

## Madison Global Advisors, LLC

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### Form ADV Part 2 Brochure Supplement

For

Brian David Rockowitz  
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P: 631.898.8194

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This brochure provides information about Brian David Rockowitz that supplements the Madison Global Advisors, LLC Brochure. You should have received a copy of that brochure. Please contact Anton Gerdes, Jr. President at 646.690.0330 if you did not receive Madison Global Advisors LLC brochure or if you have any questions about the contents of this brochure. Please direct any e-mail inquiries to:

[info@madisonglobalpartners.com](mailto:info@madisonglobalpartners.com)

*The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.*

Additional information about Brian David Rockowitz is also available on the SEC Website at:

[www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov)

### Educational Background and Business Experience

Brian D. Rockowitz was born in 1966. Mr. Rockowitz has been associated with Madison Global Advisors since July 2019, as an investment advisor. He advises individuals and institutions regarding investments. He is also registered as a Series 7 (General Securities Representative) of Madison Global Partners, LLC since July 2019 and also holds registrations of Series 79TO (Investment Banking Registered Representative), and Series 65 (Investment Advisor).

### Disciplinary Information

Brian D. Rockowitz has no legal or disciplinary events to disclose.

### Other Business Activity

Brian D. Rockowitz is also affiliated with Madison Global Partners, LLC as a broker dealer registered representative in addition to his Investment Advisor affiliation with Madison Global Advisors, LLC. Under certain circumstances, a client may desire to implement an investment program on a non-advisory commission basis by purchasing investment products through Mr. Rockowitz, in his individual capacity as a registered representative of Madison Global Partners, LLC. Such clients are not investment advisory clients of Madison Global Advisors, LLC and Madison does not charge an investment advisory fee to such clients for the implementation of such investment programs; however, brokerage commissions will be charged by Madison Global Partners, LLC to effect such securities transactions, and a portion of such commissions will be paid to Mr. Rockowitz. Prior to effecting any transactions under this commission based arrangement, the client will be required to enter into a new account agreement with Madison Global Partners, LLC.

### Additional Compensation

Other than described above, Mr. Rockowitz does not receive any additional compensation.

### Supervision

As Madison Global Advisors, LLC furnishes investment advice, the supervising principal (President) performs an initial account review for each account for suitability of the investments purchased and applies AML standards for client identification. These reviews, meetings or consultation may involve philosophy discussions, investment policy decisions, individual securities and client questions. Account acceptance is conditioned on approval by a principal of the firm. At least quarterly, all investment accounts are reviewed by the supervising principal to ensure consistency with criteria to assess performance and suitability.

Regular reports are provided to clients through RBC Correspondent Services, who carries the products offered by the advisory firm. They include monthly and quarterly statements and annual summary statements. Brochures are provided upon the initiation of the account and on an annual basis thereafter.

### Requirements for State Registered Advisors

Mr. Rockowitz has not been found liable in any arbitration, civil, self-regulatory organization, or administrative proceeding. In addition Mr. Rockowitz has not been the subject of a bankruptcy.