

Brochure Supplement

Kevin W. Warman

Investment Management Corporation

*Investment Counsel*

1940 Electric Road  
Roanoke, Virginia 24018

540.774.8899

800.576.4900

Fax: 540.989.6783

Website: InvestmentManagementCorp.com

This brochure supplement provides information about Kevin W. Warman that supplements the Investment Management Corporation brochure. You should have received a copy of that brochure. Please contact J. Gregory Tinaglia if you did not receive Investment Management Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Kevin W. Warman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

This brochure supplement was updated 01.18.2024.

## Educational Background and Business Experience

Personal: Married (Karen); two children (Grace and Calvin)  
Year of birth: 1975

Education: Jefferson Forest H.S. Forest, Virginia

Radford University Radford, Virginia  
B.S. Business Information Systems -1998

Professional  
Designations:

2017 - **Certified Investment Management Analyst®  
(CIMA®)**

The CIMA certification signifies that an individual has met initial and on-going experience, ethics, education, and examination requirements for the job of investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable ethical background/compliance history as decided in an admissions peer review process governed by the Ethics Board. To obtain the CIMA certification, candidates must successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school and pass a Certification Examination. CIMA designees are required to adhere to IWI's Code of Professional Responsibility and Guidance Document, Disciplinary Rules and Procedures, and Rules and Guidelines for Use of the Marks. CIMA designees must report 40 hours of continuing education credits, including two ethics and one tax/regulations hours, every two years to maintain the certification. The designation is administered through Investments and Wealth Institute® (IWI).

## 2018 - **Retirement Management Advisor<sup>®</sup> (RMA<sup>®</sup>)**

The RMA designation signifies that an individual has met initial and on-going experience, ethics, education, and examination requirements for the professional designation, which is centered on retirement management topics and strategies. Prerequisites for the RMA designation are: a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA<sup>®</sup>, CPWA<sup>®</sup>, CIMC<sup>®</sup>, CFA<sup>®</sup>, CFP<sup>®</sup>, ChFC<sup>®</sup>, or CPA license; have an acceptable ethical background/compliance history as decided in an admissions peer review process governed by the Ethics Board and three years of experience in financial services. RMA designees have completed a rigorous educational process that includes an online course, in-person educational Capstone and successful completion of a comprehensive certification examination. RMA designees are required to adhere to the Investments & Wealth Institute Code of Professional Responsibility and Guidance Document, Disciplinary Rules and Procedures, and Rules and Guidelines for Use of the Marks. RMA designees must report 40 hours of continuing education credits, including two ethics and one tax/regulatory hours, every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute.

Business:                      Executive Vice President - Investment Management Corporation-2017 to present- Registered Investment Advisory firm providing fee-only Investment Counsel

Associate - Investment Management Corporation-2014 to 2017- Registered Investment Advisory firm providing fee-only Investment Counsel

Associate - Benefit Plan Consultants, Inc.-2014 to present- Planning Counsel firm providing fee-only financial and employee benefit advisory services

Professional  
Associations/  
Organizations/  
Experience:

Greenfield Athletic Booster Club, President 2019 - 2020

Leadership Roanoke Valley, Advisor 2014

Leadership Roanoke Valley, Class of 2013

Rotary Club of the Blue Ridge, New Generations –  
2011 - 2015

Region 2000 Technology Council, Lynchburg, VA  
Board of Directors 2004 -2006

Young Professionals of Central Va., Lynchburg, VA  
Board of Directors 2003 – 2006

Young Professionals of Central Va., Lynchburg, VA  
Vice President 2005 – 2006

Owner / President – Children’s Fitness Center of  
Lynchburg, VA 2007 – 2010

Owner / President – Eastern RV, Inc., Lynchburg, VA  
2005 – 2007

---

Disciplinary Information

There have never been any legal or disciplinary events.

Other Business Activities

There are no other substantial business activities engaged in.

### Additional Compensation

No arrangements exist that provide additional outside compensation from others.

### Supervision

Notes that date all client contact and actions are filed. J. Gregory Tinaglia, President of Investment Management Corporation is the designated Compliance Officer for regulatory issues. His phone is: 540.774.8899

### Requirements for State-Registered Advisers

Kevin W. Warman has not been found liable in an arbitration claim alleging any damages or liable in a civil, self-regulatory organization or administrative proceeding involving: (a) an investment or an investment-related business or activity, (b) fraud, false statements or omissions, (c) theft, embezzlement or other wrongful taking of property, (d) bribery, forgery, counterfeiting or extortion, or (e) dishonest, unfair, or unethical practices, nor has he been the subject of a bankruptcy petition.