



Form ADV: Part 2B
Brochure Supplement
12/31/2023



Brett Hansen, MBA, CFP[®], E.A.

Dale Buckner, Inc.
Investment Advisor

301 S. Polk, Ste. 420
Amarillo, TX 79101
(806)358-7977 Main
(800)299-7526 Toll Free
(806)358-8050 Fax
www.dalebuckner.com

This brochure supplement provides information about Brett Hansen that supplements the Dale Buckner, Inc. brochure. You should have received a copy of that brochure. Please contact Dale Buckner or Brett Hansen if you have any questions about the contents of this supplement.

Additional information about Brett Hansen is available on the SEC's website at www.adviserinfo.sec.gov.



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Educational Background and Business Experience

Brett DeWayne Hansen
DOB 06/18/1955

Brett has a BS degree from University of Central Florida and a MBA from the University of Colorado at Denver. He received the CFP® designation in 2016.

Brett helps Dale Buckner, Inc. form better client relationships with top priority services. He helps clients achieve their financial and life goals by the financial planning process taught by the CERTIFIED FINANCIAL PLANNING® course work.

M.B.A. Master of Business Administration, University of Colorado at Denver, 2002
B.S. Psychology, University of Central Florida, Orlando, FL 1977
Registered Representative, United Planners Financial Services, 2014 to Present
Registered Investment Advisory Representative, Dale Buckner, Inc, 2015 to Present
Certified Financial Planner (CFP®), 2016
Enrolled Agent, 2021

The CERTIFIED FINANCIAL PLANNER™ and CFP® are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 98,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Two-part education requirement includes both (1) completing coursework on financial planning through a CFP Board Registered Program, and (2) holding a bachelor’s degree or higher from an accredited college or university (or its equivalent from a foreign university). Subject areas in coursework include insurance planning, risk management, investment planning, tax planning, estate planning and retirement planning.



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- Examination – Pass the comprehensive CFP® Certification Examination. The examination is a 170 question, multiple-choice test that consists of two 3-hour sessions over one day. The exam includes stand-alone and scenario-based questions, as well as questions associated with case studies
- Experience – Complete 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics – Agree to be bound by CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

An enrolled agent is a person who has earned the privilege of representing taxpayers before the Internal Revenue Service by either passing a three-part comprehensive IRS test covering individual and business tax returns, or through experience as a former IRS employee. Enrolled agent status is the highest credential the IRS awards. Individuals who obtain this elite status must adhere to ethical standards and complete 72 hours of continuing education courses every three years.

Enrolled agents, like attorneys and certified public accountants (CPAs), have unlimited practice rights. This means they are unrestricted as to which taxpayers they can represent,



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what types of tax matters they can handle, and which IRS offices they can represent clients before.

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Brett must complete Firm Elements Continuing Education Courses on an annual basis to retain his securities licenses.

Disciplinary Information

Brett Hansen has no legal or disciplinary events.

Other Business Activities

Brett Hansen is also associated with United Planners Services (UPFS) as a Registered Representative. UPFS is a registered broker-dealer and a member of the Financial Industry Regulatory Authority (FINRA). As a UPFS Registered Representative, Brett Hansen may offer clients the option to purchase securities and investment products distributed by that firm including, but not limited to, mutual funds, variable annuities, variable life insurance, stocks and bonds, and limited partnerships. If a client purchases or sells securities products from Brett Hansen in this capacity, then Dale Buckner, Inc. will receive commissions and related compensation, such as mutual fund service fees.

Brett Hansen is also a licensed insurance agent for the State of Texas. As a licensed insurance agent, Brett Hansen may offer investment advisory clients the option to purchase insurance products. If a client purchases insurance products through Brett Hansen, Dale Buckner, Inc. will receive commissions and related compensation, such as insurance trail fees as a result of the sale.

Brett Hansen makes every effort to recommend securities and insurance products that are most appropriate for the client, without consideration of compensation arrangements. Clients are under no obligation to purchase recommended securities or insurance products through UPFS or Brett Hansen and may purchase such products through the broker-dealers or insurance agency of their choice.

Additional Compensation

Brett Hansen has no additional compensation or economic benefit.

Supervision



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Brett Hansen is the Chief Compliance Officer of Dale Buckner, Inc.

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SEC-Registered Disclosures

Brett Hansen has no events of liable arbitration or events of liability in civil, self-regulatory organizational, or administrative proceedings, and no events of bankruptcy petitions.