



Equilibrium Ventures, LLC
Form CRS Relationship Summary (ADV Part III)
As of September 17, 2024

INTRODUCTION

You Choose How You Wish to Invest - There are different ways you can get help with your investments. You should carefully consider which types of accounts and services are right for you. Equilibrium Ventures, LLC (“EQV”) is registered with the Securities and Exchange Commission as an investment adviser. We have been in business since 2020. We are located at 80 Eighth Avenue, 5th Floor, New York, NY 10011. We provide investment advisory accounts and services for a fee rather than offering brokerage accounts and services for commission. Brokerage and investment advisory services and fees differ, and you need to understand these differences. This document gives you a summary of the types of services we provide and how you pay. Please ask us for more information. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), the SEC's investor education website, which also provides educational materials about broker-dealers, investment advisers, and investing.

RELATIONSHIPS & SERVICES

What Investment Services & Advice Can You Provide Me? We offer investment advisory services to retail investors, mainly via a separately managed account (“SMA”) as part of our standard services. If you open an advisory account with our firm, we will discuss your financial goals and help you design a strategy to strive to achieve those goals. All of our clients’ SMAs are “discretionary,” meaning that we are allowed to buy and sell in our SMA without obtaining your consent for the specific transaction in advance, subject to any reasonable restrictions you may impose in your agreement with us. You will pay an asset-based fee on a monthly basis, based on the value of the cash and investments in your account.

We generally only provide investment advice to retail investors where we have full discretionary authority. That means that we are permitted to select and trade investments in your accounts without first obtaining your permission. Where we have such authority, we will review, evaluate, and trade your accounts continuously as part of our standard services, absent a different agreement. Although eligible investments may vary by account type, strategy, and investment guidelines, we generally do not restrict our advice to a limited menu of investments. We may agree on customized investment guidelines, including specific restrictions, so long as we can implement them and do so without fundamentally altering the strategy.

We only accept sophisticated investors to be SMA clients at this time. We accept a range of account types and sizes, and terms are negotiable. *(For more information, please view our [Form ADV Part 2A Disclosure Brochure, Items 4 & 7](#).)*

Conversation Starters: Ask Your Financial Professional — Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

FEES, COSTS, CONFLICTS & STANDARDS OF CONDUCT

What Fees Will I Pay? We charge an asset-based management fee on a monthly basis in arrears. *(Any fees you pay will align with the types of fee(s) we report in [Form ADV Part 2A Disclosure Brochure, Items 4 & 7](#).)* You must be aware:

- Our standard fee is an annual asset-based fee ranging from 0% to 1% based on the client’s assets under management (“SMA Management Fee”). These fees are deducted from your account on a monthly basis.
- Fees will reduce the value of your account and will be deducted from your account. Typically, the more assets you have in an advisory account, including cash, the more you will pay us in aggregate, but the lower the percentage fee on a sliding scale. We, therefore, have an incentive to increase the assets in your account to increase our fees, which creates a conflict of interest when we charge ongoing asset-based fees for our services.

Please ask your financial professional to give you personalized information on the fees and costs that you will pay. Lower fees for comparable services can, at times, be available from other sources.

Description of Other Fees & Costs - You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starters: Ask Your Financial Professional — Help me understand how these fees and costs might affect my investments.

If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What Are Your Legal Obligations to Me When Acting as My Investment Adviser? How Else Does Your Firm Make Money & What Conflicts of Interest Do You Have? When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. We must abide by certain laws and regulations in our interactions with you. We are held to a fiduciary standard, which means we are bound both legally and ethically, to act in your best interest, not our own. This responsibility covers our entire investment advisory relationship with you. We are required to monitor your portfolio, investment strategy, and investments on an ongoing basis. While our interests may at times conflict with your interests, we must eliminate or mitigate these conflicts or tell you about them in a way you can understand so that you can decide whether to agree to them. You should understand and ask us about these conflicts - they can affect the investment advice we provide you.

How Do Your Financial Professionals Make Money? We benefit from the advisory services we provide you. Our financial professionals are compensated based on a combination of a fixed salary, a bonus tied to business and personal objectives, and a portion of revenue our firm earns from their advisory services offered to clients. *(For additional information, please view our [Form ADV Part 2A Disclosure Brochure](#)).*

Conversation Starters: Ask Your Financial Professional — How might your conflicts of interest affect me, and how will you address them?

DISCIPLINARY HISTORY

Do You or Your Financial Professionals Have Legal or Disciplinary History? No. Visit www.investor.gov for a free and simple search tool to research our firm and our financial professionals. To report a problem to the SEC, visit the [SEC's Investors Complaint Page](#) or call their toll-free investor assistance line at 800-732-0330.

Conversation Starters: Ask Your Financial Professional — As a financial professional, do you have any disciplinary history? For what type of conduct?

ADDITIONAL INFORMATION

For further details on our services, see our [Form ADV Part 2A Disclosure Brochure](#) on the IAPD system by searching by our firm name and CRD #309164 (<https://adviserinfo.sec.gov>), and any Form ADV Part 2B Brochure Supplement a financial professional provides. For more information about our firm and our services or to request a printed copy of our Client Relationship Summary, please visit our website, www.equi.com, and/or receive it by calling us at 310-922-8955 or e-mailing our Chief Compliance Officer at sarah@equi.com.

Conversation Starters: Ask Your Financial Professional — Who is the primary contact person for my account, and are they a representative of an investment adviser or broker-dealer? What can you tell me about their legal obligations to me? If I have concerns about how this person is treating me, who can I talk to?