimberly Marie Nordi is a Board Member for Empowering Life, a non-profit. Investment related, Treasurer, 10/2019. 4 hours per month during non-business hours are devoted to this outside business activity. Kim receives no compensation for her position as Board Member or Treasurer.

Kimberly Marie Nordi has 100% ownership interest in a multi-family rental home. Kim is obligated as a fiduciary to act in the best interest of her clients.

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#### **ITEM 5 - ADDITIONAL COMPENSATION**

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Kimberly Marie Nordi does not receive any additional compensation other than what is listed under Item 4.

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#### **ITEM 6 - SUPERVISION**

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Kimberly Marie Nordi is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by herself as CCO, who is responsible for administering the policies and procedures. Kim Nordi reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation and ensures that all policies and procedures of the firm are followed. Ms. Nordi may be reached at [compliance@lighthousegh.com](mailto:compliance@lighthousegh.com) or (253) 948-3723.