

**Artience Capital Management, LLC**

**CRD#150762**

Form ADV Part 3

September 19, 2024

Private Residence

(800) 337-0353

<http://www.artiencecapital.com>

**Item 1. Introduction**

**Artience Capital Management, LLC** (Artience or Adviser), is an investment adviser registered with the Securities and Exchange Commission. Brokerage and investment advisory services and fees differ, and it is important for a retail investor (defined as a natural person, or the legal representative of such natural person, who seeks to receive or receives services primarily for personal, family, or household purposes) to understand the differences. Free and simple tools are available to research firms and financial professionals on the SEC's investment education website at [Investor.gov/CRS](http://Investor.gov/CRS) which provides educational materials about broker-dealers, investment advisers, and investing.

**Item 2. Relationships and Services**

*What investment services and advice can you provide me?*

**Description of Services:** We offer portfolio wealth management services and financial planning services to a variety of clients including individual and institutional investors, corporate pension and profit-sharing plans, charitable institutions, foundations and endowments.

These services are designed to assist clients in meeting their financial goals using financial investments.

Portfolio wealth management services encompass discretionary investment management, referrals to sub-advisory firms, and financial consulting services.

Financial planning services primarily involve real estate transactions and cash management.

**Monitoring:** We monitor investments periodically, upon request, and based upon our proprietary criteria. We monitor client investments whenever the value of any investment has strayed beyond the model account's target minimums or maximums, and for purposes of meeting a client's cash flow needs.

There are no material limitations on our monitoring services.

**Investment Authority:** We manage assets within managed accounts on a discretionary basis (executing securities transactions without having to obtain prior consent from you). However, you have the ability to revoke that authority.

**Limited Investment Offerings:** We do not offer any proprietary investment products.

**Account Minimums and Other Requirements:**

Artience requires a minimum of \$1,000,000 to establish a new advisory account; however, the minimum may be waived at our sole discretion. In addition, Artience may continue to service existing accounts that have values that are below the minimum.

**Conversation Starters:** *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

**Item 3. Fees, Costs, Conflicts, and Standard of Conduct**

*What fees will I pay?*

**Description of Principal Fees and Costs**

Our fees generally range from 1.0%-2.0% per year of the assets under management. (For some portfolios, the management fee is .75% based on assets under management.) Sub-advisory fees are payable in accordance with the provisions of the Sub-adviser's ADV Part 2A brochure.

Fees are billed quarterly in advance based on the market value of each client account at the end of the previous quarter.

**Financial Planning & Consulting Fees:** Artience charges clients a fee of \$500 per hour.

Fees are due and payable upon completion of the services. Clients may be invoiced, or fees are deducted from client accounts.

**Conflicts of Interest:** When we offer multiple services, there is an incentive to recommend other services offered by us, our employees, or associates because we may receive additional fees. The more assets that you have in your advisory account, the more you will pay in fees, creating an incentive for us to encourage you to increase the amount of assets in your accounts.



**Description of Other Fees and Costs:** Client accounts may incur additional fees and charges, such as investment platform and transaction costs, retirement plan administration fees, and other mutual fund annual expenses that are charged by broker-dealers, plan administrators or mutual fund companies that sell securities or provide additional services. These fees are in addition to and separate from advisory fees.

**Additional Information:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. **Please make sure you understand what fees and costs you are paying.**

Detailed information is in Part 2 brochure. Here is a link to the information <https://adviserinfo.sec.gov/>. It can be found by searching for the firm using the CRD#150762 and selecting Part 2 Brochures.

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Part 2 Brochures

Information is included in the Part 2 Brochure about our fees and costs, how the fees are calculated and our conflicts of interest.

**Conversation Starters:** *What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?*

*How might your conflicts of interest affect me, and how will you address them?*

**When we act as your investment adviser, we have to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means:**

A conflict of interest is created whenever we or one of our associated persons recommend products or services to you for which we receive compensation.

*How do your financial professionals make money?*

Financial professionals are investment adviser representatives and receive a portion of fees generated by the client relationships being serviced including the amount of client assets.

**Conversation Starter:** *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

#### **Item 4. Disciplinary History**

**Conversation Starter:** *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Do you or your financial professionals have a legal or disciplinary history? **Yes**

Use the following link for information regarding investment adviser representatives (perform a search using the first and last names): [Public Disclosure](#). **Free information is also available on [investor.gov/crs](http://investor.gov/crs).**

#### **Item 5. Additional Information**

**Conversation Starters:** *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*

Clients can find additional information about our services and receive a copy of this relationship summary by visiting our website at <http://www.artiencecapital.com> or contacting us at (800) 337-0353 if you have any questions or to request up-to-date information.