



# REALTA WEALTH

**James Shenk**

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This Brochure Supplement provides information about James Shenk that supplements the Realta Investment Advisors, Inc. ("RIA")'s Brochure. You should have received a copy of that Brochure. Please contact Mr. Barrett Schultz at (888) 657-5200 if you did not receive RIA's Brochure or if you have any questions about the contents of this supplement.

Additional information about James Shenk is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

James Shenk, born in 1968, was raised in and around St. Michaels Maryland and graduated in 1990 from the College of Wooster. Jim then continued on to The University of Maryland where he earned a Masters of Education. Soon after, he obtained the role of Financial Advisor with Wheat First Butcher & Singer and passed his initial exams through the summer of 1997. Jim stayed with Wheat until 2003 when he went to the independent channel with Raymond James. He moved to LPL Financial in 2006 and then moved his book of business to Realta Investment Advisors in 2015, doing business as Shenk Capital Management, LLC.

## ITEM 3- DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There are no advisory legal or disciplinary event(s) to disclose. Additional brokerage disclosure information about your Financial Advisor is available at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 4- OTHER BUSINESS ACTIVITIES

James is licensed to sell securities through Realta Equities, Inc. ("REI"), a securities broker/dealer registered with the Financial Industry Regulatory Authority ("FINRA"). In this capacity, James may be involved in the sale of investment products such as securities, mutual funds and variable products. If an advisory client implements recommendations made by James by purchasing securities or other products through REI, James will receive additional compensation in the form of commissions, including 12b-1 fees for the sale of such products. James spends approximately 50% of his professional time in his sales capacities at REI.

James is also a licensed insurance agent. James may recommend insurance products to advisory clients. He is paid a commission for selling such products. He spends approximately 30% of his time in his capacity as an insurance agent.

## ITEM 5- ADDITIONAL COMPENSATION

Aside from the activities discussed in Item 4 above, James Shenk receives no economic benefit from any non-client third party.

## ITEM 6- SUPERVISION

Mr. Barrett Schultz (Chief Compliance Officer) and designated supervisors monitor the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Mr. Schultz can be reached at 888.657.5200.