

*This brochure supplement provides information about Steven E Post that supplements the Pathway Financial Advisers, LLC brochure. You should have received a copy of that brochure. Please contact Steven E Post, Managing Member if you did not receive Pathway Financial Advisers, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Steven E Post is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

Pathway Financial Advisers, LLC  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*

**Steven E Post**

Personal CRD Number: 6140150

Investment Adviser Representative

Pathway Financial Advisers, LLC  
4571 County Road 35  
Auburn, Indiana, 46706  
(260) 925-2887  
[steve@pathwayria.com](mailto:steve@pathwayria.com)

UPDATED: March 2024

## Item 2: Educational Background and Business Experience

**Name:** Steven E Post **Born:** 1952

### Education Background and Professional Designations:

#### Education:

BS Industrial Management with honors, Georgia Institute of Technology - 1974

#### Designations:

**CRPC® - Chartered Retirement Planning Counselor<sup>SM</sup>** MINIMUM

##### QUALIFICATIONS:

- Successfully complete the program;
- Pass the final examination; and
- Comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.
- Successful students receive a certificate and are granted the right to use the designation on correspondence and business cards for a two-year period.
- Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC® designation by:
- completing 16 hours of continuing education;
- reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and
- paying a biennial renewal fee

#### EA - Enrolled Agent

##### MINIMUM QUALIFICATIONS:

- **Written Examination:** You can become an enrolled agent by demonstrating special competence in tax matters by taking a written examination. This track requires that you :
- Apply to take the Special Enrollment Examination (SEE); [prometric.com/irs](http://prometric.com/irs);
- Achieve passing scores on all parts of the SEE;
- Apply for enrollment; and
- Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.
- **IRS Experience:** You can become an enrolled agent by virtue of past service and technical experience with the IRS that qualifies you for enrollment. This track requires that you :
- Possess the years of past service and technical experience specified in Circular 230;
- Apply for enrollment; and

- Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.

## **Business Background:**

11/2012 - Present	Managing Member/Investment Adviser Representative Pathway Financial Advisers, LLC
11/2012 - Present	Chief Investment Officer Pathway Financial Advisers, LLC
11/2012 - 12/2020	Chief Compliance Officer Pathway Financial Advisers, LLC
01/2013 - Present	Partner Post & Miller, Inc.
04/1974 - 01/2013	Owner Steven E Post EA, LLC (Accounting Tax and Consulting Services Firm)

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Steven E Post is a part-time employee of an accounting and tax professional practice, Post & Miller, Inc. He considers these activities as his secondary business. From time to time, he will offer clients advice or products from those activities. Pathway Financial Advisers, LLC always acts in the best interest of the client. Clients are in no way required to implement the plan through any representative of Pathway Financial Advisers, LLC in such individual's outside capacities.

Steven E Post is a part owner of Financial Building, LLC DBA Covenant Properties. This building was purchased by David Tracey (50%), Austin Miller (25%), Steve Post (12.5%) & Joel Grimm (12.5%) in Auburn, Indiana for the purpose of renting it to the Investment Firm Pathway Financial Advisers, LLC & an accounting/tax Firm Post & Miller, Inc. His responsibilities include managing the real estate property for the tenants of Pathway Financial Advisers, LLC &

Post & Miller, Inc. 2 hours a month are devoted to this activity during trading hours. 3 hours a month are devoted to this activity outside of trading hours. 0 yearly compensation is expected to be derived from this business.

Steven E Post is the owner of Steven E. Post, LLC, of which was founded in 2010, duties involve performing trust services for which he is the Trustee, but is not the advisor of record for any clients. Steven E Post allocates 5 – 10 hours a month to this activity outside of trading hours.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Steven E Post does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Pathway Financial Advisers, LLC.

### **Item 6: Supervision**

As a one-third owner and representative of Pathway Financial Advisers, LLC, Steven E. Post is supervised by David R. Tracey, the firm's Chief Compliance Officer. David R. Tracey is responsible for ensuring that Steven E. Post adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for David R. Tracey is (260) 925-2887.