

WELLINGTON ALTERNATIVE INVESTMENTS LLC

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This brochure provides information about the business practices of Wellington Alternative Investments LLC (WAI). If you have any questions about the contents of this brochure, please contact WAI at alternatives@wellington.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any state securities authority.

Additional information about WAI also is available on the SEC's website at www.adviserinfo.sec.gov. WAI is a registered investment adviser under the Investment Advisers Act of 1940; however, this registration does not imply a certain level of skill or training.

Item 2 – Summary of Material Changes

We review our policies and procedures on a regular basis to evaluate their continuing effectiveness. As a result of that process or due to changes in our firm and business, we may amend our policies and procedures and/or this document from time to time. We will describe material changes in this space.

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Item 4 – Advisory Business

Wellington Alternative Investments LLC (WAI) is an indirect subsidiary of Wellington Management Group LLP (WMG), which is the parent holding company of the Wellington Management group of companies (collectively, Wellington Management). WAI is a Delaware limited liability company that acts as the general partner, manager, and/or sponsor of several privately-offered pooled investment vehicles exempt from registration under the Investment Company Act of 1940 (collectively, the Funds). The Funds pursue a range of investment strategies, including equity long / short, market neutral, quantitative equity, macro, relative value, multi-strategy, credit long / short, private equity, and securitized debt. WAI is registered as a commodity pool operator (CPO) with the Commodity Futures Trading Commission (CFTC).

WAI also provides non-discretionary portfolio advisory services to other clients (Accounts) who seek to pursue an investment strategy similar to one or more of the Funds employing a multi-strategy investment approach. Accounts are often customized to meet a client's specific requirements. Initial account requirements or guidelines are generally established by agreement between WAI or an affiliate and the Account client and are typically stated in the portfolio advisory or management agreement. From time to time, WAI or its affiliate negotiates changes to these agreements with an Account client. Additionally, from time to time WAI enters into side letters or similar agreements with investors in certain Funds subject to WAI's policies and procedures designed to manage related conflicts.

As the general partner, manager, and/or sponsor to the Funds, WAI sets the investment objective, guidelines and restrictions for the Funds. WAI has appointed its affiliate, Wellington Management Company LLP (WMC), a Delaware limited liability partnership and indirect subsidiary of WMG, as investment manager to provide discretionary investment management and related services to the Funds. WMC is registered as an investment adviser with the Securities and Exchange Commission (SEC) and as a commodity trading advisor with the CFTC.

WMC delegates certain discretionary investment management and related services to these affiliates in accordance with the terms of the relevant investment management or other agreement. Details on these affiliates have been provided in the Appendix.

As of 31 December 2023, WAI had approximately \$19 billion in assets under management on a discretionary basis.

Discussions of the Funds in this brochure, including but not limited to their investments, the strategies used in managing the Funds, the fees and other costs associated with an investment in the Funds, and conflicts of interest faced by WAI and its affiliates in connection with management of the Funds and Accounts, are summaries intended only to provide required information on our business and practices to our advisory clients. These discussions are not intended for use in evaluating an investment in a Fund. Investors and prospective investors in a Fund should read the Fund's confidential offering memorandum and governing documents ("Offering Documents") for complete information on these matters.

Item 5 – Fees and Compensation

WAI receives asset-based fees from some Funds with regard to illiquid securities held by those Funds in side pocket accounts. WAI receives a fee based on committed capital with respect to some Funds, which invest primarily in private companies. WMC typically receives an asset-based fee from each Fund and Account client.

WAI typically receives performance-based compensation from the Funds and Account clients. WAI negotiates compensation in light of a client's special circumstances, asset levels, service requirements or other factors.

See Item 6 for a description of the performance-based compensation applicable to both Funds and Account clients.

Each Fund and account also bear the fees and expenses charged by its custodian and other service providers, brokerage costs related to investments, and other expenses incurred in operating the Fund or account. For more information, please see Item 12 – Brokerage Practices.

In some circumstances, an investor in a WAI Fund or a client of a WAI affiliate, may purchase interests in a Fund for which fees are waived, reduced or otherwise not charged because that client's account is charged fees outside the Fund based on the aggregate assets and/or performance of that account, including its investment in the Fund. In other cases, WAI or its affiliates may receive fees from both a client's accounts outside the Fund and that client's interest in the Fund. WAI or its affiliates may agree to calculate fees paid directly by a client outside the Fund based on the aggregate assets managed on that client's behalf, including assets attributable to its investment in the Fund, or some other aggregate metric.

Fees and expenses are charged to and deducted from the Funds in arrears. Additional information on each Fund's fees and expenses is provided in the Offering Documents.

Item 6 – Performance-Based Fees and Side-By-Side Management

WAI receives performance-based compensation from most of the Funds and Accounts. The performance compensation typically is a percentage of the total net increase in the value of the Fund or Account, including both realized and unrealized profits, subject to recoupment of previous losses. Some Funds or Accounts calculate the performance allocation based on the excess return above a specified benchmark. Other Funds calculate the performance allocation based on realized net profits only. If earned, the performance compensation typically is paid annually (or on another agreed-upon performance period) in arrears or, with respect to the open-end Funds, upon a withdrawal or redemption.

WAI has an incentive to favor Funds and Accounts that pay it performance-based compensation over Funds and other clients that either do not pay performance-based compensation or that pay performance-based compensation at lesser rates. The appointed investment manager has policies and procedures to address these conflicts. WAI offers some Fund investors and/or other persons opportunities to co-invest alongside certain Funds in certain private company investments in both fee-paying and no-fee arrangements.

If a Fund holds an investment with respect to which market quotations and/or other independent pricing information are not readily available, WMC recommends a valuation to the Funds based on fair valuation methodologies. For certain Funds that invest in private placements, a third-party service provider is utilized in the estimation of the value of securities subject to WMC's fair valuation methodologies. WMC's internal Pricing Group oversees the third-party provider and is responsible for its valuation determination. WAI faces a conflict of interest in accepting these valuations for the Funds because these valuations affect WAI's compensation. WAI manages this conflict through internal review and oversight processes and policy.

Multiple Funds and accounts may incur expenses related to their participation in a particular investment or otherwise become subject to costs in connection with their operation that benefit or are allocable to more than one Fund or account. WAI seeks to allocate those common expenses among the applicable Funds and accounts in a manner that is fair and reasonable over time. Allocation methodologies may differ by expense type, including pro rata based on net asset value, equal allocation across all Funds and accounts, or other methodologies that are appropriate for the expense type as determined by WAI in its reasonable discretion. These expense allocation decisions involve potential conflicts of interest (e.g., WAI has an interest in favoring an account that pays it an incentive fee versus one that does not). Expense allocations will often depend on inherently subjective determinations, and the relative expense amounts allocated in connection with a particular product or service will not be exactly proportional to the relative benefits derived in any particular instance. WAI manages this conflict through internal review and oversight processes and policy.

Item 7 – Types of Clients

WAI provides advisory services to investment vehicles that are private investment funds exempt from registration under the Investment Company Act of 1940 and Accounts.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

Investing in securities and other financial instruments involves risk of loss that clients should be prepared to bear. The Funds and Accounts are subject to all of the risks associated with each of the underlying asset classes and instruments in which they invest, as well as the risk that the asset classes or strategies do not perform as expected. Additional information on each Fund's risks, and the types of investments it may hold, is provided in the Offering Documents.

Item 9 – Disciplinary Information

There are no legal or disciplinary events that are material to WAI's clients' or prospective clients' evaluation of WAI's advisory business.

Item 10 – Other Financial Industry Activities and Affiliations

The following is a list of WAI's investment advisory affiliates, some of which sponsor pooled investment vehicles:

- o Wellington Management Company LLP (WMC), manages Wellington Management's business in the United States and Latin America and coordinates global operations.
- o Wellington Management (DIFC) Limited manages our business in the Middle East.
- o Wellington Management Australia Pty Ltd manages Wellington Management's business in Australia and New Zealand.
- o Wellington Management Canada ULC manages Wellington Management's business in Canada.

- o Wellington Management Europe GmbH manages certain business in the European Union.
- o Wellington Management Hong Kong Limited manages Wellington Management's business in greater China and Korea.
- o Wellington Management International Ltd manages certain business in Europe, the Middle East and Africa.
- o Wellington Management Japan Pte Ltd manages Wellington Management's business in Japan.
- o Wellington Management Singapore Pte Ltd manages Wellington Management's business in South-East Asia.
- o Wellington Management Switzerland GmbH services clients in Switzerland.
- o Wellington Management CLO Advisors LLC serves as the manager/originator to CLO deals.
- o Wellington Funds (US) LLC is the general partner of privately offered pooled investment vehicles.
- o Wellington Luxembourg S.à r.l is authorized under Luxembourg law as a management company and AIFM for mutual investment funds domiciled in Luxembourg.
- o Wellington Alternative Investments GP Late Stage Growth Luxembourg S.à r.l serves as a general partner of privately offered pooled investment vehicles
- o Wellington Management Funds LLC is the manager of privately offered pooled investment vehicles.
- o Wellington Trust Company, NA sponsors common trust funds and collective investment funds that are exempt from registration under the US Investment Company Act of 1940, as amended.
- o Wellington Biomedical Innovation II GP L.P. serves as the general partner of certain private investment vehicles.
- o Wellington Biomedical Innovation III GP L.P. serves as the general partner of certain private investment vehicles.
- o Climate Innovation I Co-invest GP LLC serves as the general partner of certain private investment vehicles.
- o Wellington Climate Innovation I GP L.P. serves as the general partner of certain private investment vehicles.
- o Wellington CLO GP LLC serves as the general partner of certain private investment vehicles.
- o Wellington Hadley Harbor IV GP L.P. serves as the general partner of certain private investment vehicles.
- o Wellington Hadley Harbor V GP L.P. serves as the general partner of certain private investment vehicles.
- o Wellington Venture Investments I GP L.P. serves as the general partner of certain private investment vehicles.

Wellington Fund Distributors, Inc. (WFD) a limited-scope broker/dealer registered with the US Financial Industry Regulatory Authority (FINRA), introduces prospects to the Funds, to other investment vehicles sponsored by Wellington Management affiliates and to certain SEC-registered investment companies. WFD does not execute securities transactions or engage in any other business.

Wellington Management CLO Advisors LLC (CLO Advisors), a series limited liability company organized under the laws of Delaware, is an SEC-registered investment adviser that is a relying adviser of Wellington Alternative Investments LLC. CLO Advisors acts as collateral manager for a number of CLOs.

For additional information on the Wellington Management group companies, please refer to the *Appendix*.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**Code of Ethics / Personal Securities Trading**

WAI has adopted the Wellington Management Code of Ethics, which applies to all Wellington Management personnel worldwide. The Code describes the standard of conduct Wellington Management requires of its personnel and sets forth certain restrictions on activities, including with regard to personal trading and gifts and entertainment. Compliance with the Code is a condition of employment for all personnel. A serious violation of the Code or related policies may result in dismissal. Key provisions of the Code are summarized below. A copy of the entire document is available upon request.

All Wellington Management personnel are considered “access persons” under the Code. Temporary personnel (including co-ops and interns) and consultants whose tenure with Wellington Management exceeds 90 days and who are deemed by the Chief Compliance Officer to have access to nonpublic investment research, client holdings, or trade information are also subject to the personal trading requirements of the Code of Ethics. They must preclear their personal transactions in covered securities prior to execution, except as specifically exempted under the Code. Some personal securities transactions that are not subject to pre-clearance must nonetheless be reported, including transactions in open-end mutual funds and variable insurance products that Wellington Management manages. The Code’s restrictions on personal trading apply to accounts over which an access person and/ or certain immediate family members have investment discretion, or from which they enjoy economic benefits. Portfolio managers are subject to additional restrictions on their personal transactions.

The pre-clearance process tests proposed transactions against a number of substantive restrictions designed to prevent Wellington Management personnel from taking advantage of the firm’s investment activity on behalf of its clients. Wellington Management prohibits all personnel from buying or selling securities issued by broker/dealers that are approved for execution of client trades or by securities markets or exchanges on which Wellington Management affiliates trade on behalf of clients. Likewise, Wellington Management does not allow its personnel to engage in personal transactions involving the direct purchase of any security in an initial public offering. All personnel are required to provide quarterly reports and certifications regarding their securities transactions and, at initial hire and annually, reports regarding their securities holdings. The WMC Chief Compliance Officer (or their designee) may grant an exception from pre-clearance, other trading restrictions, and certain reporting requirements on a case-by-case basis, if the CCO or designee determines that the proposed conduct involves no opportunity for abuse and does not conflict with client interests.

Gifts and entertainment

The Code places restrictions on receipt of gifts, travel, and entertainment opportunities by Wellington Management personnel. Wellington Management personnel occasionally participate in entertainment opportunities that are for legitimate business purposes, subject to limitations set forth in the Code.

Investments by Wellington Management personnel in the Funds

Wellington Management personnel, including portfolio managers and other investment personnel, often invest in the Funds. WAI does not typically limit the percentage of a Fund that Wellington Management personnel can own. Wellington Management personnel are at times the first participants in a new Fund and in some instances will be the only participants for one or more years. However, the vast majority of total assets in the Funds belong to unaffiliated investors. In some cases, a portfolio manager owns a substantial portion of the interests in a Fund that he or she manages. While personnel

who invest in Funds have an incentive to favor such Funds in order to obtain a personal benefit, these investments also help to align those individuals' interests with those of the applicable Fund. Where Wellington Management thinks it appropriate, it has adopted procedures to manage conflicts that arise from Wellington Management personnel's investment in the Funds.

By virtue of their employment, Wellington Management personnel have access to information that is not available to other participants in the Funds. WAI has adopted policies and procedures to identify and disclose material information to all investors in the Funds and to manage conflicts that arise from Wellington Management personnel's investment in the Funds. Those procedures include information barriers and restrictions on transactions in Funds by Wellington Management personnel.

Investments by WAI and its affiliates in the Funds

WAI or an affiliate, in its capacity as general partner, invests capital in each Fund that is a partnership.

From time to time, a WAI affiliate provides seed capital in connection with the launch of a Fund. Additionally, from time to time, in connection with investment approaches focused on private companies, a WAI affiliate will invest capital in warehouse vehicles, for which it receives interest income at prevailing market rates, whose assets may subsequently be acquired, in whole or in part, by a Fund. WAI affiliates also invest capital in one or more Funds that are designed to develop new investment approaches and do not accept external investments.

Wellington Management offers a deferred compensation plan to some employees through which the employees can make a notional investment in the Funds. A WAI affiliate invests in the Funds in order to hedge the liabilities associated with the deferred compensation plan.

These investments by WAI or its affiliates are not significant (either individually or collectively) to WAI's or its affiliates' financial position.

Item 12 – Brokerage Practices

WAI has authorized the investment manager to select brokers and dealers to place and execute portfolio transactions on behalf of the Funds. WAI receives information from the investment manager regarding its exercise of that discretion, including its research and soft dollar practices.

WAI selects prime brokers for the Funds. The services to be performed under each prime broker agreement include the provision of leverage, margin financing, clearing, settlement, securities lending, short selling facilities and foreign exchange facilities. Prime brokers also will provide custody services for investments held on the books of the prime brokers in accordance with the terms of the respective agreements and applicable law.

Additional information on each Fund's brokerage practices is provided in the Offering Documents.

Item 13 – Review of Accounts

WAI management oversees the activities of the investment manager to confirm that each Fund is managed in line with the investment objective, policies, and risks described in each Fund's governing documents and consistent with Fund investor expectations. Members of the product team supporting WAI periodically review performance, leverage, exposure and diversification metrics, liquidity, and other factors, as deemed appropriate and applicable. WAI's goal is to understand the exposures in each Fund to confirm that the exposures are in line with WAI's expectations.

WAI provides Fund Investors and Account clients a variety of reporting, including periodic written reporting on performance and holdings. WAI also periodically provides commentary on current allocations and positioning, and portfolio manager views on the economy, markets and other investment topics.

Item 14 – Client Referrals and Other Compensation

WAI does not generally enter into arrangements with third parties to solicit new advisory clients, however, WAI and some Funds have entered into arrangements to pay third parties to distribute interests in the Funds. In addition, WAI utilizes the business development and marketing activities of its affiliates to solicit investors for all Funds.

From time to time, the prime brokers to the Funds introduce potential investors for the Funds. Introductions are made either at conferences sponsored by the prime broker or through private meetings. Neither WAI nor the Funds pay the prime brokers additional fees for making these introductions.

Item 15 – Custody

The assets of each Fund are generally maintained in accounts held at third-party custodians or, in the case of most private investments, at the issuer's transfer/equity management agent.

WAI generally does not maintain physical possession of the assets of any Fund. Because WAI serves as general partner of, or holds another comparable position with respect to, the Funds, it takes additional measures to safeguard Fund assets.

Each Fund provides audited financial statements prepared in accordance with US generally accepted accounting principles to all investors in the Fund, typically within 90 days of the applicable fiscal year end or as otherwise required to meet certain regulatory obligations. Investors should review these statements carefully.

Item 16 – Investment Discretion

WAI has delegated investment discretion for the Funds and Accounts to the investment manager, which in turn may delegate some of its portfolio management responsibilities to another Wellington Management affiliate.

Item 17 – Voting Client Securities

WAI does not vote proxies directly on behalf of the Funds. It has delegated proxy voting responsibility for the Funds to WMC.

WAI does not have authority to vote securities held in Accounts. These clients will receive proxies and other solicitations with respect to the Funds from WAI or the Fund's transfer agent and may reach out to their relationship team with questions about a particular solicitation.

Item 18 – Financial Information

Not applicable.

Appendix: Description of WAI's Affiliates

WELLINGTON MANAGEMENT GROUP LLP (the Partnership), the parent company of the Wellington Management global organization, is a Massachusetts limited liability partnership.

WELLINGTON MANAGEMENT COMPANY LLP (WMC) is a Delaware limited liability partnership and a registered investment adviser with the US Securities and Exchange Commission (SEC). WMC is also registered with the US Commodity Futures Trading Commission (CFTC) as a commodity trading advisor (CTA) and serves as a CTA to certain clients including registered commodity pools and their operators. WMC provides commodity trading advice to all other clients in reliance on exemptions from CTA registration. WMC is an indirect subsidiary of the Partnership. WMC serves as the investment manager for our clients in the US and Latin America and for some clients in other regions. WMC may engage an investment advisory affiliate or the personnel of such affiliates to perform some functions, including portfolio management, trading and/or account administration in whole or in part.

WELLINGTON PRIVATE FUND MANAGEMENT (SHANGHAI) LIMITED (WPFM Shanghai) is a Shanghai wholly-owned foreign enterprise established in Shanghai under the laws of the People's Republic of China. WPFM Shanghai is an unregulated entity and an indirect subsidiary of the Partnership.

WPFM Shanghai provides research to Wellington Management and its clients. WPFM Shanghai may also engage in client liaison services in mainland China.

WELLINGTON GLOBAL PRIVATE FUND MANAGEMENT (SHANGHAI) LIMITED (WGPFM Shanghai) is a limited company established in Shanghai under the laws of the People's Republic of China and is an indirect subsidiary of the Partnership. WGPFM Shanghai is registered as a private fund manager with AMAC to conduct Qualified Domestic Limited Partnership activities (registration number P1073646).

WELLINGTON MANAGEMENT (DIFC) LIMITED (WM DIFC) is a limited company established in the Dubai International Financial Centre and is an indirect subsidiary of the Partnership. WM DIFC is licensed by the Dubai Finance Services Authority to advise on financial products and arrange deals in investments.

WM DIFC provides client liaison and business development services for Wellington Management and certain of its clients in the Middle East.

WELLINGTON MANAGEMENT AUSTRALIA PTY LTD (WM Australia) is an Australian proprietary limited company. WM Australia is an indirect subsidiary of the Partnership and is authorized to provide investment management services in Australia under an Australian Financial Services License.

WM Australia serves as the contractually named manager for certain clients in Australia and New Zealand and is a Participating Affiliate of Wellington Management Company with respect to services provided to US Clients.

WELLINGTON MANAGEMENT CANADA ULC (WM Canada ULC) is a Delaware limited liability company and is registered in ten Canadian provinces (Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Nova Scotia, Ontario, Prince Edward Island, Québec, and Saskatchewan) in the categories of Portfolio

Manager and Exempt Market Dealer. WM Canada is also registered under the securities commissions of Manitoba and Ontario as a Commodity Trading Manager. WM Canada ULC is an indirect subsidiary of the Partnership.

WM Canada ULC provides client liaison, investment monitoring and business development services for Wellington Management and its clients in Canada. WM Canada ULC also serves as the contractually named investment manager for our Canadian clients. Certain functions, including portfolio management, trading and/or account administration are delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT HONG KONG LIMITED (WM Hong Kong) is a private limited company organized under the laws of the Hong Kong Special Administrative Region of the People's Republic of China. WM Hong Kong is an indirect subsidiary of the Partnership. WM Hong Kong is authorized to provide investment management services in Hong Kong by the Securities and Futures Commission. WM Hong Kong is also registered with the SEC as an investment adviser.

WM Hong Kong provides client liaison, investment monitoring and business development services for Wellington Management and its clients in Northern Asia including Hong Kong, mainland China, Taiwan and Korea. WM Hong Kong also provides research, portfolio management and trading services for Wellington Management and its clients.

WM Hong Kong serves as the contractually named investment manager for certain clients in Hong Kong and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT EUROPE GMBH (WM Europe) is a limited company registered in Germany and an indirect subsidiary of the Partnership. WM Europe is authorized and regulated by the German Federal Financial Supervisory Authority (*Bundesanstalt für Finanzdienstleistungsaufsicht – BaFin*). WM Europe has a branch in Milan, Italy, which is authorized and regulated by BaFin and, in respect of certain of its activities, by the Commissione Nazionale per le Società e la Borsa (CONSOB). WM Europe also has a branch in Madrid, Spain, which is authorized and regulated by BaFin and, in respect of certain of its activities, by the Comisión Nacional del Mercado de Valores (CNMV).

WM Europe provides client liaison and business development services for Wellington Management and certain of its clients in the European Union. WM Europe is a Participating Affiliate of Wellington Management Company with respect to services provided to US clients. WM Europe serves as the contractually named investment manager for certain clients in Europe. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT INTERNATIONAL LTD (WMIL) is a limited company registered in England and Wales and an indirect subsidiary of the Partnership. WMIL is authorized and regulated by the Financial Conduct Authority.

WMIL provides client liaison, investment monitoring and business development services for Wellington Management and its clients in Europe, the Middle East, and Africa (EMEA). WMIL is a Participating Affiliate of Wellington Management Company with respect to services provided to US clients.

WMIL serves as the contractually named investment manager for certain EMEA clients and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT JAPAN PTE LTD (WM Japan) is a limited liability company organized under Singapore law, with its main office in Tokyo, Japan. WM Japan is an indirect subsidiary of the Partnership. WM Japan is authorized in Japan by the Financial Services Agency to conduct investment management, investment advisory and agency business and investment trust management, as well as limited marketing of sponsored funds. WM Japan is also registered with the SEC as an investment adviser.

WM Japan serves as the contractually named manager for certain clients in Japan and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT SINGAPORE PTE LTD (WM Singapore) is a limited liability company organized under Singapore law. WM Singapore is an indirect subsidiary of the Partnership. WM Singapore is regulated by the Monetary Authority of Singapore under a Capital Markets Services License to conduct fund management activities, deal in capital markets products, and is an exempt financial adviser. WM Singapore provides client liaison, investment monitoring and business development services for Wellington Management and its clients in South-East Asia. WM Singapore is a Participating Affiliate of Wellington Management Company with respect to services provided to US Clients.

WM Singapore serves as the contractually named manager for certain clients in Singapore and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT SWITZERLAND GMBH is a limited liability company incorporated under Swiss law and an indirect subsidiary of the Partnership. It provides client liaison and business development services for Wellington Management and its clients in Switzerland.

WELLINGTON ALTERNATIVE INVESTMENTS LLC (WAI) is a Delaware limited liability company and an indirect subsidiary of the Partnership. WAI is registered as a commodity pool operator with the CFTC and is registered with the SEC as an investment adviser. WAI is the general partner or manager of privately offered pooled investment vehicles. WAI is owned by WMFH and WMIL and managed by WMIL.

WELLINGTON ALTERNATIVE INVESTMENTS GP LATE STAGE GROWTH LUXEMBOURG SÀRL (WAI GP Lux) is a company in the form of a Société à responsabilité limitée organized under the laws of the Grand Duchy of Luxembourg and an indirect subsidiary of the Partnership. WAI GP Lux serves as a general partner of privately offered pooled investment vehicles. WAI GP Lux is owned by WAI.

WELLINGTON FUNDS SERVICES LLC (WFS) is a Delaware limited liability company which provides administrative and operational support, including trading settlement, account reconciliation and performance reporting, to certain of our Sponsored Funds. WFS is owned by WMFH.

WELLINGTON FUNDS (US) LLC (WFUS) is a Delaware limited liability company and an indirect subsidiary of the Partnership. WFUS is registered with the SEC as an investment adviser. WFUS is the general partner or manager of privately offered pooled investment vehicles. WFUS is owned by WMFH and WMF Inc. and managed by WMF Inc.

WELLINGTON GLOBAL ADMINISTRATOR, LTD. (WGA) is a limited liability company organized under the laws of Bermuda and an indirect subsidiary of the Partnership. WGA serves as the distributor for certain Wellington Management-sponsored investment funds.

WELLINGTON LUXEMBOURG SÀRL (Wellington Luxembourg) is a company in the form of a Société à responsabilité limitée organized under the laws of the Grand Duchy of Luxembourg and an indirect subsidiary of the Partnership. Wellington Luxembourg is authorized under Luxembourg law as a management company and AIFM for mutual investment funds domiciled in Luxembourg. Several Wellington Management affiliates serve as investment managers for certain portfolios domiciled in Luxembourg and, upon the request of Wellington Luxembourg, may provide other general management services for those portfolios.

WELLINGTON FUNDS DISTRIBUTORS INC. (WFD) is a limited-scope broker/dealer registered with FINRA and organized under the laws of Delaware. WFD is an indirect subsidiary of the Partnership. WFD's sole line of business is introducing prospects to certain SEC-registered investment companies sub-advised or advised by WMC and Wellington Management-sponsored investment funds offered within the United States.

WELLINGTON TRUST COMPANY, NA (WTC) is a limited-purpose nationally chartered trust company and a subsidiary of the Partnership. WTC provides a range of trust services, including asset management, asset allocation and account custody and administration. WTC sponsors common trust funds and collective investment funds that are exempt from registration under the US Investment Company Act of 1940, as amended. Several Wellington Management affiliates provide investment advice on a nondiscretionary basis to WTC with respect to client assets managed by WTC.

WELLINGTON MANAGEMENT FUNDS LLC (WMF LLC) is a Delaware limited liability company and an indirect subsidiary of the Partnership. WMF LLC is the manager of privately offered pooled investment vehicles. WMF LLC is owned by WMFH and WMF Inc. and managed by WMF Inc.

WELLINGTON FINANCE & TREASURY LLC (WFT) is a Delaware limited liability company which serves in a cash management function for certain of its affiliates. WFT is owned by the Partnership and WMII and managed by WMII.

WELLINGTON GROUP HOLDINGS LLP (WGH) is a Delaware limited liability partnership which serves as the managing partner of WIAH and WMFH. WGH is owned by the Partnership.

WELLINGTON HOLDINGS, INC. (WH, Inc.) is a corporation organized under the laws of Massachusetts. WH, Inc. is owned by the Partnership.

WELLINGTON INVESTMENT ADVISORS HOLDINGS LLP (WIAH) is a Delaware limited liability partnership which serves as the managing partner of WMC, the sole member of WM Canada LLC and the majority owner of WMGH. WIAH is owned by WGH and the Partnership.

WELLINGTON MANAGEMENT CANADA LLC (WM Canada LLC) is a Delaware limited liability company. WM Canada LLC is the sole owner of WM Canada ULC. WM Canada LLC is owned by WIAH.

WELLINGTON MANAGEMENT FUNDS HOLDINGS LLP (WMFH) is a Delaware limited liability partnership which serves as the owner of WFD and WMF Inc., sole member of WFS, member of WMF LLC and WAI and majority owner of WGA and Wellington Luxembourg. WMFH is owned by WGH and the Partnership.

WELLINGTON MANAGEMENT GLOBAL HOLDINGS, LTD. (WMGH) is a Bermuda limited company. WMGH serves as a holding company for operating entities organized in Australia, Germany, Hong Kong, Singapore, Switzerland and the United Kingdom. WMGH is owned by WIAH and WH, Inc.

WELLINGTON MANAGEMENT INVESTMENT, INC. (WMII) is a corporation organized under the laws of Delaware. WMII serves as the manager of WAI and WFT and holds common shares of WGA. WMII is owned by the Partnership.

WELLINGTON MANAGEMENT FUNDS INC. (WMF Inc.) is a corporation organized under the laws of Delaware. WMF Inc. serves as the manager of WMF LLC and WFUS. WMF Inc. is owned by WMFH.

WELLINGTON ALTERNATIVE STRATEGIES LLC (WAS) is a limited liability company organized under the laws of Delaware. WAS serves as the manager of Climate Innovation I Co-invest GP LLC and the general partner of Wellington Biomedical Innovation II GP L.P., Wellington Biomedical Innovation III GP L.P., Wellington Climate Innovation I GP L.P., Wellington Hadley Harbor IV GP L.P., Wellington Hadley Harbor V GP L.P., and Wellington Venture Investments I GP L.P. WAS is owned by WAI.

CLIMATE INNOVATION I CO-INVEST GP LLC is a limited liability company organized under the laws of Delaware and serves as the general partner of certain climate co-invest vehicles. It is owned by WAS.

WELLINGTON BIOMEDICAL INNOVATION II GP L.P. (Biomed II) is a limited partnership organized under the laws of Delaware. Biomed II serves as the general partner of certain private investment vehicles and is owned by WAS.

WELLINGTON BIOMEDICAL INNOVATION III GP L.P. (Biomed III) is a limited partnership organized under the laws of Delaware. Biomed III serves as the general partner of certain private investment vehicles and is owned by WAS.

WELLINGTON CLIMATE INNOVATION I GP L.P. (Climate I) is a limited partnership organized under the laws of Delaware. Climate I serves as the general partner of certain private investment vehicles and is owned by WAS.

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WELLINGTON CLO GP LLC (CLO GP) is a limited liability company organized under the laws of Delaware. CLO GP serves as the general partner of certain investment vehicles and is owned by WMFH.

WELLINGTON MANAGEMENT CLO ADVISORS LLC (CLO Advisors) is a limited liability company organized under the laws of Delaware. CLO Advisors serves as the manager/originator to CLO deals. The management series of CLO Advisors is owned by WIAH.