

Carter, Terry & Company, Inc.

**3060 Peachtree Road
Suite 1200
Atlanta, GA 30305
404.364.2070**

Jeffry Haspel

ADV Part 2B Brochure Supplement

March 18, 2024

This brochure supplement provides information about Jeffry Haspel that supplements the brochure for Carter, Terry & Company, Inc. (“Carter Terry”). You should have received a copy of that brochure. Please contact Kristin Montet, Chief Compliance Officer, at 404-364-2070 if you did not receive a copy of our brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffry Haspel, CRD #1934054, is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Jeffrey Haspel

Year of birth: 1951

Formal education:

✦ University of Oregon, B.S. Broadcast Communications 1975

Business background:

✦ Carter, Terry & Company, Inc.,
Financial Advisor (5/2017 to Present)
Wilbanks Securities, Inc/Wilbanks Advisory
Financial Advisor, (3/2003 to 5/2017)

Item 3 Disciplinary Information

Mr. Haspel has not been the subject of any legal or disciplinary event that would be material to your evaluation of his professional integrity.

Item 4 Other Business Activities

In addition to being an investment advisor representative with Carter, Terry & Company, Inc. (“Carter Terry”), Mr. Haspel is also registered representative with Carter Terry, a registered broker/dealer. If you purchase products or services through Mr. Haspel in his capacity as a registered representative, Mr. Haspel may receive

commissions or other compensation. For example, should you purchase mutual funds through Mr. Haspel, he may receive 12b-1 fees, which are fees paid by mutual fund companies to broker-dealers for the on-going marketing of their investment products.

This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. Mr. Haspel will explain the costs associated with any recommendations he makes. You have no obligation to do business with Mr. Haspel in any of these capacities. Please be advised that Mr. Haspel strives to put his clients' interests first and foremost.

Item 5 Additional Compensation

Mr. Haspel receives additional compensation for his activities as a registered representative of a broker/dealer. This may also include applicable sales awards and other prizes. This compensation is described under "Other Business Activities" above.

Item 6 Supervision

Mr. Haspel is supervised by Kristin Montet., Chief Compliance Officer. Ms. Montet may be reached at 404-364-2070.

We supervise Mr. Haspel by requiring that he adhere to our processes and procedures as described in our firm's *Code of Ethics* and Compliance Procedures.

Additionally, we will monitor Mr. Haspel's advisory activities on a periodic and ongoing basis by reviewing areas including, but not limited to, the following:

- Account opening documentation;
- Account transactions;
- Custodial information to assess account activity;
- Evidence that your financial situation, objectives, and individual investment needs are reviewed no less than annually; and
- Client communications.

Item 7. Requirements for State-Registered Advisers

Mr. Haspel has not been found liable in a civil, self-regulatory organization or administrative proceeding.

Mr. Haspel has not been the subject of a bankruptcy petition.