

FORM ADV: PART 2B

BROCHURE SUPPLEMENT

SARA-BAY

Sara-Bay Financial Corp.

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941.371.8027
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Mr. Hard is responsible for the leadership and direction of our advisory practice as well as ensuring the investment activities are being performed to your expectations.

Your account will be reviewed on an ongoing basis by Mr. Hard to ensure that your stated investment objectives and guidelines are being met. Any adjustments made to your predefined guidelines are dictated by your stated investment objectives and guidelines.

BROCHURE SUPPLEMENT
DATED

1

January
2020

This Brochure Supplement provides information about James Chris Mills that is an accompaniment to the Disclosure Brochure for our firm, Sara-Bay Financial Corp. You should have received both of these together as a complete disclosure packet. If you did not receive our Disclosure Brochure or if you should have questions about this Brochure Supplement for Mr. Mills, you are welcome to contact us - our contact information is listed to the left.

Additional information about Sara-Bay Financial Corp. and James Chris Mills are also available on the SEC's website at www.adviserinfo.sec.gov.

James Chris Mills

CRD#: 6120941
Year of Birth: 1987

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

In 2012, Chris began working in financial industry trading equities and mutual funds for Fidelity Investments. He held a variety of roles, most recently working with private clients providing investment consulting and building retirement plans. His qualifications and experience include the following:

Education: 2009- Coastal Carolina University: Bachelor of Business Administration, Marketing

CFP®: Certified Financial Planner™ 2019

Licenses:

Series 63-Uniform Securities Agent State Law Examination (2012) Active
Series 65-Uniform Investment Advisor Law Examination (2013) Active

CFP®: Certified Financial Planner™ 2019

FINRA Exams

Series 7 - General Securities Representative (2013)

Business Background :

03/2019 - PresentSara-Bay Financial Corp.

Position: Officer

09/2012 - 02/2019Fidelity Brokerage Services, LLC

Position: Financial Advisor

Disciplinary Information:

There are no legal or disciplinary events to report.

Other Business Activities:

There are no other business activities.

Additional Compensation

Chris does not receive any economic benefit, sales awards, prizes or bonuses that are based on the number or amount of sales, client referrals, or from opening new accounts.