



Brochure Supplement

(Part 2B of Form ADV)

TRAVIS ALLEN

Investment Adviser Representative

Wealth Strategies Group

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NOTICE: The attached Brochure Supplement provides information about the Investment Adviser Representative of Wealth Strategies Group ("WSG" or the "**Firm**"). You should have received a copy of the Brochure for Wealth Strategies Group, CRD No. 326407, as well. Please contact Travis Allen, Chief Compliance Officer, if you did not receive the Firm's Part 2A of Form ADV. You can also contact Travis Allen if you have any questions about the content of the attached supplement.

Additional information about the Firm's investment adviser representatives is available on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number known as a CRD number. Travis Allen's CRD number is 2708820.

March 30, 2024

General Requirements

Generally, Wealth Strategies Group requires employees to hold a college or advanced degree or have relevant working experience in the securities or investment advisory industry. Any employee of WSG acting in a representative capacity will be appropriately licensed or registered.

Item 2 Education and Business Experience

CRD Number: 2708820

Year of Birth: 1973

California State University (1996) BA in Economics

CERTIFIED FINANCIAL PLANNER™ (CFP®) October 2006

RELATED BUSINESS EXPERIENCE:

CEO/President (2001 - Present)

Wealth Strategies Group, Inc.

Investment Adviser Representative

Securities America Advisors, Inc. (2007 - 2023)

Registered Representative

Securities America, Inc. (2007 - 2023)

The CERTIFIED FINANCIAL PLANNER™ (CFP®) designation is issued by the Certified Financial Planner Board of Standards, Inc. Candidates are required to complete a CFP-board registered program. They must also pass the CFP Certification Examination, which is administered in 10 hours over a two-day period. The exam includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances. Certified individuals are required to complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct. As a prerequisite to sitting for the CFP Certification Examination, candidates must hold a bachelor's degree (or higher) from an accredited college or university and have at least 3 years of full time personal financial planning experience.

Item 3 Disciplinary Information

Travis Allen does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4 Other Business Activities

Mr. Allen offers insurance and insurance products as an insurance agent through insurance companies. He is compensated through commissions and trails based on the sale of insurance products. This may pose a conflict of interest to the extent that he has a financial incentive to recommend insurance products that may result in additional commissions, or other payments. However, Mr. Allen is constrained by fiduciary principles to act in his client's best interest. Mr. Allen spends approximately one (1) hour per week dedicated to insurance and insurance sales. Conflicts of interest may arise in the course of providing investment advisory services to you and Mr. Allen's insurance activities. These potential conflicts of interest are described in this brochure. To the extent we are unable to prevent actual or potential conflicts, we will take reasonable steps to mitigate them and at a minimum, disclose them to you. You are under no obligation to purchase insurance products through Mr. Allen, and may purchase insurance products at other providers at a lower cost.

Item 5 Additional Compensation

Travis Allen only receives compensation for advisory services paid by clients, in addition to insurance commissions from his outside business activity, which is described above in Item 4.

Item 6 Supervision

Travis Allen is the sole Manager of Wealth Strategies Group. Wealth Strategies Group provides investment advisory and supervisory services in accordance with its policies and procedures manual. The Firm's Chief Compliance Officer, Travis Allen, is primarily responsible for the implementation and day-to-day oversight of the Firm's business practices ensuring compliance with the Firm's policies and procedures.