

**Form ADV Part 2B**  
**(1) Cover Page**

**Weatherbie Capital, LLC**  
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Brochure Supplement Date: March 30, 2024

**This brochure supplement provides information about the qualifications and business practices of the following people:**

**Matthew A. Weatherbie, CFA**  
**H. George Dai, Ph.D.**  
**Joshua D. Bennett, CFA**  
**Daniel J. Brazeau, CFA**  
**Scott Lipshutz, CFA**  
**Edward M.B. Minn, CFA**

**that supplements the Weatherbie Capital, LLC (“Weatherbie”) brochure (Part 2A), which you should have received. Please contact us at (617) 951-2550 if you did not receive Weatherbie’s brochure (Part 2A) or if you have any questions about the contents of the brochure (Part 2A) or the brochure supplement (Part 2B). Additional information about Weatherbie and these people is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **(2) Educational Background and Business Experience**

### **Matthew A. Weatherbie, CFA**

#### **Chief Executive Officer Emeritus, Senior Portfolio Manager**

**Date of Birth: 4/21/1945**

Matthew Weatherbie founded Weatherbie in November 1995 and is Chief Executive Officer Emeritus and a Senior Portfolio Manager of Weatherbie. Matt has 51 years of investing experience. Prior to founding Weatherbie, Matt was Managing Director and the Portfolio Manager of the Putnam Voyager Fund from October 1983 through April 1995 at Putnam Investments. Under his management, Voyager grew from \$200 million to over \$5 billion in assets. He was also founding Chief Investment Officer of Putnam's Specialty Growth Equities Group, responsible for all of the firm's aggressive growth investments. Prior to his tenure at Putnam, Matt was a securities analyst and portfolio manager at MFS Investment Management in Boston. Matt graduated from the University of Toronto and received his M.B.A. from Harvard Business School. He is a CFA charterholder and is a member of both the CFA Society Boston and the CFA Institute. Matt is a past chair of the investment committee of the Museum of Fine Arts, Boston. Matt was issued the Certificate in ESG Investing by the CFA Institute.

### **H. George Dai, Ph.D.**

#### **Chief Investment Officer, Senior Portfolio Manager**

**Date of Birth: 8/23/1966**

George Dai is Chief Investment Officer and Senior Portfolio Manager of Weatherbie. George is a Portfolio Manager on the Weatherbie Specialized Growth Strategy, the Weatherbie Long/Short Strategy, the Alger Dynamic Opportunities Strategy and the Weatherbie Enduring Growth Strategy. Additionally, he maintains research responsibilities in the diversified business services, healthcare, and technology areas. George joined Weatherbie in March 2001 and has 25 years of investment experience. During his tenure at Weatherbie, he has been featured and quoted in several publications such as: The New York Times, Investor's Business Daily, Barron's, Reuters, Business Insider and Bloomberg. Prior to joining Weatherbie, he was an equity analyst with 1838 Investment Advisors. George received his M.B.A. from the Wharton School, University of Pennsylvania, (Director's List), and his Ph.D. in chemistry from Johns Hopkins University. Previously, he earned a B.S. from the University of Science and Technology of China and was a pharmaceutical research scientist at Procter & Gamble. George is a prized Bridge player, and he holds four U.S. patents. George was issued the Certificate in ESG Investing by the CFA Institute.

### **Joshua D. Bennett, CFA**

#### **Chief Operating Officer, Senior Portfolio Manager**

**Date of Birth: 10/9/1975**

Joshua Bennett is Chief Operating Officer and Senior Portfolio Manager of Weatherbie. Josh is a Portfolio Manager on the Weatherbie Specialized Growth Strategy, the Weatherbie Long/Short Strategy, the Alger Dynamic Opportunities Strategy and the Weatherbie Enduring Growth Strategy. He also has research responsibilities in the consumer, industrials, technology and diversified business services areas. Josh joined Weatherbie in July 2007 and has 24 years of investment experience. During his tenure at Weatherbie, he has been featured and quoted in several

publications such as: The New York Times, Investor's Business Daily, InvestmentNews, Barron's and MarketWatch. Prior to joining Weatherbie, he was an Equity Research Analyst at MFS Investment Management in Boston where he focused on the Aerospace/Defense and Transportation sectors. Josh also has previous experience with Fidelity Investments as a High Yield research associate. Josh received his M.B.A. from the Tuck School of Business at Dartmouth (Edward Tuck Scholar with Distinction), and he earned a B.A. in Economics (Summa Cum Laude) from Wheaton College (IL). Josh is a CFA charterholder and is a member of both the CFA Society Boston and the CFA Institute. Josh was issued the Certificate in ESG Investing by the CFA Institute. He is a Trustee at Lexington Christian Academy (Lexington, MA) and a member of the Investment Committee of Christian Camps & Conferences.

**Daniel J. Brazeau, CFA**  
**Senior Managing Director, Portfolio Manager**  
**Date of Birth: 5/29/1970**

Daniel Brazeau is a Senior Managing Director at Weatherbie and a Portfolio Manager on the Alger Dynamic Opportunities and Weatherbie Long/Short strategies. Dan's research responsibilities are in the consumer, media & advertising, energy, health care and financials areas. Dan joined Weatherbie in May 2004 as a research analyst and has 24 years investment experience. Prior to joining the firm, he spent over three years as an equity analyst at Wellington Management Company, LLP, where he focused on the advertising, publishing and marketing services industries. He began his career at Kenetech Energy Systems, Inc., where he worked as a project finance analyst and manager for six years. Dan received his M.B.A. from the Wharton School, University of Pennsylvania and received his B.S. in business administration (with a concentration in finance) from Villanova University. Dan is a CFA charterholder and is a member of both the CFA Society Boston and the CFA Institute. Dan was issued the Certificate in ESG Investing by the CFA Institute.

**Edward M.B. Minn, CFA**  
**Senior Managing Director, Portfolio Manager**  
**Date of Birth: 3/13/1980**

Edward Minn is a Senior Managing Director and Portfolio Manager on the Weatherbie Specialized Growth Strategy, the Alger Weatherbie Select 15 Strategy and the Weatherbie Growth Strategy. His research responsibilities are in the consumer, media & communications, diversified business services, information services and technology areas. Ed joined Weatherbie in December 2013 and has 19 years of investing experience. Prior to joining the firm, he spent five years as a research analyst at Vinik Asset Management, LP, where he focused on the technology sector. He began his investment career at Raymond James & Associates, Inc. where he worked as a research associate covering energy stocks. Ed received his M.B.A. from the University of Chicago Booth School of Business with High Honors. Ed also holds a B.S. in economics from Duke University, where he graduated Summa Cum Laude. Ed is a CFA charterholder and is a member of both the CFA Society Boston and the CFA Institute. Ed was issued the Certificate in ESG Investing by the CFA Institute.

**Scott Lipshutz, CFA**  
**Principal, Investment Research**  
**Date of Birth: 11/9/1985**

Scott Lipshutz is Principal, Investment Research at Weatherbie. Scott's research responsibilities are in the areas of health care, diversified business services and technology. Scott joined Weatherbie in January 2020 and has 13 years of investment experience. Prior to joining the firm, he spent nearly six years as an Equity Analyst at Invesco Advisers, where he led health care coverage for the Invesco Small Cap Growth and Invesco Small Cap Equity mutual funds totaling approximately \$9 billion in assets. Prior to business school, he was a Senior Investment Analyst at Prudential Capital Group focusing on Energy and Corporate Finance. Scott received his M.B.A. and B.B.A. from the University of Texas at Austin, McCombs School of Business. Scott is a CFA charterholder and is a member of the CFA Institute. Scott was issued the Certificate in ESG Investing by the CFA Institute.

### **(3) Disciplinary Information**

Not applicable.

### **(4) Other Business Activities**

Other than as noted herein, none of the investment personnel included in this brochure is actively engaged in another investment or non-investment related business.

None of these individuals is paid commissions or other compensation based on the sale of securities or other investment products.

Mr. Brazeau is a member of the Investment Committee overseeing the consultant recommendations for the Augustinian Friars of The Province of St. Thomas of Villanova. In this role, Mr. Brazeau is not involved in individual security or fund selections as the Investment Committee does not have direct control over investments or investment decisions.

Mr. Bennett is a Trustee for a non-profit private school Lexington Christian Academy ("LCA"). Mr. Bennett is also a member of the Investment Committee for a non-profit organization Christian Camps and Conferences, Inc.

In connection with these other business activities, each of Mr. Brazeau and Mr. Bennett is subject to the requirements of Weatherbie's Code of Ethics.

### **(5) Additional Compensation**

Weatherbie's supervised persons are not paid additional compensation by Weatherbie to reflect sales. Weatherbie's Gifts and Entertainment Policy prohibits receipt of gifts with a value greater than \$100 annually from any person or entity that does business with Weatherbie or its affiliates.

## **(6) Supervision**

Weatherbie manages its client portfolios as a team. As Weatherbie's Chief Executive Officer and President and Member of the Board of Managers, Mr. Daniel C. Chung, CFA, maintains ultimate responsibility for the company's management. Dr. Dai, Chief Investment Officer, maintains supervisory responsibilities over the Weatherbie Growth Fund, LP. as well as for the Specialized Growth, Enduring Growth, Long/Short and Select 15 strategies. Mr. Chung may be contacted at (212) 806-8800 and Dr. Dai may be contacted at (617) 951-2550.

Each of Mr. Weatherbie, Dr. Dai, Mr. Brazeau, Mr. Bennett and Mr. Minn has management discretion over a portion of client assets allocated by strategy.

Additionally, Greg Adams, CFA serves as Director of Quantitative & Risk Management of, Fred Alger Management, LLC, an affiliate of Weatherbie. Mr. Adams regularly analyzes the portfolios and considers a number of measures including both factor and attribution analysis, to help the portfolio managers understand the risk or exposures in their portfolio(s).