

**Part 2B of Form ADV: *Brochure Supplement***

Christopher M Marsh  
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Riverside, CA 92506  
951-684-7011

TriCord Advisors, Inc.  
6772 Magnolia Ave  
Riverside, CA 92506

03/31/2024

This brochure supplement provides information about Christopher M Marsh that supplements the TriCord Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Jeremiah Lee 951-684-7011 if you did not receive TriCord Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher M Marsh is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Educational Background and Business Experience**

**Full Legal Name:** Christopher M Marsh      **Born:** 1978

### **Education**

- University of Phoenix; BS, Business Accounting; 2012
- Professional Golfers Career College; AS, Professional Golf Management; 2007

### **Business Experience**

- TriCord Advisors, Inc.; Chief Compliance Officer/ Senior Planner; from 08/01/2012 to Present
- Kieckafer, Schiffer, and Company LLP; Accounting Intern; from 2011 to 2012
- GolfTEC; Manager/ Certified Personal Coach; from 2008 to 2011
- United States Marine Corps; Staff Sergeant; from 1999 to 2007

### **Designations**

Christopher M Marsh has earned the following designation(s) and is in good standing with the granting authority:

- Certified Financial Planner™; Certified Financial Planner Board of Standards, Inc.; 2018  
The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

## **Item 3 Disciplinary Information**

Christopher M Marsh has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. Christopher M Marsh is also engaged in the following investment-related activities:

**Registered representative of a broker-dealer**

Christopher Marsh is a registered representative with Purshe Kaplan Sterling Investments, a registered broker/dealer and Member FINRA/SIPC. In his separate capacity as a registered representative, Mr. Marsh will receive commission-based compensation in connection with the purchase and sale of securities, including 12b-1 fees for the sale of investment company products. This practice presents a conflict of interest as Mr. Marsh may have an incentive to effect securities transactions for the purpose of generating commissions rather than solely based on Client needs. To mitigate this conflict, Clients are under no obligation, contractually or otherwise, to purchase securities products through Mr. Marsh in either his capacity as a registered representative or as an IAR.

**B. Non Investment-Related Activities**

Christopher M Marsh is engaged as an Adjunct Professor at California Baptist University in Riverside, California teaching the Capstone Certified Financial Planner® Course for one semester per year.

**Item 5 Additional Compensation**

Christopher M Marsh does not receive any economic benefit from a non-advisory client for the provision of advisory services.

**Item 6 Supervision**

**Supervisor:** Jeremiah J Lee

**Title:** Chief Executive Officer

**Phone Number:** 951-684-7011

Jeremiah J. Lee, CEO of TriCord Advisors, Inc. is responsible for supervising Mr. Marsh's advisory activities. Mr. Lee monitors email communications and periodically reviews accounts.