

**Part 2B of Form ADV: *Brochure Supplement***

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This brochure provides information about Ken Dodson that supplements The Wealth Advantage Group, Inc. brochure. Please contact Ken Dodson if you have any questions about the contents of this supplement.

Additional information about Ken Dodson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Ken Dodson's CRD Number is 1178399.

**March 2024**

**Educational Background and Business Experience**

Ken A. Dodson PFS, AIF was born in 1957. Mr. Dodson has been an owner of the Wealth Advantage Group (WA Group) since its inception in 2002. He began his professional career in 1979 at Price Waterhouse & Co. From 1982 to 1986 Mr. Dodson was employed by Cranston Securities Company, an investment banking firm, while obtaining his MBA from Indiana University. From 1986 to 1998 Mr. Dodson was an independent financial adviser holding a series 7 securities license with New England Securities and FSC Securities Corp. In 1998 he de-activated his securities license to offer fee-only investment management services. From 1998 to 2002, immediately prior to establishing WA Group, Mr. Dodson was a shareholder in Hamilton Capital Management, a fee-only investment advisory firm.

**Education**

B.S., Accounting, Ohio State University, 1979

MBA, Finance & Real Estate, Indiana University 1984.

## Professional Certifications

**Certified Public Accountant (CPA – This license is currently inactive):** Mr. Dodson has been a CPA since 1984, and currently holds an inactive license as a CPA in Ohio. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with a concentration in accounting), two years of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, and successful passage of the Uniform CPA Examination.

In order to maintain a CPA license, Ohio requires the completion of 120 hours of continuing professional education (CPE) every three years. All CPAs that are members of the American Institute of Certified Public Accountants (AICPA) are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

**Personal Financial Specialist (PFS):** Mr. Dodson received his PFS credential in 1992. The PFS credential is awarded by the American Institute of Certified Public Accountants to CPAs who have met minimum education, experience and testing requirements that demonstrate the CPA has met a required minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must

- Hold an unrevoked CPA license,
- Fulfill 3,000 hours of personal financial planning business experience,
- Complete 80 hours of personal financial planning CPE credits, and,
- Pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's *Code of Professional Conduct*, and is encouraged to follow AICPA's *Statement on Responsibilities in Financial Planning Practice*.

**Accredited Investment Fiduciary (AIF):** In 2013, Mr. Dodson obtained the AIF designation from the Center for Fiduciary Studies, the standards setting body for Fi360. The AIF designation signifies specialized knowledge of fiduciary responsibility and the ability to implement policies and procedures that meet a defined standard of care. The designation is the culmination of a rigorous training program, which includes a comprehensive, closed book final examination under the supervision of a proctor, and agreement to abide by the Center's Code of Ethics and Conduct Standards. On an ongoing basis, completion of continuing education and adherence to the Code of Ethics and Conduct Standards are required to maintain the AIF Designation.

**Disciplinary Information**

No information is applicable to this Item.

**Other Business Activities**

Ken Dodson has no outside business activities applicable to this item. To mitigate conflicts of interest and distractions that might affect Ken's ability to provide fiduciary-focused services to his clients, Mr. Dodson does not engage in any business activities that might create a conflict of interest with WA Group or any clients of WA Group.

**Compensation**

As a fee-only firm, WA Group receives compensation only from its clients and receives no commissions, rebates, or referral fees from any third-party.

**Supervision**

As President and Chief Compliance Officer, Mr. Dodson is responsible for supervising the staff of WA Group and for reviewing accounts. From time to time all accounts at WA Group, including those served by Ken, are reviewed for consistency with client mandates and firm policies.