

**Item 1: Cover Page**  
**Part 2B of Form ADV: Brochure Supplement**  
**March 2024**

**Benjamin Holland**

**FPG Wealth**  
**1949 North Burling Street**  
**Chicago, IL 60614**  
**[www.FirstPrinciples.Group](http://www.FirstPrinciples.Group)**

**Firm Contact:**  
**Benjamin Holland**  
**Chief Compliance Officer**

This brochure supplement provides information about Mr. Holland that supplements our brochure. You should have received a copy of that brochure. Please contact Benjamin Holland if you did not receive FPG Investments, LLC dba FPG Wealth's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Holland is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #5591122.

## Item 2: Educational Background & Business Experience

**Benjamin Holland**  
**Year of Birth:** 1986

### **Educational Background:**

- 2005: University of Illinois; Attended
- 2007: London School of Economics; Attended
- 2008: Southern Methodist University; Bachelor of Science in Economics

### **Business Background:**

- 04/2023 – Present FPG Investments, LLC dba FPG Wealth; Managing Member & Chief Compliance Officer
- 08/2008 – 04/2023 Oppenheimer & Co., Inc.; Executive Director - Investments

### **Exams, Licenses & Other Professional Designations:**

- 10/2008: Series 7 Examination
- 03/2009: Series 66 Examination
- 10/2018: Securities Industry Essentials (SIE) Examination
- 03/2014: CERTIFIED FINANCIAL PLANNER™, CFP®

### **CERTIFIED FINANCIAL PLANNER™, CFP®**

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Holland.

## Item 4: Other Business Activities

Mr. Holland is an owner of First Principles Group Management, LLC, a private equity firm. Mr. Holland may offer services of First Principles Group Management, LLC to clients of FPG Wealth. A conflict of

interest may arise from this affiliation. To mitigate this potential conflict, Mr. Holland, as a fiduciary, will act in the client's best interest.

Mr. Holland is a Co-Trustee for a long-standing family friend Trust. Mr. Holland does not have any financial interest in the trust but does receive a fee as a Co-Trustee of the Trust. Therefore, no material conflict of interest arises from this relationship. Mr. Holland does not act as a Trustee, Co-Trustee, or Successor Trustee for any client that is not a family member or a long-standing friend.

Mr. Holland is a Co-Executor of an Estate for a long-standing family friend. Mr. Holland does not have any financial interest in the Estate and does not receive any compensation. Therefore, no material conflict of interest arises from this relationship. Mr. Holland is not an Executor or Co-Executor of any client Estates that is not a family member or long-standing friend.

Mr. Holland is the owner of a restaurant in New Buffalo, MI, which comprises more than 10% of Mr. Holland's time or income. However, because the restaurant is unaffiliated with FPG Wealth and is not investment related, it does not present a conflict of interest.

#### **Item 5: Additional Compensation**

Mr. Holland does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Mr. Holland is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

#### **Item 7: Requirements for State-Registered Advisers**

Mr. Holland has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.