

This brochure supplement provides information about Scott Wellens that supplements the Fortress Planning Group LLC brochure. You should have received a copy of that brochure. Please contact Scott Wellens, Founder & Investment Adviser, if you did not receive Fortress Planning Group LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Scott Wellens is also available on the SEC's website at www.adviserinfo.sec.gov.

Fortress Planning Group LLC
Form ADV Part 2B – Individual Disclosure Brochure
for
Scott Wellens

Personal CRD Number: 5923727
Investment Adviser Representative

Fortress Planning Group, LLC
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Pewaukee, WI 53072
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UPDATED: 03/13/2024

Item 2: Educational Background and Business Experience

Name: Scott Wellens

Born: 1971

Educational Background and Professional Designations:

Executive Certificate in Financial Planning, Xavier University, 2014

Bachelor of Science, University of Wisconsin - Oshkosh, 1994

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Experience:

04/2011 - Present

Financial Advisor & Founder Fortress
Planning Group

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Scott Wellens is not actively engaged in any investment-related business or occupation nor is he actively engaged in any other business or occupation for compensation.

Item 5: Additional Compensation

Scott Wellens does **not** receive any economic benefit from someone who is **not** a client; including sales awards, prizes or bonuses.

Item 6: Supervision

As the lead advisor and a representative of Fortress Planning Group LLC, Scott Wellens supervises all duties and activities of the firm. Scott Wellens's contact information is on the cover page of this disclosure document. Scott Wellens adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Written Policies and Procedures Manual and Code of Ethics.

Item 7: Requirements For State Registered Advisers

A. Scott Wellens has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Scott Wellens has **NOT** been the subject of a bankruptcy petition.