



Kelly Roy Caviezel

3312 Rosedale Street, Suite 201
Gig Harbor, WA 98335
(253)948-3723

Lighthouse Wealth Strategies

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This Brochure Supplement provides information about Kelly Roy Caviezel that supplements the Lighthouse Wealth Strategies Brochure. You should have received a copy of the Brochure. Please contact Kim Nordi, Chief Compliance Officer, if you did not receive Lighthouse Wealth Strategies' Brochure or if you have any questions about the contents of this supplement.

Additional information about Kelly Roy Caviezel (CRD# 3159323) is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as the CRD number listed above.



ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Kelly Roy Caviezel

Born: 1970

Post-Secondary Education:

- Graduated Western Washington University 1993, BA Sociology
- Graduated City University 1995, Masters Teaching
- Successfully passed Series 7, 31, 63, 65 and SIE Exams

Recent Business Experience:

Lighthouse Wealth Strategies, Investment Advisor	09/2022 to Present
Wells Fargo Advisors Financial Network	11/2017 to 09/2022
UBS Financial Services, Inc	12/2008 to 12/2017
Morgan Stanley & Co. Incorporated	04/2007 to 12/2008
Morgan Stanley DW Inc	12/1998 to 04/2007
Morgan Stanley	08/1999 to 04/2007

ITEM 3 – DISCIPLINARY INFORMATION

As of the date of filing for Kelly Jon Kaviezel there is no disciplinary actions that have been reported on his U4. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Kelly Roy Caviezel that is not included in this brochure supplement.
(<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Caviezel is a partial owner of RCW LLC a real estate building. Partners in this business include family members and clients. A potential conflict of interest exists between those family members and clients involved with Mr. Caviezel in outside business activities, and Mr. Caviezel's other clients; However, Mr. Caviezel is obligated as a fiduciary to act in the best interest of all of his clients.

Mr. Caviezel is self-employed and uses Caviezel Wealth Management to pay business expenses. Lighthouse Wealth Strategies is a name brand to market the services offered.

Mr. Caviezel is obligated as a fiduciary to disclose any potential conflicts of interest and to always act in the best interest of all of his clients.

ITEM 5 - ADDITIONAL COMPENSATION

Refer to Other Business Activities above

ITEM 6 - SUPERVISION

Kelly Roy Caviezel is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by CCO, Kimberly Nordi, who is responsible for administering the policies and procedures. Kim Nordi reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation and ensures that all policies and procedures of the firm are followed. Ms. Nordi may be reached at compliance@lighthousegh.com or (253) 948-3723.