

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
April 12, 2024**

Rick L. Vala

**VaMa Oak Wealth, LLC
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**Firm Contact:
Rick Vala
Chief Compliance Officer**

This brochure supplement provides information about Mr. Vala that supplements our brochure. You should have received a copy of that brochure. Please contact Rick Vala if you did not receive VaMa Oak Wealth, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Vala is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6720835.

Item 2: Educational Background & Business Experience

Rick Louis Vala
Year of Birth: 1990

Educational Background:

- 2012: Temple University; Bachelor's in Finance and Real Estate

Business Background:

- 11/2023 – Present VaMa Oak Wealth, LLC; IAR, Co-Founder, and CCO
- 06/2017 – 11/2023 Bank of America, N.A.; Financial Advisor
- 10/2016 – 11/2023 Merrill Lynch; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 2023 – CERTIFIED FINANCIAL PLANNER (CFP®)
- 2018 – Securities Industry Essentials (SIE®) Exam
- 2017 – Series 7 & Series 66 Exams
- 2017 – PA Insurance License

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Mr. Vala.

Item 4: Other Business Activities

Mr. Vala is a licensed insurance agent. However Mr. Vala is not associated with any insurance agency and does not sell insurance products nor does he receive any commission or additional sales-related compensation from insurance products.

Item 5: Additional Compensation

Mr. Vala does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mario Martinelli is a principal of VaMa Oak Wealth LLC, and as such supervises and monitors Mr. Vala's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Martinelli if you have any questions about Mr. Vala's brochure supplement at (215) 809-2011.

Item 7: Requirements for State-Registered Advisers

Mr. Vala has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.