

Fortress Wealth Solutions LLC¹
Client Relationship Summary (Form CRS) | August 2024

1. Fortress Wealth Solutions LLC (which we refer to as “FWS,” “we,” or “us” in this summary) is registered with the Securities and Exchange Commission as a broker-dealer and is a member of FINRA and the Securities Investor Protection Corporation. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences in order to make informed decisions about our services. *Please visit www.Investor.gov/CRS for free, simple tools to research firms and financial professionals and for educational materials about broker-dealers, investment advisers, and investing.*

2. What investment services and advice can you provide me?

We offer only brokerage services. And we do so on a limited basis, in the sense that our core activity as it relates to retail investors like you involves serving as private placement agent in offerings of interests in private funds or other vehicles sponsored or managed *by our affiliated entities* (“**Fortress Investment Products**”). Investments in such products usually will be subject to minimum investment amounts; any such required minimum amounts will be disclosed in the relevant offering materials. Given our limited product shelf, if you would like to invest in other products, you will have to contact another brokerage or advisory firm.

It is common for investors to invest in one or more Fortress Investment Products on their own initiative (or based on advice from their own financial advisors), without any recommendation from us. In those situations, our legal obligation to act in a retail investor’s best interest will **not** apply. In other cases, we will identify you as a potential investor and may recommend a securities transaction or investment strategy involving securities to you. In making such a recommendation, we are obligated by law to exercise reasonable diligence, care, and skill. Prior to doing so, we will gather and review information about your investment needs, risk profile, and investment experience, among other factors, and only make the recommendation if we have a reasonable basis to believe the investment is not inconsistent with your best interest.

You will make the ultimate decision whether or not to invest. If you decide to invest, you will **not** have any account with us and we will **not** monitor your investments. Please refer to the offering materials for the investment product for specific details on any investment minimums, risks associated with investing in such products, and other important details.

For Additional Information: Please see our affiliate FIG LLC's Form ADV, Part 2A brochure Item 10, available [here](#).

Conversation Starters - questions to ask us:

- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications?
- What do these qualifications mean?

3. What fees will I pay?

We do not charge you any commissions or fees for recommending or assisting you in making investments. However, as an investor in Fortress Investment Products, your interests will be subject to management fees, incentive fees and other consideration as detailed in the applicable offering materials for such investment products, which will reduce your returns. ***You will pay fees and costs whether you make or lose money on your investments and they will reduce your investment returns over time.*** Please make sure you understand what fees and costs you are paying. This information will be available in the offering documents for the particular investment product.

Conversation Starters - questions to ask us:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

¹ Statements in this summary: (a) are required by SEC Form CRS, summary in nature and limited in substance and length by SEC Form CRS; and (b) do not create or modify any agreement, relationship or obligation between (i) you and (ii) us or any of our financial professionals or affiliated entities.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

If we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, our business model creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations (if any) we provide you. Here is an example to help you understand what this means:

- Our core business as it relates to retail investors like you involves serving as private placement agent in offerings of interests in Fortress Investment Products. Any compensation paid to us will be paid by our affiliate, FIG LLC, and not by you or any of the investment funds or other vehicles managed by our affiliated entities. We have an expense sharing agreement with FIG LLC, and, on a monthly basis, are paid for our services in an amount equal to the expenses incurred by us in the prior month plus 1%.
- While we do not charge you any fees for our services, as a participating or other servicing broker-dealer in a private placement in which you invest, we may earn servicing or other fees from issuers of Fortress Investment Products. Moreover, if you invest in these products, our affiliated entities will charge you fees and earn other compensation (such as management fees and performance participation interests) related to your investment. And our financial professionals, generally all of whom are also employees of Fortress, will be compensated by an affiliate of Fortress based on a variety of factors, including the amount of capital they raise for Fortress Investment Products.
- All of the above creates a conflict of interest, and we will have an incentive to recommend to you Fortress Investment Products, even if you may have the ability to invest in non-Fortress Investment Products at a lower cost. While we may decline to accept your subscription if we determine doing so is not reasonably consistent with your best interest, we do not evaluate reasonably available alternatives offered outside the firm and do not recommend third-party products. Given the material limitation in the securities products we offer and the built-in conflict of interest in our business model, you may wish to consider working with a broker-dealer that offers a broader range of securities products.

How do your financial professionals make money?

Our financial professionals receive a base salary and a discretionary bonus based on a variety of factors, including the amount of capital they raise for investment funds or other vehicles managed by our affiliated entities. This creates an incentive for financial professionals to recommend Fortress Investment Products, which creates conflicts of interests of which you should be aware.

Conversation Starters - questions to ask us:

- How might your conflicts of interest affect me, and how will you address them?
- Help me understand how these fees and costs might affect my investments.

4. Do you or your financial professionals have legal or disciplinary history?

Yes. Please visit www.Investor.gov/CRS for a free and simple search tool to research FWS and its financial professionals.

Conversation Starters - questions to ask us:

- As a financial professional, do you have any disciplinary history? For what type of conduct?