

**Item 1: Cover Page for Part 2B of
Form ADV: Brochure Supplement
March 2024**

Joseph Cacia



Collar Capital Management, LLC
100 West Lawrence St., Suite 418
Appleton, WI 54911

Toll Free Number: (920) 903-9540
Office Number: (920) 830-1556

This brochure supplement provides information about Joseph Cacia that supplements our brochure. You should have received a copy of that brochure. Please contact Patrick Collar, Managing Member and Chief Compliance Officer, if you did not receive Collar Capital Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph Cacia is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #4562829.

Item 2: Educational Background & Business Experience

Joseph Richard Cacia

Year of Birth: 1974

Formal Education:

- 1996 – University of Maryland at College Park, BS Business

Business Background:

- 2009 – Present: Collar Capital Management, LLC; Vice President, Investment Adviser Representative
- 2014 – Present: Roshelen, Inc.; Owner and Investment Advisor Representative
- 2005 – 2009: Morgan Stanley Smith Barney (Previously known as Morgan Stanley & CO. Incorporated, and Morgan Stanley DW, Inc.); Portfolio Manager, Financial Advisor
- 2002 – 2005: Citigroup Global Markets, Inc.; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 2002 – Series 7, 63 & 65

Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Mr. Cacia.

Item 4: Other Business Activities

Mr. Cacia may receive compensation from working as an actor in television and movies. While this activity does not take up more than 10% of his annual work time, Mr. Cacia's compensation does exceed 10% of what he receives from our firm.

Mr. Cacia is an investment advisor representative of Roshelen, Inc. (CRD# 170904). Mr. Cacia is also a solicitor and client relationship manager to Collar Capital Management, LLC. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Collar Capital Management, LLC always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any Collar Capital Management, LLC representative in such individual's outside capacities.

Item 5: Additional Compensation

Mr. Cacia does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Patrick Collar, Chief Compliance Officer and Fiduciary Compliance Officer ("FCO"), supervises Mr. Cacia's activities and the fiduciary activities of the firm's investment adviser representatives. In order to maintain the firm's level-fee fiduciary status and compliance with the firm's Code of Ethics, the FCO will ensure that the Impartial Conduct Standards are adhered to and all necessary prohibited transaction exemption requirements are satisfied. Please contact the FCO if you have any questions at (920) 903-9540.