



Gragg Financial
Supplement for Jason Daniel Gragg
9 East Marion Street
Shelby, North Carolina 28150
704.482.2001
www.graggfinancial.com
03.08.24

This Brochure provides information about Jason Daniel Gragg, CPA/PFS that supplements the Gragg Financial Brochure. You should have received a copy of that Brochure. Please contact Jay Gragg, Chief Compliance Officer, if you did not receive the Gragg Financial Brochure or if you have any questions about the contents of this supplement.

Additional information about Jason Daniel Gragg also is available on the SEC's website at www.adviserinfo.sec.gov.

Item 1 – Educational Background and Business Experience

Jason Daniel Gragg, CPA/PFS, was born May 10, 1967. Jay has been Partner of Gragg Financial since January 2000. He earned his B.S. in Business Administration-Accounting at Appalachian State University in 1993 and a B.S. in Sociology in 1989. He became a Certified Public Accountant (CPA) in 1997 but has had public accounting experience since 1993. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education each year. Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest, maintain client confidentiality, disclose to the client any commission or referral fees, and service the public interest when providing financial services. Most state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own. Jay has been a Personal Financial Specialist (PFS) since 2006. Jay passed the Series 65 in 1999.

Item 2 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Gragg Financial or the integrity of Gragg Financials' management. Jay Gragg has no information applicable to this item.

Item 3 – Other Business Activities

Jay Gragg is not engaged in other investment-related business activities outside of Gragg Financial.

Item 4 – Additional Compensation

Jay Gragg does not receive additional compensation for advisory services. The firm also has a strict gift and entertainment policy that is overseen by the Chief Compliance Officer

Item 5 – Supervision

Jay Gragg, Chief Compliance Officer, meets regularly with Bryon Gragg in a supervisory role to monitor the advice provided to clients. Jay can be contacted at (704) 482-2001 or jay@graggfinancial.com