

*This brochure supplement provides information about James Scanlon that supplements the Lear Investment Management brochure. You should have received a copy of that brochure. Please contact Frederick Lear if you did not receive Lear Investment Management's brochure or if you have any questions about the contents of this supplement.*

*Additional information about James Scanlon is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Lear Investment Management**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**James Christopher Scanlon**

Personal CRD Number: 2839221

Investment Adviser Representative

2911 Turtle Creek Boulevard, Suite 920  
Dallas, Texas 75219

214-445-5900  
[JScanlon@LearIM.com](mailto:JScanlon@LearIM.com)

UPDATED: March 2024

## Item 2: Educational Background and Business Experience

**Name:** James ("Jim") Christopher Scanlon **Born:** 1970

### **Educational Background:**

BA, Economics, Western New England University - 1992

### **Business Background:**

Lear Investment Management	09/2020 – Present
G.Distributors	01/2011 – 01/2019
Gabelli & Co.	05/2006 – 12/2010
Merrill Lynch, Pierce, Fenner and Smith	10/2001 – 04/2006
Putnam Retail Management	02/1997 – 10/2001

## Item 3: Disciplinary Information

Jim Scanlon has no disciplinary or legal events to disclose.

## Item 4: Other Business Activities

Jim Scanlon is not engaged in any investment-related business or occupation (other than this advisory firm).

## Item 5: Additional Compensation

Jim Scanlon does not receive any economic benefit from any person, company, or organization, other than Lear Investment Management in exchange for providing clients advisory services through Lear Investment Management.

### **Item 6: Supervision**

Jim Scanlon is supervised by Frederick Lear, Managing Partner, who can be reached at 214-445-5900. Jim Scanlon adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

### **Item 7: Requirements for State-Registered Advisers**

Jim Scanlon has not been found liable in an arbitration claim, nor has he been found liable in a civil, self-regulatory organization, or administrative proceeding.

Jim Scanlon has not been the subject of a bankruptcy petition.