

# SUPERVISED PERSON BROCHURE

FORM ADV PART 2B

Noel Z. Cajigas



**Diamond**

*Wealth Management, LLC*

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This brochure supplement provides information about Noel Z. Cajigas and supplements the Diamond Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Noel Z. Cajigas if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Noel Z. Cajigas (CRD #2683770) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**April 2024**

## Brochure Supplement (Part 2B of Form ADV)

### Supervised Person Brochure

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#### Noel Z. Cajigas

- Year of birth: 1968

#### Item 2 Educational Background and Business Experience

##### Educational Background:

- University of Utah; Bachelor of Science in Finance; 1997

##### Business Experience:

- Diamond Wealth Management, LLC; Investment Advisor Representative; 11/2017-Present
- NorthPointe Investment Partners LLC (dba for Diamond Wealth Management, LLC); Managing Member; 05/2016 - Present
- Noel Z. Cajigas; Private Wealth Manager; Insurance Agent; 10/2015 – Present
- NorthPointe Investment Partners LLC; Investment Advisor Representative; 05/2016 – 10/2017
- Ensign Wealth Advisors LLC; Investment Advisor Representative; 10/2015-11/2016
- Redwine & Company, Inc.; Registered Representative; 05/2015 – 11/2015
- Strategic Advisers, Inc.; Investment Advisor Representative; 09/2000 – 04/2015
- Fidelity Brokerage Services, LLC; Registered Representative; 11/1995 – 04/2015

#### Item 3 Disciplinary Information

*Criminal or Civil Action:* None to report.

*Administrative Proceeding:* None to report.

*Self-Regulatory Proceeding:* None to report.

#### Item 4 Other Business Activities

Noel Cajigas has a financial industry affiliated business as a licensed insurance agent.

From time to time, he will offer clients advice or products from those activities. Less than 10% of his time is spent on the sale of insurance products.

From time to time, he will offer clients advice or products from those activities. These practices represent a conflict of interest because it gives Mr. Cajigas an incentive to recommend products or services based on the fees received. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the client first and the clients are not required to purchase any products. Clients have the right to purchase these products and services through another firm or representative of their choosing.

#### Item 5 Additional Compensation

Mr. Cajigas receives additional compensation in his capacity as an insurance agent, but does not receive any performance-based fees.

**Item 6 Supervision**

Mr. Cajigas is supervised by David Hill, Chief Compliance Officer of DWM. He reviews Mr. Cajigas' work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions. David Hill can be contacted at the following information: PHONE: 801-216-4811 EMAIL: dave@diamondwealthmgt.com.