

PARK SQUARE FINANCIAL GROUP, LLC

August 2024

INTRODUCTION	Park Square Financial Group LLC (“PSFG” or “Firm”) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is essential for you to understand these differences. Free and simple tools are available to research firms and our Financial Professionals at Investor.gov/CRS , which also provides educational materials about broker-dealers, investment advisers, and investing.
RELATIONSHIPS AND SERVICES	<p>WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?</p> <p>Park Square Financial Group LLC offers investment advisory and financial planning services to individuals, high net-worth individuals, trusts, and estates. PSFG manages advisory accounts on a discretionary basis. In a discretionary account, you have granted written investment authority to your Financial Professional to execute purchase and sell orders in your advisory accounts without consulting with you first. You may limit our discretion, such as by imposing reasonable restrictions on investing in certain securities or groups of securities. Our Firm has entered into an agreement with platform providers that offer asset management services that include model money managers, portfolio managers, strategists, marketing and billing/administrative services. As part of the program, Clients provide our Firm and the platform provider discretion to select third party, non-affiliated investment managers (“Model Managers”) to design and manage model portfolios. Our Firm monitors your investment advisory accounts, and specific investments within your accounts, on an ongoing basis to align with your investment goals. This service is included as part of the Firm’s standard advisory services. We impose a \$250,000 minimum account value to initiate our Firm’s advisory and money management services, however, this may be waived as deemed appropriate by the Firm. Our Firm offers financial planning services as part of our investment management services, however, if requested, we offer standalone financial planning services. Financial planning services may include strategies to address the client’s holistic financial picture, including estate, income tax, charitable, cash flow, wealth transfer, and family legacy objectives. PSFG also provide clients investment consulting on a more-limited basis on one or more isolated areas of concern.</p> <p>QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:</p> <ul style="list-style-type: none"> • Given my financial situation, should I choose an investment advisory service? Why or why not? • How will you choose investments to recommend to me? • What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean? <p>MORE INFORMATION REFER TO FIRM’S PART 2A BROCHURE (ITEM 7, 8, 13, & 16)</p>
FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT	<p>WHAT FEES WILL I PAY?</p> <p>Park Square Financial Group LLC charges a fee as compensation for providing Investment Management services. All of our advisory clients are managed in our Wrap Fee Program which covers all program transaction fees, including ticket charges, commissions, and other charges for trading and custody. Fees are defined in the client Investment Advisory Agreement. PSFG’s annual fees are based upon a percentage of assets under management not to exceed 2.35%. For clients engaged in our investment management services, our financial planning services are included in advisory fees described above. For clients only engaging our Firm for financial planning services, financial planning is offered under a separate agreement and separate fee. Fees may vary based on the extent and complexity of your individual or family circumstances. Our fee will be agreed in advance of services being performed and negotiated with you. Financial planning fees may be fixed or hourly. Fixed fees start at \$5,000 and may be more depending on the complexity of the engagement. Hourly financial planning fees are \$300/hour. Consulting fees are negotiable and based on an hourly fee of \$250/hour. Although PSFG has established a maximum annual fee as stated above, we retain the discretion to negotiate alternative fees on a client-by-client basis. Our fees generally increase as client accounts grow, so we have an incentive to encourage our clients to increase the amount of assets in the accounts that are under our management. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</p> <p>QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:</p> <ul style="list-style-type: none"> • Help me understand how these fees and costs might affect my investments. • If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT	<p style="text-align: center;">MORE INFORMATION REFER TO OUR FIRM'S PART 2A BROCHURE (ITEM 5)</p> <p style="text-align: center;">WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?</p> <p>When we act as your investment adviser, we have a legal, fiduciary obligation to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. We want you to understand and ask us about these conflicts because they can affect the investment advice we provide you. Our Code of Ethics is designed to assure that the personal securities transactions, activities, and interests of our investment advisors will not interfere with (i) making decisions in the best interest of advisory clients and (ii) implementing such decisions while, at the same time, allowing investment advisors to invest for their own accounts. Our Firm and/or investment advisors or employees may buy or sell for their personal accounts securities that are identical to or different from those recommended to our clients. Our Firm received an economic benefit from AE Wealth Management, LLC in the form of a 3-year forgivable loan if our Firm meets certain conditions in terms of maintaining a relationship with AE Wealth Management, LLC. In addition, any related person(s) may have an interest or position in a certain security(ies) which may also be recommended to a client. It is the expressed policy of our Firm that no investment advisor may purchase or sell any security prior to a transaction(s) being implemented for an advisory account, thereby preventing such investment advisor(s) from benefiting from transactions placed on behalf of advisory accounts. We recognize the fiduciary responsibility to place the client's interests first and have established policies in this regard to avoid any conflicts of interest.</p> <p>QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:</p> <ul style="list-style-type: none"> • How might your conflicts of interest affect me, and how will you address them? <p style="text-align: center;">MORE INFORMATION REFER TO FIRM'S PART 2A BROCHURE (ITEM 10, 11, 12, & 14)</p> <p style="text-align: center;">HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?</p> <p>Our Financial Professionals are compensated based on the revenue our Firm earns from our investment advisory fees. This compensation is based on the amount of assets they service, the amount of time spent, and the complexity required to meet the client's needs or revenue based on the recommendations provided by our Financial Professionals. Some of our Financial Professionals are insurance licensed and receive commissions, trails, or other compensation through various carriers. While some of our Firm's Financial Professionals are engaged in outside business activities, we are required to disclose material outside business activities and any conflict it may pose to you. Our Firm supervises the business activities of our Financial Professionals through our compliance program. All Financial Professionals are required to follow a Code of Conduct to mitigate any conflicts to you.</p> <p style="text-align: center;">MORE INFORMATION REFER TO FIRM'S PART 2A BROCHURE (ITEM 5, 10, 11, 12 & 14)</p>
DISCIPLINARY HISTORY	<p>DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?</p> <p>No. Visit Investor.gov/CRS for a free and simple search tool to research us and our Financial Professionals.</p> <p>QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:</p> <ul style="list-style-type: none"> • As a Financial Professional, do you have any disciplinary history? For what type of conduct? <p style="text-align: center;">FOR MORE INFORMATION REFER TO OUR FIRM'S ADV PART 2A BROCHURE - ITEM 9</p>
ADDITIONAL INFORMATION	<p>For additional information about our investment advisory services visit the SEC's website at www.adviserinfo.sec.gov. Our Firm's IARD number is: 332268. You may also contact us directly for up-to-date information and request a copy of the relationship summary at: 770-641-1401</p> <p style="text-align: center;">QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:</p> <ul style="list-style-type: none"> • Who is my primary contact person? Is he or she an investment adviser or a representative of a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?