

# TRILLIONS CAPITAL, LLC

## CLIENT RELATIONSHIP SUMMARY

August 2024

### What investment services and advice can you provide me?

Trillions Capital LLC ("Trillions") is an internet only investment adviser registered with the Securities and Exchange Commission. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about broker dealers, investment advisers, and investing.

### What investment services and advice can you provide me?

Trillions offers investment advisory services to retail clients through our proprietary automated trading system. Users connect their brokerage account to the Trillions software. Once the connection is established the Trillions software sends signals to open and close trades in each client account. Analyzing the most liquid ETF's and stocks, Trillions trading strategies are based on a combination of technical and market data for early identification of the changes in market structure that lead to large intraday moves. Our services are limited to a discretionary automated trading system. We have the sole authority to make investment decisions on all trades. We generally have an account minimum of \$100 for clients to open an account and begin utilizing services.

**For additional information, please see Trillion's ADV Part 2A brochure, Item 4.**

#### **Conversation Starters.** Ask your financial professional –

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

### What fees will I pay?

Trillions charges a quarterly fee at the rate of 5% of the Clients Account balance. Fees are calculated quarterly in arrears, based on the Account balance at quarter end. Fees are deducted from the Clients Account in the month following each period end. It is important to note that the 5% fee is inclusive of all Trillions, and 3rd party brokerage platform fees.

Tradier, currently Trillions sole brokerage partner, charges a fee of \$.78 per trade. This fee is deducted from clients account at the time trades are settled, which is typically one business day. No portion of this fee is attributed to or credited to Trillions. Trillions does not receive additional compensation from brokerage fees charged to the client.

The 5% quarterly fee Trillions charges is higher than industry standards. Amongst many other factors, Trillions charges a higher than standard fee due to costs associated with running the proprietary software. The costs are significantly higher to support a large number of individual clients with small account sizes than the costs to support a small number of very high net worth clients. In a field of its own, Trillions provides Retail Investors access to complex trading methodologies which aim for higher returns, typically only available to institutional or qualified, high net-worth investors.

Fees are nonnegotiable and are the same for every client/account. Fees are processed by a third-party brokerage, to which, Trillions submits a "fees owed schedule" at the end of each calendar week.

Investment in securities involves risks, including possible loss of principal.

**For additional information, please see Trillion's Form ADV Part 2A brochure, Item 5.**

**Conversation Starters.** Ask your financial professional –

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

### **What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- Trillions was built to serve the small retail investor account size, to make strategies previously available to the those with the high net worth, available to anyone. The costs are significantly higher to support a large number of individual clients with small account sizes, than the costs to support a small number of very net worth clients. Since Trillions makes money by having more customers using our services, Trillions has an incentive to sign-up additional customers to the service.
- The 5% quarterly fee Trillions charges is higher than industry standards.
- While the nature of Trillions advisory service differs from most other business models, investors may pay a lower fee by using other platforms or advisory services.

**For additional information, please see Trillion's ADV Part 2A brochure, Items 5 and 11.**

**Conversation Starters.** Ask your financial professional –

- How might your conflicts of interest affect me, and how will you address them?

### **How do your financial professionals make money?**

Our financial professionals are paid a salary from Trillions, generated by profits earned from client accounts. Our employees may receive a discretionary bonus (cash and non-cash benefits) linked to the revenue and growth of Trillions. Our employees do not provide any advice outside of our digital services. The financial success of Trillions benefits all employees. Neither employees nor Trillions receive commissions, gifts, or incentives for investments made in client accounts.

### **Do you or your financial professionals have legal or disciplinary history?**

No. Visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

**Conversation Starters.** Ask your financial professional –

- As a financial professional, do you have any disciplinary history? For what type of conduct?

### **Additional Information**

For additional information about our services, including up-to-date information about the firm and/or a copy of this disclosure, please call Torian Johnson at (859) 402-1460. To report a problem to the SEC, visit [www.investor.gov](http://www.investor.gov) or call the SEC's toll-free investor assistance line at (800) 732-0330. If you have a problem with your investments, investment account or a financial professional, you may contact us in writing at 525 Fisher Lane, Warminster, PA 18974. You may also view much of this information utilizing our firm's Form ADV brochure on [IAPD](#) or [www.investor.gov/CRS](http://www.investor.gov/CRS), and any brochure supplement a financial professional provides for additional information.

**Conversation Starters.** Ask your financial professional –

- Who is my primary contact person? Is he or she a representative of an investment adviser or broker dealer? Who can I talk to if I have concerns about how this person is treating me?

You may obtain a current copy of the Form CRS and our Form ADV Part 2 brochure at <https://adviserinfo.sec.gov/>.