

*This brochure supplement provides information about Austin Scott Miller that supplements the Pathway Financial Advisers, LLC brochure. You should have received a copy of that brochure. Please contact Austin Scott Miller if you did not receive Pathway Financial Advisers, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Austin Scott Miller is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

Pathway Financial Advisers, LLC  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*

**Austin Scott Miller**

Personal CRD Number: 6399252

Investment Adviser Representative

Pathway Financial Advisers, LLC  
4571 County Road 35  
Auburn, IN 46706  
(260) 925-2887  
[austin@pathwayria.com](mailto:austin@pathwayria.com)

UPDATED: March 2024

## Item 2: Educational Background and Business Experience

**Name:** Austin Scott Miller

**Born:** 1986

### **Educational Background and Professional Designations:**

#### **Education:**

Masters of Science, Accounting, Ball State University – 2009

Bachelor of Science, Cum Laude, Accounting, Ball State University 2008

#### **Designations:**

##### **CPA - Certified Public Accountant**

- CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

#### **Business Background:**

09/2014 - Present

Investment Adviser Representative  
Pathway Financial Advisers, LLC

09/2012 - Present

Partner  
Post & Miller Inc

08/2011 - 08/2012

Senior Associate      BDO  
United States

08/2009 - 07/2011

Staff Accountant  
Shepard Schwartz and Harris LLP

01/2004 - 07/2009

Computer Lab Assistant  
Ball State University

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Austin S. Miller is the fifty percent owner of an accounting and tax professional practice, Post & Miller, Inc. He considers these activities as his primary business. From time to time, he will offer clients advice or products from those activities. Pathway Financial Advisers, LLC always acts in the best interest of the client. Clients are in no way required to implement the plan through any representative of Pathway Financial Advisers, LLC in such individual's outside capacities.

Austin Scott Miller is a part owner of Financial Building, LLC DBA Covenant Properties. This building was purchased by David Tracey (50%), Austin Miller (25%), Steve Post (12.5%) & Joel Grimm (12.5%) in Auburn, Indiana for the purpose of renting it to the Investment Firm Pathway Financial Advisers, LLC & an accounting/tax Firm Post & Miller, Inc. His responsibilities include managing the real estate property for the tenants of Pathway Financial Advisers, LLC & Post & Miller, Inc. 5 hours a month are devoted to this activity during trading hours. 10 hours a month are devoted to this activity outside of trading hours. 0 yearly compensation is expected to be derived from this business.

Austin Scott Miller is Part Owner and Managing Member (50%) of Lace and Ace Farms LLC. Two days a month are devoted to this business, and are outside of trading hours.

### **Item 5: Additional Compensation**

Other than salary, Austin S. Miller does not receive any economic benefit from any person, company or organization, in exchange for providing clients advisory services through Pathway Financial Advisers, LLC.

### **Item 6: Supervision**

As a representative of Pathway Financial Advisers, LLC, Austin Scott Miller works closely with supervisor David R. Tracey, the firm's Chief Compliance Officer, and all advice provided to clients is reviewed by this supervisor prior to implementation. David R. Tracey can be reached at (260) 925-2887. Austin Scott Miller adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.