

## Item 1 – Cover Page



# THE FAIRMAN GROUP

Form ADV, Part 2B

## Firm Brochure Supplement

**THE FAIRMAN GROUP, LLC**  
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Chesterbrook, PA 19087  
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[www.fairmangroup.com](http://www.fairmangroup.com)

**March 28, 2024**

<u>Investment Advisor Representative</u>	<u>Title</u>	<u>CRD#</u>
• Roy M. Fairman, CPA/PFS	Managing Partner <sup>(1)</sup>	4559776
• Douglas E. Morisoli, CFA/CPA, CFP®	Partner <sup>(1)</sup>	1341003
• Marianne Inforzato, CFP®	Partner <sup>(1)</sup>	2573405
• Shawn P. Kindt, CPA/PFS, CFP®	Partner <sup>(1)</sup>	4559777
• Ryan J. Gelrod, CFA	Principal	2542098
• Kelley R. Taylor, CFP®	Senior Manager	5422106
• Andrew R. Green, CFP®	Senior Manager	5670948
• Patrick R. Gorgonzola, CFP®, CPWA®	Senior Manager	6081977
• Taylor D. Sittig	Manager	6378638
• Vy To CFP®	Manager	6551507
• Samuel J. Mullen, CFP®	Senior Associate	7214894
• Nathan L. Fullmer, CFP®	Senior Associate	6936167
• Samantha L. Lavrich	Snr Client Service Associate	6904520
• Kyle D. French	Associate	7496827

<sup>(1)</sup> The Partners of Fairman Group Family Office LLP own 100% of The Fairman Group LLC and serve on its Investment Advisory Board.

This brochure supplement provides information about our personnel listed above that supplements The Fairman Group's Form ADV Part 2 brochure. You should have received a copy of that brochure. Please contact Douglas E. Morisoli, Partner and Chief Compliance Officer, at 610-889-7300 if you did not receive The Fairman Group's brochure or if you have any questions about the contents of this supplement.

A Summary of Professional Designations is also included with this Part 2B Brochure Supplement. This list is provided to assist you in evaluating the professional designations held by our professionals.

Additional information about our personnel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Name:** Roy M. Fairman, CPA/PFS  
Managing Partner  
CRD # 4559776

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1945

Formal Education after High School:

- Gettysburg College - BA in Biology, 1968
- Wharton Grad School-MBA in Management, 1973

Business Background:

- March 31, 2012 to Present  
Managing Partner, Fairman Group Family Office LLP
- June 2002 to March 30, 2012  
Principal, The Fairman Group LLC
- May 1973 through December 2001  
Partner, Arthur Andersen LLP

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Roy M. Fairman is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Douglas E. Morisoli, CFA, CPA, CFP®  
Partner  
CRD # 1341003

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1962

Formal Education after High School:

- UCLA – BA Economics/Business, 1989

Business Background:

- March 31, 2012 to Present  
Partner, Fairman Group Family Office LLP
- June 2002 to March 30, 2012  
Principal, The Fairman Group LLC
- July 2001 – May 2002  
Senior Manager, Arthur Andersen LLP
- January 1998 – June 2001  
Senior Manager, The Vanguard Group
- August 1989 – December 1997  
Manager, Arthur Andersen LLP

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Douglas E. Morisoli is the Chief Investment Officer and Chief Compliance Officer. He is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Marianne Inforzato, CFP®  
Partner  
CRD # 2573405

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1971

Formal Education after High School:

- University of Pennsylvania - Wharton School – BS Economics, 1993

Business Background:

- March 31, 2012 to Present  
Partner, Fairman Group Family Office LLP
- June 2002 to March 30, 2012  
Principal, The Fairman Group LLC
- May 1993 – May 2002  
Manager, Arthur Andersen LLP

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Marianne Inforzato is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Shawn P. Kindt, CPA/PFS, CFP®  
Partner  
CRD # 4559777

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1973

Formal Education after High School:

- Drexel University - BS in Accounting, 1996
- St. Joseph's University – MS Financial Services, 2004

Business Background:

- March 31, 2012 to Present  
Partner, Fairman Group Family Office LLP
- June 2002 to March 30, 2012  
Principal, The Fairman Group LLC
- September 1996 – May 2002  
Manager, Arthur Andersen LLP

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Shawn P. Kindt is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Ryan J. Gelrod, CFA  
Principal  
CRD # 2542098

## **Item 2 – Educational Background and Business Experience**

Year of Birth: 1971

Formal Education after High School:

- Lehigh University - BS Finance, 1993

Business Background:

- January 1, 2015 to Present  
Principal, The Fairman Group LLC
- October 1, 2012 – December 31, 2014  
Senior Manager, The Fairman Group LLC
- February 2009 – September 2012  
Chief Investment Officer, Aegis Capital Management LLC
- March 2008 – May 2008  
Senior Credit Analyst, Diamond Lake Investment Group
- November 2006 – March 2008  
Vice President, Deutsche Asset Management
- January 2002 – January 2004  
Vice President, Goldman Sachs Asset Management
- June 1996 – January 2002  
Vice President, J.P. Morgan Securities
- May 1994 – June 1996  
Assistant Vice President, Merrill Lynch & Co.

## **Item 3 – Disciplinary Information**

None

## **Item 4 – Other Business Activities**

None

## **Item 5 – Additional Compensation**

None

## **Item 6 – Supervision**

Ryan J. Gelrod is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Kelley R. Taylor, CFP®  
Senior Manager  
CRD # 5422106

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1983

Formal Education after High School:

- Drexel University - BS In Business Administration, 2006

Business Background:

- January 1, 2019 to Present  
Senior Manager, The Fairman Group LLC
- March 31, 2012 to December 31, 2018  
Manager, The Fairman Group LLC
- July 2006 to March 30, 2012  
Senior Associate, The Fairman Group LLC

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Kelley R. Taylor is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Andrew R. Green, CFP®  
Senior Manager  
CRD # 5670948

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1985

Formal Education after High School:

- West Chester University of PA – BS in Accounting, 2008

Business Background:

- January 1, 2020 to Present  
Senior Manager, The Fairman Group LLC
- January 1, 2014 to December 31, 2019  
Manager, The Fairman Group LLC
- March 31, 2012 to December 31, 2013  
Senior Associate, The Fairman Group LLC
- January 2009 to March 30, 2012  
Associate, The Fairman Group LLC

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Andrew R. Green is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.



**Name:** Patrick R. Gorgonzola, CFP®, CPWA®  
Senior Manager  
CRD # 6081977

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1989

Formal Education after High School:

- Penn State University – BS in Management & Organization, 2012

Business Background:

- January 1, 2024 to Present  
Senior Manager, The Fairman Group LLC
- January 1, 2020 to December 2023  
Manager, The Fairman Group, LLC
- September 2016 to December 2019  
Senior Associate, The Fairman Group LLC
- July 2014 to August 2016  
Financial Advisor, The Vanguard Group
- June 2012 to July 2014  
Investment Professional, The Vanguard Group

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Patrick R. Gorgonzola is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Taylor D. Sittig  
Manager  
CRD # 6378638

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1992

Formal Education after High School:

- Villanova University – BS in Mathematics, 2014

Business Background:

- January 1, 2020 to Present  
Manager, The Fairman Group LLC
- September 2018 to December 2019  
Senior Associate, The Fairman Group LLC
- November 2016 to September 2018  
Manager, myCIO Wealth Partners LLC
- June 2014 to November 2016  
Analyst, myCIO Wealth Partners LLC

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Taylor D. Sittig is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Vy To, CFP®  
Manager  
CRD #6551507

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1991

Formal Education after High School:

- Widener University– BS in Business Administration, 2014
- Widener University– MS in Financial Planning and Taxation, 2016

Business Background:

- August 17, 2023 to Present  
Manager, The Fairman Group LLC
- May 1, 2021 to July 22, 2023  
Manager, myCIO Wealth Partners LP
- September 13, 2016 to April 30, 2021  
Financial Analyst, myCIO Wealth Partners LP

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Vy To is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Samuel J. Mullen, CFP®  
Senior Associate  
CRD # 7214894

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1997

Formal Education after High School:

- Temple University – BS in Financial Planning, 2019

Business Background:

- January 1, 2022 to Present  
Senior Associate, The Fairman Group LLC
- November 2019 to December 31, 2021  
Associate, The Fairman Group LLC

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Samuel J. Mullen is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Nathan L. Fullmer, CFP®  
Senior Associate  
CRD # 6936167

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1998

Formal Education after High School:

- Drexel University – BS in Business Administration, 2020

Business Background:

- January 1, 2023 to Present  
Senior Associate, The Fairman Group LLC
- January 2021 to December 31, 2022  
Associate, The Fairman Group LLC

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Nathan L. Fullmer is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Samantha L. Lavrich  
Senior Client Service Associate  
CRD # 6904520

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1996

Formal Education after High School:

- Wagner College – BS in Business Administration, 2018

Business Background:

- January 1, 2023 to Present  
Senior Client Service Associate, The Fairman Group LLC
- October 2021 to December 31, 2022  
Client Service Associate, The Fairman Group LLC
- January 2018 to September 2021  
Registered Client Associate, Merrill Lynch

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Samantha L. Lavrich is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

**Name:** Kyle D. French  
Associate  
CRD # 7496827

**Item 2 – Educational Background and Business Experience**

Year of Birth: 2000

Formal Education after High School:

- Castleton University – BS in Accounting and Management, 2022

Business Background:

- September 2022 to Present  
Associate, The Fairman Group LLC

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Kyle D. French is supervised and monitored by the Investment Advisory Board. The Board is comprised of the Members noted on the cover page. You may contact a Member of the Investment Advisory Board at 610-889-7300.

## Summary of Professional Designations

This information is provided to assist you in evaluating the professional designations and minimum requirements of our investment professionals to obtain and hold these designations.

***“Understanding Professional Designations”*** is a resource maintained on the FINRA website. This site has information on other designations in addition to those described below. For information go to: <http://apps.finra.org/DataDirectory/1/prodesignations.aspx>

### **CERTIFIED FINANCIAL PLANNER™(CFP®)**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and



- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

To learn more about the CFP® certification, visit [www.cfp.net](http://www.cfp.net)

## **CHARTERED FINANCIAL ANALYST (CFA)**

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 90,000 CFA charterholders working in 134 countries. To earn the CFA charter, candidates must:

- 1) pass three sequential, six-hour examinations;
- 2) have at least four years of qualified professional investment experience;
- 3) join CFA Institute as members; and
- 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

## **Global Recognition**

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 22 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

## **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org)

## **CERTIFIED PUBLIC ACCOUNTANT (CPA)**

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the

client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.

To learn more about the CPA license, visit [www.aicpa.org](http://www.aicpa.org)

### **PERSONAL FINANCIAL SPECIALIST (PFS)**

The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, fulfill 3,000 hours of personal financial planning business experience, complete 80 hours of personal financial planning CPE credits, pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's *Code of Professional Conduct*, and is encouraged to follow AICPA's *Statement on Responsibilities in Financial Planning Practice*. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

To learn more about the PFS designation, visit [www.aicpa.org](http://www.aicpa.org)

### **CERTIFIED PRIVATE WEALTH ADVISOR® (CPWA®)**

The CPWA® designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on private wealth management topics and strategies for high-net-worth clients. Prerequisites for the CPWA® designation are: A Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC®, or CPA® license; have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements and five years of professional client-centered experience in financial services or a related industry. CPWA® designees have completed a rigorous educational process that includes self-study requirements, an in-class education component, and successful completion of a comprehensive examination. CPWA® designees are required to adhere to Investments & Wealth Institute™'s Code of Professional Responsibility and Rules and Guidelines for the use of the Marks. CPWA® designees must report 40 hours of continuing education credits, including two ethics hours every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute™.

To learn more about the CPWA® designation, visit [www.investmentsandwealth.org](http://www.investmentsandwealth.org)