

Doo Financial US, Inc.

Client Relationship Summary

August 18, 2024

Doo Financial US, Inc. ("Doo"), is registered with the Securities and Exchange Commission ("SEC") as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage services and fees differ, and it is important for investors to understand the differences.

This Client Relationship Summary provides details about our brokerage services, fees, and other important information. Free and simple tools are available for investors to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs). Here, investors can also find educational materials about broker-dealers, investment advisers, and investing.

What investment services does Doo provide investors?

Doo Financial US, Inc. offers self-directed services to retail investors. Via our mobile application, customers can place orders to buy/sell US equity securities. All customer accounts are self-directed and all trades are unsolicited. Doo in its capacity as a broker/dealer does not make recommendations or provide investment advice to investors.

Our services include access to US equity markets and effecting securities transactions for investors. We do not provide investment advice nor offer recommendations of securities or strategies involving securities. We do not monitor investors accounts nor individual investments performance. We do not have any account minimums. We will deliver electronic confirmations of each trade executed in investors accounts, and account statements will be delivered electronically, at minimum, quarterly.

Conversation Starters. You may wish to ask our financial professional questions to learn more:

- *What is your relevant experience, including which licenses, education, and other qualifications you have earned?*
- *What do these qualifications mean related to your handing of orders?*

Doo has an Omnibus clearing arrangement with Apex Clearing Corporation.

What fees will I pay?

In a Doo brokerage account, investors will incur transaction-based charges when they buy or sell securities. Such fees include commissions on each securities transaction and any appropriate fees as outlined in our published fee schedule. Investors will also incur regulatory and exchange fees as prescribed by regulation. The SEC, self-regulatory organizations, and exchanges charge regulatory fees that apply to investors depending on the type of activity, in addition to any fees or commissions charged by Doo. Also, accounts are subject to certain fees when processing a transfer of an account or securities to another firm.

(See Fee Schedule on website)

Conversation Starter –

- *How do fees and commissions impact my investments.*
- *When I invest, how much will go to fees and commissions?*

Investors will incur fees and commissions whether they make or lose money on investments. Fees and commissions will reduce any amount of money investors make on investments over time. Please make sure you understand the fees and costs you are paying as an investor. For additional information, please refer to disclosures on our website www.elish.com.

What are Doo's legal obligations to me when providing recommendations? How else does the firm make money and what conflicts of interest do investors have?

Doo does not provide investment advice. As an agency broker only, Doo is never in conflict with investors best interests. Doo makes its money from commissions and fees on self-directed trading activity, payment for order flow from certain market centers on self-directed trades, and payment received from lending investors fully paid securities.

Here are some examples to help you understand what this means:

- We earn commissions for executing investors self-directed orders, so we benefit when investors trade.
- The firm may receive payments for order flow from market centers to which we route customers' orders. The source and amount of such payments is available upon request.

Conversation Starter –

How might conflicts of interest affect me, and how can investors address them?

How do your financial professionals make money?

Our financial professionals do not offer advice and therefore are compensated by salary and performance bonus. Their compensation is not based on investors securities transactions.

Do financial professionals disclose legal or disciplinary history?

Conversation Starter –

As a financial professional, do brokers disclose disciplinary history?

For what types of conduct?

Yes. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and financial professionals. Additional information can be found on FINRA's BrokerCheck (<https://brokercheck.finra.org/>).

Conversation Starter –

Who is my primary contact person? Is he or she a representative of Doo? Who can I talk to if I have concerns about how this person is treating me?

For additional information about Doo or to request a copy of this relationship summary, please visit our website www.elish.com. Investors may also call 214-385-2801 to request up to date information or a copy of this relationship summary.