

# FIRM BROCHURE SUPPLEMENT (*PART 2B OF FORM ADV*)

March 12, 2024

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John P. Moore

This brochure supplement provides information about John P. Moore, a supervised person of Harpswell Capital Advisors, LLC ("Harpswell Advisors") that supplements the Harpswell Advisors' brochure. You should have received a copy of that brochure. Please contact John Moore, if you did not receive the firm's brochure or you have any questions about the contents of this supplement.

Additional information about Harpswell Capital Advisors, LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

John P. Moore (Year of Birth - 1966) – President of Harpswell Capital Advisors, LLC.

Mr. Moore (Jack) formed Harpswell Capital Advisors in 2013. He has over 20 years of investment management experience. Prior to founding Harpswell Advisors, Mr. Moore was the President and Chief Investment Officer of Harpswell Capital Management, LLC, an investment adviser focused on the energy and utility sectors. Jack formed Harpswell Capital Management, LLC in 2006 and managed it until deciding to voluntarily wind down operations and return capital to investors in 2012. Prior to Harpswell Capital Management, LLC, Jack worked with The Vanguard Group from 2003 until 2006. Jack joined Vanguard as an Analyst in the Fixed Income Group covering both utilities and energy companies and became a Principal in 2004. Prior to Vanguard, Jack worked with Bryn Mawr Capital, initially as a Utility Analyst and later in Portfolio Management, and with MetLife Securities, Inc., where he acted as an Analyst covering the utility sector.

Jack Moore earned a Bachelor of Arts degree from Hobart College in 1989, a Master of Science degree from the London School of Economics and Political Science in 1991, and is a Chartered Financial Analyst.

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## **ITEM 3: DISCIPLINARY INFORMATION**

No disciplinary events to disclose.

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## **ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Moore does not engage in any other investment-related business or occupation. He does, however, serve on the investment committee for Maine Health.

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## **ITEM 5: ADDITIONAL COMPENSATION**

There are no arrangements in which someone other than a client gives the supervised person subject to this Brochure Supplement an economic benefit, such as a sales award or other prize for providing advisory services.

## ITEM 6: SUPERVISION

Jack Moore is the President of Harpswell Advisors and, as such, has no immediate supervisor. All employees and supervised persons of Harpswell Advisors, including Mr. Moore, are subject to its Code of Ethics, pursuant to which such persons, including the supervised person, are obligated to report personal trading and other activities, events or conditions that may constitute a conflict of interest between his personal interests and those of Harpswell Advisors' clients. Harpswell Advisors believes that its policies, coupled with its compliance procedures and collaborative working environment, provide effective supervision over the activities of the supervised person that is the subject of this brochure supplement.

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