



Form ADV Part 2B – Individual Disclosure Brochure

Timothy D. Gerlach

Investment Adviser Representative

CRD #5798376

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Updated: 01/12/2024

This document provides information about Timothy D. Gerlach that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Timothy D. Gerlach is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Timothy D. Gerlach

Born: 1989

Education: Pennsylvania State University
Bachelor of Science, Finance, 2010
Master of Science, Finance, 2014

Business Background (past 5 years)

05/2023 – Present	Portfolio Manager
11/2018 – 05/2023	Director of Asset Management & Investment Adviser Representative Novem Group
1/2015 – 11/2018	Deloitte Consulting, LLP Senior Consultant

Certifications

Series 65 Uniform Investment Adviser Law Exam

Other Business Activities

Timothy D. Gerlach is affiliated with American Portfolios Financial Services, Inc. as a Non-Registered Assistant.

Additional Compensation

Timothy D. Gerlach does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Timothy D. Gerlach works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Timothy D. Gerlach adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.