

This brochure supplement provides information about Brian Cayon that supplements the Fortress Planning Group LLC brochure. You should have received a copy of that brochure. Please contact Scott Wellens, Founder & Investment Adviser, if you did not receive Fortress Planning Group LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Cayon is also available on the SEC's website at www.adviserinfo.sec.gov.

Fortress Planning Group LLC
Form ADV Part 2B – Individual Disclosure Brochure
for
Brian Cayon
Personal CRD Number: 2961137
Investment Adviser Representative

Fortress Planning Group, LLC
N27W23953 Paul Rd, Suite 103
Pewaukee, WI 53072
(262) 264-5838
brian@fortressplanninggroup.com

UPDATED: 03/13/2024

Item 2: Educational Background and Business Experience

Name: Brian D. Cayon **Born:** 1972

Educational Background and Professional Designations:

2014 Bachelor of Arts in Accounting, Assumption College, 1994

Designations:

Chartered Financial Analyst (CFA®)

The Chartered Financial Analyst ("CFA") charter is a professional designation established in 1962 and awarded by CFA Institute – the largest global association of investment professionals. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charterholders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Certified Public Accountant (CPA)

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience, and testing requirements for licensure as a CPA usually include a minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain the CPA license, states typically require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

Business Experience:

02/2022 - Present	Financial Advisor & Partner Fortress Planning Group
06/2021 - 02/2022	Senior Portfolio Manager, Northern Trust Company
07/2016 - 05/2021	Chief Investment Officer, Waukesha State Bank Wealth Management
06/2000 - 06/2016	Senior Portfolio Manager, Cent Wealth Management

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Brian Cayon is not actively engaged in any investment-related business or occupation nor is he actively engaged in any other business or occupation for compensation.

Item 5: Additional Compensation

Brian Cayon does not receive any economic benefit from someone who is not a client; including sales awards, prizes or bonuses.

Item 6: Supervision

As a representative of Fortress Planning Group LLC, Brian Cayon is supervised by Scott Wellens, the firm's Founder and Lead Financial Advisor. Scott Wellens is responsible for ensuring that Brian Cayon adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Written Policies and Procedures Manual and Code of Ethics. The phone number for Scott Wellens (262) 264-5838.

Item 7: Requirements For State Registered Advisers

A. Brian Cayon has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Brian Cayon has **NOT** been the subject of a bankruptcy petition.