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# **FORM ADV PART 2B CLIENT BROCHURE SUPPLEMENT**

Trinary Capital, LLC.

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**This brochure supplement provides about essential Trinary Capital, LLC. (“Trinary”) personnel. Please contact Trinary Capital at 1-714-768-3903 or [jeremyhorton@trinarycapital.com](mailto:jeremyhorton@trinarycapital.com) if you did not receive your Trinary Capital brochure or if you have any questions about the contents of this brochure supplement. Additional information about Trinary Capital’s supervised persons is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Trinary Capital is a registered investment adviser. Registration of an investment adviser does not imply any level of skill or training.**

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## **Jeremy Joseph Horton (born 1988)**

### **Education and Certifications**

Series 63 and 65 certified

Honorable discharge after multiple years of service with the United States Marine Corps Infantry, including being awarded the Navy Commendation Medal of Valor for heroism in battle – December 2008 to January 2013.

### **Business Background**

After graduating high school, Jeremy Horton went to work as the assistant plant manager at a major powder coating and high-performance coating plant in Los Angeles, California where he oversaw 40 employees, and the plant's daily operations. After almost three years, he decided to join the United States Marine Corps Infantry, where he was honorably discharged after a little over five years. Jeremy has been employed with Mitsubishi Electric as a high-rise elevator technician for the past 4 years. Jeremy has been investing since he was a teenager and recently received his Series 63 and 65 certifications.

Jeremy currently serves as Trinary Capital's Chief Executive Officer.

### **Disciplinary Information**

None.

### **Other Business Activity**

None. Mr. Horton does not have or maintain a relationship with any issuer of securities.

### **Supervision**

Mr. Horton serves as the Chief Executive Officer of Trinary Capital and is subject to the supervision of the overall executive team in general and, specifically, the Chief Compliance Officer.

## **Keith Wheaton (born 1986)**

### **Education and Certifications**

B.S.; Electrical Engineering; Communications, Controls, and Signal Analysis; Oklahoma State University, 2011

### **Business Background**

Keith's background is in electrical engineering, where he focused on building and maintaining complex systems and the teams that create them. He has experience in digital asset distribution, hardware and software design for complicated OEM builds, server automation, and maintenance of back-end hardware for some of the largest hardware companies in the world.

An expert in B2B IT solutions and services, he helped launch a multi-billion-dollar, Fortune 500, IT solutions provider which operates in 70 countries. Prior to this, he and his team designed, engineered, and developed next-generation automotive CNG technology including designing the circuitry, prototypes, did all programming, and commercialized the product for a technical collaboration with the largest CNG dispenser manufacturer in India. The technology, along with others, is operating today in the US, India, and over a dozen other countries across the world.

Keith has a degree in Electrical Engineering and can program in Assembly, Java, C/C++, and Python.

Keith currently serves as Trinary Capital's Chief Operations Officer.

### **Disciplinary Information**

None.

### **Other Business Activity**

None. Mr. Wheaton does not have or maintain a relationship with any issuer of securities.

### **Supervision**

Mr. Wheaton serves as the Chief Operations Officer of Trinary Capital and is subject to the supervision of the overall executive team in general and, specifically, the Chief Compliance Officer.

## **Brian Castorena (born 1974)**

### **Education and Certifications**

B.S.; Business Administration (focus in Accountancy); California State University, Long Beach, 2000

Enrolled Agent, Licensed to practice before the Internal Revenue Service

### **Business Background**

Brian Castorena has been the president and owner of The Castorena Group Inc. since 2009, a tax preparation, bookkeeping, payroll and consulting firm based in Huntington Beach, California. Brian has guided clients ranging from \$100k to \$50M of income in their personal and business income tax preparation for the last 20 years and has been an Enrolled Agent with the Internal Revenue Service since 2013.

Mr. Castorena currently serves as Trinary Capital's Chief Financial Officer.

### **Disciplinary Information**

None.

### **Other Business Activity**

Mr. Castorena has an accountant referral solicitor's agreement with Wells Fargo Advisors that has been active since 2010. He has no other relationships with an issuer of securities.

### **Supervision**

Mr. Castorena serves as the Chief Financial Officer of Trinary Capital and is subject to the supervision of the overall executive team in general, including specifically the Chief Executive Officer and Chief Compliance Officer.

## **Jeffrey Wiseman, CAIA (born 1978)**

### **Education and Certifications**

M.S.; International Economics and International Politics (minor in Strategic Studies); Aberystwyth University, 2005

B.A.; Political Science; University of Minnesota-Twin Cities, 2003

B.I.S.; History, Philosophy, East Asian Studies; University of Minnesota-Twin Cities, 2001

Series 65 certified

Chartered Alternative Investment Analyst

### **Business Background**

Mr. Wiseman has 10+ years leading deals, financial structuring, private equity, and growing companies in the U.S. and Asia. In Asia he transacted on billions of dollars' worth of projects spanning traditional and alternative energy, technology, infrastructure, CM/PM, M&A, and fund management.

He founded a company that successfully helped commercialize, fund, and bring to market several US distributed energy technologies in Asia, Africa, and the Middle East; the first while living in China when he was 29 years old. Prior to this, he worked on Capitol Hill for several Members of the United States Congress focusing on Financial, Foreign Direct Investment, Energy, Agriculture, and other legislative issues as a Congressional Aide.

Mr. Wiseman currently serves as Trinary Capital's Chief Strategy Officer and Chief Compliance Officer.

### **Disciplinary Information**

None.

### **Other Business Activity**

None. Mr. Wiseman does not have or maintain a relationship with any issuer of securities.

### **Supervision**

Mr. Wiseman serves as the Chief Compliance Officer of Trinary Capital and Chief Strategy Officer. Mr. Wiseman reports to the executive team in general, including specifically the Chief Executive Officer (Jeremy Horton) in his role as Chief Strategy Officer.

## **Rudy Klapper (born 1989)**

### Education and Certifications

J.D.; Loyola Law School of Los Angeles, 2014 (top 15% of graduating class)

B.S.; Music Industry with a minor in Business Law; University of Southern California, 2011

### Business Background

Rudy Klapper was employed as an associate attorney at a mid-size Los Angeles-area firm from April 2014 through January 2019, specializing in labor & employment and corporate law. Rudy is an experienced litigator and transactional attorney with an emphasis in emerging technologies. He currently practices at a large national firm and is admitted to practice in California and New York.

Mr. Klapper currently serves as Trinary Capital's Chief Legal Officer.

### Disciplinary Information

None.

### Other Business Activity

None. Mr. Klapper does not have or maintain a relationship with any issuer of securities.

### Supervision

Mr. Klapper serves as the Chief Legal Officer of Trinary Capital and is subject to the supervision of the Chief Executive Officer, Jeremy Horton.

## **Hank Thurston, PhD (born 1989)**

Ph.D Nuclear Physics; Michigan State University, 2023

Ph.D. Computational Mathematics; Michigan State University, 2023

M.S.; Physics; Michigan State University, 2020

B.S.; Civil Engineering; Gonzaga University, 2017 (*magna cum laude*)

B.S.; Physics; Gonzaga University, 2017 (*magna cum laude*)

Registered EIT, Washington State Dept. Of Licensing, 2016

### **Business Background**

Hank Thurston is a decorated Marine Corps Veteran and served multiple deployments in the global war on terror. Following his service, Hank attended Gonzaga University where he graduated Magna Cum Laude with degrees in Civil Engineering and Physics.

Hank studied at Michigan State University receiving two Ph.D.'s in physics with an experimental focus and Applied Mathematics. He conducted research through the National Superconducting Cyclotron Laboratory/Facility for Rare Isotope Beams, the MSU-Fraunhofer Center for Coatings and Diamond Technologies, and Los Alamos National Laboratory.

Hank's specialties include algorithm development, mathematical modeling, data analysis, computer simulation, and quantitative risk assessment. He brings over a decade's experience investing and modeling market behavior.

Hank currently serves as the Chief Risk Officer at Trinary Capital.

### **Disciplinary Information**

None.

### **Other Business Activity**

None. Mr. Thurston does not have or maintain a relationship with any issuer of securities.

### **Supervision**

Mr. Thurston serves as the Chief Investment Officer of Trinary Capital and as such is subject to the supervision of Trinary's executive team as well as its Chief Compliance Officer.