



Brochure Supplement

(Part 2B of Form ADV)

MARK YATES

Investment Adviser Representative

Wealth Strategies Group

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NOTICE: The attached Brochure Supplement provides information about the Investment Adviser Representative of Wealth Strategies Group ("WSG" or the "**Firm**"). You should have received a copy of the Brochure for Wealth Strategies Group, CRD No. 326407, as well. Please contact Travis Allen, Chief Compliance Officer, if you did not receive the Firm's Part 2A of Form ADV. You can also contact Travis Allen if you have any questions about the content of the attached supplement.

Additional information about the Firm's investment adviser representatives is available on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number known as a CRD number. Mark Yates' CRD number is 4735704.

March 30, 2024

General Requirements

Generally, Wealth Strategies Group requires employees to hold a college or advanced degree or have relevant working experience in the securities or investment advisory industry. Any employee of WSG acting in a representative capacity will be appropriately licensed or registered.

Item 2 Education and Business Experience

CRD Number: 4735704

Year of Birth: 1977

California State University at Fullerton (2003) BA in Finance

RELATED BUSINESS EXPERIENCE:

Director of Operations	(2001 - Present)
Wealth Strategies Group, Inc.	

Investment Adviser Representative	(2007 - 2023)
Securities America Advisors, Inc.	

Registered Representative	(2007 - 2023)
Securities America, Inc.	

Insurance Agent	(2007 - Present)
Mark Yates (Sole Proprietorship)	

Item 3 Disciplinary Information

Mark Yates does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4 Other Business Activities

Mr. Yates offers insurance and insurance products as an insurance agent through insurance companies. He is compensated through commissions and trails based on the sale of insurance products. This may pose a conflict of interest to the extent that he has a financial incentive to recommend insurance products that may result in additional commissions, or other payments. However, Mr. Yates is constrained by fiduciary principles to act in his client's best interest. Mr. Yates spends approximately one (1) hour per week dedicated to insurance and insurance sales. Conflicts of interest may arise in the course of providing

investment advisory services to you and in Mr. Yates' insurance activities. These potential conflicts of interest are described in this brochure. To the extent we are unable to prevent actual or potential conflicts, we will take reasonable steps to mitigate them and at a minimum, disclose them to you. You are under no obligation to purchase insurance products through Mr. Yates, and may purchase insurance products at other providers at a lower cost.

Item 5 Additional Compensation

Mark Yates only receives compensation for advisory services paid by clients, in addition to insurance and commissions from his outside business activities, which is described above in Item 4.

Item 6 Supervision

Travis Allen is the sole Manager of Wealth Strategies Group. Wealth Strategies Group provides investment advisory and supervisory services in accordance with its policies and procedures manual. The Firm's Chief Compliance Officer, Travis Allen, is primarily responsible for the implementation and day-to-day oversight of the Firm's business practices ensuring compliance with the Firm's policies and procedures. Mr. Allen supervises the business activities of Mr. Yates.