

COVER PAGE

PART 2B OF FORM ADV: FIRM BROCHURE SUPPLEMENT

ASBG, LLC

March 31, 2024

Professional Backgrounds of:

Jonathan I. Berger (Chief Executive Officer & Chief Investment Officer)
Andrew A. Fink (President)

Members of ASBG, LLC's ("ASBG") professional staff are evaluated on the basis of education and professional work experience, prior to hiring. The firm requires that all full-time employees have a college degree. The firm conducts pre-hiring background checks for all employees.

All firm personnel are monitored and supervised by the firm's CEO & CIO, Jonathan I. Berger, and its President, Andrew A. Fink. Supervision is ongoing and includes trade supervision, email monitoring, monitoring of personal trading, periodic performance evaluations, staff meetings and direct communications. All employees listed herein receive compensation solely for their responsibilities at ASBG and certain of its affiliates and earn income from no other material source. The individuals in this report have no reportable disciplinary events to disclose.

This brochure supplement provides information about the qualifications of the above named ASBG investment advisory professionals. This is a supplement to the ASBG brochure (Form ADV, Part 2A). Please contact the firm's President, Andrew A. Fink at (212) 753-7515, or via email to afink@asbirchgrove.com, if you have any questions about this supplement.

Jonathan I. Berger

Chief Executive Officer & Chief Investment Officer

Educational Background and Business Experience

Jonathan I. Berger was born in 1969. He received his a Bachelor of Science degree with a concentration in Finance from Wharton School, University of Pennsylvania.

Mr. Berger has served as the Chief Executive Officer and Chief Investment Officer of ASBG since 2021.

Disciplinary Information

There are no legal and/or disciplinary events to report for Mr. Berger.

Other Business Activities

Mr. Berger serves as CEO & CIO of AS Birch Grove, LP and Ascribe Management, LLC.

Additional Compensation

Mr. Berger receives economic benefits from his role as CEO & CIO of AS Birth Grove, LP in connection with the provision of investment advice to clients.

Supervision

Mr. Berger is a supervised person and an “Access Person” (as defined under Rule 204A-1 of the Investment Advisers Act of 1940) of Birch Grove and, as such, is subject to Birch Grove’s compliance policies and procedures. The Chief Compliance Officer, Jeremy Maco is primarily responsible for the implementation of Birch Grove’s compliance policies and procedures, including the oversight of Mr. Berger and the rest of Birch Grove’s supervised persons. Pursuant to such compliance policies and procedures, supervised persons are required to complete regular compliance training. Mr. Maco can be reached at 212-476-8091.

Andrew A. Fink
President

Educational Background and Business Experience

Andrew A. Fink was born in 1969. He received Bachelor of Arts, magna cum laude, from Columbia College and was elected Phi Beta Kappa. Mr. Fink received his Juris Doctor from Columbia School of Law.

Mr. Fink has served as the President of ASBG since 2021.

Disciplinary Information

There are no legal and/or disciplinary events to report for Mr. Fink.

Other Business Activities

Mr. Fink serves as President of AS Birch Grove, LP and Ascribe Management, LLC.

Additional Compensation

Mr. Fink receives economic benefits from his role as President of AS Birch Grove, LP in connection with the provision of investment advice to clients.

Supervision

Mr. Fink is a supervised person and an Access Person of Birch Grove and, as such, is subject to Birch Grove's compliance policies and procedures. The Chief Compliance Officer, Jeremy Maco is primarily responsible for the implementation of Birch Grove's compliance policies and procedures, including the oversight of Mr. Fink and the rest of Birch Grove's supervised persons. Pursuant to such compliance policies and procedures, supervised persons are required to complete regular compliance training. Mr. Maco can be reached at 212-476-8091.