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This brochure provides information about the qualifications and business practices of Elliot J. Turner, Esq. Elliot J. Turner is a Managing Partner at RGA Investment Advisors, LLC. The information in this brochure has not been approved or verified by the U.S. Securities and Exchange Commission or by any State securities authority.

Additional information about RGA Investment Advisors, LLC is available through the U.S. Securities and Exchange Commission at the following Internet site: [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Recent update  
March 2024

## Item 1

**Elliot J. Turner, Esq.**

**RGA Investment Advisors LLC  
185 Great Neck Road, Suite 306  
Great Neck, NY 11021**

This Brochure Supplement provides information about Elliot J. Turner that supplements the RGA Investment Advisors LLC Brochure. You should have received a copy of that Brochure. Please contact [ria@rgaia.com](mailto:ria@rgaia.com) if you did not receive the RGA Investment Advisors LLC Brochure or if you have any questions about the contents of this supplement.

Additional information about Elliot J. Turner is available on our website at [www.rgaia.com](http://www.rgaia.com)

## Item 2 Educational Background and Business Experience

### *Educational Background and Business Experience*

Elliot J. Turner, Esq., Managing Partner

Year of birth: 1982

#### Schools Attended:

- Brooklyn Law School – J.D. 2007, Emory University - B.A. 2004

#### Licenses Held:

Admitted to the New York State Bar  
Series 65 Examination, 2011

#### Work Experience:

- AustinWeston Asset Management LLC, Managing Director 2011-2012
- Chimera Securities, Equity Trader, 2011 and 2008-2009
- T3 Capital, Equities Trader 2009-2010

#### Business Background for at least the preceding Five Years:

- Chimera Securities – 2008-2009
- T3 Capital – 2009-2010
- Chimera Securities – 2011
- AustinWeston Asset Management – 2011-2012
- RGA Investment Advisors – 2012-Present

## Item 3 Disciplinary Information

### *Disciplinary Information*

Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

#### **Item 4 Other Business Activities**

##### *Other Business Activities*

No information is applicable to this item.

#### **Item 5 Additional Compensation**

##### *Additional Compensation*

Elliot J. Turner receives no additional compensation from any other business.

#### **Item 6 Supervision**

##### *Supervision*

Our supervision structure is a firm wide process. Supervision is a multi-tiered approach: the creation and implementation of policies and procedures, reporting, due diligence and oversight provided at a firm level, and finally ongoing reporting and meetings with you. Oversight at the firm level is provided by a series of partnership committee meetings. The partnership committee, comprised of Jason Gilbert and Elliot Turner, create and maintain ongoing oversight processes for specific functions; management, investment selection, client service, and business development among others.

The advice provided to you is monitored in several ways. The monitoring begins with internal controls we have in place regarding recommended investments. As a matter of policy, an advisor is only permitted to recommend investments that have been thoroughly vetted, approved, and that have ongoing due diligence conducted by our research department.

In addition to this firm-wide control on the investments recommended to you, direct supervision is provided at the partner level. This supervision could include participating in meetings with you and reviewing recommendations. We also generally provide service to you as a team, such that a member of the team provides a level of supervision over the other. In making the specific recommendation to you, your Investment Advisor will focus on educating you on the specifics of the investment recommendations being made, in order to assist you through your engagement with RGA Investment Advisors LLC.

#### **Item 7 Requirements for State Registered Advisors**

##### *Requirements for State Registered Advisors*

Not applicable.