

## Andrew D. Houghton

Delta Investment Management, LLC  
708 Montgomery Street  
San Francisco, CA 94111  
(415) 249-6337

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This Brochure Supplement provides information about Andrew D. Houghton that supplements the Delta Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Nicholas G. Atkeson, Managing Member at (415) 249-6337 if you did not receive Delta Investment Management, LLC's Brochure or if you have any questions about the content of this supplement.

Additional information about Andrew D. Houghton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Houghton's CRD number is 2825725.

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### **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Andrew D. Houghton was born in 1963. He received a BA in Economics from Boston University in 1985.

#### Employment Background

Employment Dates: 4/2009 - Present  
Firm Name: Delta Investment Management, LLC  
Type of Business: Investment Advisor  
Job Title & Duties: Chief Investment Strategist, oversight of investment process

Employment Dates: 5/2016 – 7/2018  
Firm Name: US Capital Wealth Management, LLC  
Type of Business: Investment Advisor  
Job Title & Duties: Principal, oversight of investment process

Employment Dates: 4/2006 – 3/2009  
Firm Name: Delta Force Capital, LLC  
Type of Business: Hedge Fund  
Job Title & Duties: Partner, portfolio manager

**Employment Background (continued)**

Employment Dates: 3/2005 – 3/2006  
Firm Name: ThinkEquity LLC  
Type of Business: Brokerage/Investment Bank  
Job Title & Duties: Managing Director/Institutional Sales (Research)

Employment Dates: 3/2003 – 3/2005  
Firm Name: Susquehanna International Group, LLC  
Type of Business: Brokerage/Trading/Options & Equity  
Job Title & Duties: Institutional Sales (Research)

Employment Dates: 11/1996 – 3/2003  
Firm Name: Banc of America Securities, LLC  
Type of Business: Brokerage/Investment Bank  
Job Title & Duties: Principal/Institutional Sales (Research)

**ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

**ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Houghton is not involved in any other business activities.

**ITEM 5: ADDITIONAL COMPENSATION**

Mr. Houghton does not receive any economic benefit from any non-client for providing advisory services.

**ITEM 6: SUPERVISION**

Nicholas G. Atkeson, Managing Member, is responsible for the supervision of Mr. Houghton. His telephone number is (415) 249-6337.