

*This brochure supplement provides information about Bala Cumaresan, CEO that supplements the Siharum Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Bala Cumaresan, if you did not receive Siharum Advisors, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Bala Cumaresan is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*For*

**Bala Cumaresan**

Investment Adviser Representative

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## **Item 2: Educational Background and Business Experience**

**Name:** Bala Cumaresan

**Born:** 1967

### **Education Background and Professional Designations:**

#### **Education:**

MBA Finance & Accounting, The University of Connecticut Storrs, Connecticut – 1993  
BS Engineering, Bharathiyar University Coimbatore, India – 1990

#### **Designations:**

#### **Chartered Financial Analyst Charter Holder**

CFA charter holder minimum qualifications include:

- Bachelor's degree (or equivalent, as assessed by CFA Institute)
- Pass three six-hour exams administered by the CFA Institute
- Complete four years of qualified, investment work experience
- Adhere to the CFA Institute's Code of Ethics and Standards of Professional Conduct
- Adhere to the OFAC compliance policy
- Obtain and maintain membership at a local CFA member society

#### **Business Background:**

2008 – Present	CEO/President/Managing Member Siharum Advisors, LLC
2000 – 2008	Chief Investment Officer Federal Street Advisors, Inc.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Bala Cumaresan is not engaged in any investment-related business or occupation (other than this advisory firm), except that in limited cases he may agree to act as a trustee to a client of the advisory firm that is a trust, or to a trust affiliated with a client of the advisory firm. Bala Cumaresan is the CEO, President, and a Managing Member of the advisory firm.

### **Item 5: Additional Compensation**

Other than salary, bonuses and earnings from his equity stake in Siharum Advisors, LLC, Bala Cumaresan does not receive any economic benefit from any person, company, or organization, in exchange for providing advisory services to clients through Siharum Advisors, LLC.

### **Item 6: Supervision**

Siharum Advisors, LLC has a disciplined and well-defined investment approach that includes monitoring controls and review procedures by various employees.

As the majority owner, CEO, and CIO of Siharum Advisors, LLC, Bala Cumaresan has primary responsibility for the investment and business activities of the firm. In addition, he serves as the Chief Compliance Officer and has primary responsibility for the compliance program of the firm. Siharum Advisors, LLC's elected Board of Directors has responsibility for the overall corporate governance of the firm. Bala Cumaresan's contact information is on the cover page of this disclosure document.