

# FIRM BROCHURE SUPPLEMENT (PART 2B OF FORM ADV)

March 12, 2024

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Claude R. Perrier

This brochure supplement provides information about Claude R. Perrier, a supervised person of Harpswell Capital Advisors, LLC ("Harpswell Advisors") that supplements the Harpswell Advisors' brochure. You should have received a copy of that brochure. Please contact John Moore, Harpswell Advisors' Chief Compliance Officer, if you did not receive the firm's brochure or you have any questions about the contents of this supplement.

Additional information about Harpswell Capital Advisors, LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS**

Claude R. Perrier (Year of Birth - 1951) – Vice President at Harpswell Capital Advisors, LLC.

Mr. Perrier (Claude) joined Harpswell Capital Advisors in 2016. He has 27 years of investment management experience. Prior to joining Harpswell Advisors, Mr. Perrier was a Director of Advisory Services at CTC|myCFO, a financial advisory division of the Bank of Montreal where he serviced both Private and Tax Exempt clients. Prior to CTC|myCFO, Mr. Perrier was a Principal at Greycourt Advisors, a financial advisory firm in Pittsburgh, PA. There, he assisted clients, both Private and Tax Exempt, in developing investment strategies and recommending suitable investments as needed. He also managed the Hedge Fund and Private equity research effort. He was also a partner with NEPC in Boston, MA. Prior to becoming an investment advisor, Mr. Perrier was the Executive Director and CIO of the Maine Public Pension System.

Claude Perrier earned a Bachelor of Science degree from Rutgers College and a Master of Business Administration from Pace University. He is a Certified Public Accountant.

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## **ITEM 3: DISCIPLINARY INFORMATION**

No disciplinary events to disclose.

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## **ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Perrier does not engage in any other investment-related business or occupation.

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## **ITEM 5: ADDITIONAL COMPENSATION**

There are no arrangements in which someone other than a client gives the supervised person subject to this Brochure Supplement an economic benefit, such as a sales award or other prize for providing advisory services.

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## ITEM 6: SUPERVISION

Claude Perrier reports directly to Jack Moore, President of Harpswell Advisors, (207) 926-1348.

All employees and supervised persons of Harpswell Advisors are subject to its Code of Ethics, pursuant to which such persons, including the supervised person, are obligated to report personal trading and other activities, events or conditions that may constitute a conflict of interest between his personal interests and those of Harpswell Advisors' clients. Harpswell Advisors believes that its policies, coupled with its compliance procedures and collaborative working environment, provide effective supervision over the activities of the supervised person that is the subject of this brochure supplement, which are also subject to periodic review by Harpswell Advisors' compliance personnel.

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