

Granthem James Beck  
LIBERTY FINANCIAL GROUP INC  
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[www.LibertyFinancialGroup.com](http://www.LibertyFinancialGroup.com)  
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**March 15, 2024**

This brochure supplement provides information about Granthem James Beck that supplements the LIBERTY FINANCIAL GROUP INC brochure. You should have received a copy of that brochure. Please contact Shannon Nook and June A Schroeder if you did not receive LIBERTY FINANCIAL GROUP INC's brochure or if you have any questions about the contents of this supplement.

Additional information about Granthem James Beck (CRD No. 7036548) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 - Educational Background and Business Experience**

Granthem James Beck

### **Previous/Current Relevant Examinations and Licenses:**

Texas State Registrations 2/27/2023

Wisconsin State Registrations 2/24/2023

Series 65 - Uniform Investment Adviser Law Examination - Mar 29, 2019

### **Business Background (5 years):**

Liberty Financial Group, Inc. – 2023 – Present

Rmb Capital Management – 2019 – 2022

5c Capital Management, LLC – 2019 - 2019

## **Item 3 - Disciplinary Information**

There are no legal or disciplinary events material to a client's or prospective client's evaluation of Mr. Beck.

There have been no administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority or self-regulatory organization (SRO).

## **Item 4 - Other Business Activities**

Mr. Beck is not actively engaged in any other investment-related business or occupation.

## **Item 5 - Additional Compensation**

We do not accept outside additional compensation from non-clients. This is reviewed in the required quarterly disclosure reports.

## **Item 6 - Supervision**

Mr. Beck is supervised by June A Schroeder and Shannon Nook, Liberty's Chief Compliance Officer. His contact information can be found on the cover page of this Schedule 2B supplemental brochure.

Mr. Schroeder, Ms. Nook and other individuals as he may designate, regularly review the accounts receiving investment advisory services to monitor for suitability of recommendations and compliance with regulatory and internal procedures.

## **Item 7 - Requirements for State-Registered Advisers**

Mr. Beck has not been involved in: (a) any arbitration claim alleging damages in excess of \$2,500 resulting in an award or being found liable; (b) any civil, self-regulatory organization, or administrative proceeding resulting in an award or being found liable; or (c) a bankruptcy petition.