

Form ADV Part 2B – Brochure Supplement

Colin M. Schwerin

**NORTH STAR INVESTMENT MANAGEMENT
CORPORATION**

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This Brochure Supplement provides information about Mr. Colin Schwerin. Colin Schwerin that supplements North Star Investment Management Corporation's Brochure. You should have received a copy of that Brochure. Please contact the Firm's Chief Compliance Officer at the number provided above if you have not received our Brochure or if you have any questions about the content of this Supplement.

Additional information about Mr. Schwerin is available on the SEC's Web site at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Registered investment advisers are required to disclose in this Item the supervised person's name, year of birth, educational background, and business background. Following is the information responsive to this requirement:

Name of Supervised Person: Colin M. Schwerin

Year of Birth: 1994

Formal Education: Mr. Schwerin earned his B.S. in Electrical Engineering from the University of Kentucky. Mr. Schwerin has completed the Certified Financial Planner (CFP®) education program at Bryant University. In March 2024, he passed the CERTIFIED FINANCIAL PLANNER™ exam. The Certified Financial Planner Board of Standards Inc. licenses certificants. Note that, in general, in order to qualify as a CFP® professional, the candidate must meet all of the following requirements: (1) earn a bachelor's degree (or higher) from an accredited college or university, (2) have three years of full-time personal financial planning experience, and (3) complete a CFP board-registered program. Mr. Schwerin is currently in his first year of full-time personal financial planning. Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Business Experience: Mr. Schwerin joined North Star Investment Management Corporation (“NSIMC”) in January of 2024 and is an investment advisor representative. From July 2018 through January 2024, Mr. Schwerin worked as an Electrical and Control Systems Engineer at Burns & McDonnell. From June 2017 through June 2018, Mr. Schwerin was employed at Sargent & Lundy, LLC as an Electrical and Control Systems Engineer.

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that may be material to your evaluation of each supervised person providing investment advice. There is no information applicable to this requirement.

Item 4 – Other Business Activities

Mr. Schwerin devotes substantially all of his professional time to NSIMC. Mr. Schwerin is not actively engaged in any noninvestment-related business or occupation that represents a substantial source of his income or involves a substantial amount of his time.

Item 5 – Additional Compensation

Mr. Schwerin does not receive any compensation or additional economic benefits from any other third party for providing advisory services through NSIMC.

Item 6 – Supervision

Mr. Schwerin is supervised by Andrew Eisenberg, Chief Compliance Officer. Mr. Eisenberg reviews Mr. Schwerin's work through client account reviews, transactions reports and face to face interactions. Mr. Eisenberg's telephone number is (312) 580-0900. Questions related to the operation of the Firm and the supervision of Mr. Schwerin should be directed to Mr. Eisenberg.