

ARCADIA INVESTMENT PARTNERS LLC

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Form ADV Part 3/ Customer Relationship Summary (“CRS”)

August 27, 2024

Arcadia Investment Partners LLC (“Arcadia”, “us,” “we,” or “our”) is registered with the Securities and Exchange Commission (“SEC”) as an investment advisor. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.¹

What investment services and advice can you provide me?

We provide non-discretionary investment advisory services to retail investors² that are high net worth individuals and family offices. We or our affiliates may also sponsor and manage one or more privately offered pooled investment vehicles. At this time, we do not require a minimum account size, although in general we seek advisory clients that desire to establish an advisory relationship with us involving at least \$100,000. We work with you to develop and source investments based on your investment objectives, risk tolerance, and other factors to ensure that our investment recommendations are appropriate to you and your investment goals. When you hold investments based on our recommendations, our standard services include monitoring these investments at least quarterly.

We typically manage assets on a non-discretionary basis, meaning we make recommendations regarding investments to you with respect to your investable assets but you, as our advisory client, make the ultimate decision regarding the purchase or sale of investments. For any privately offered pooled investment vehicles that we or our affiliates sponsor and/or manage, we will generally provide advisory services on a discretionary basis, meaning that we would have full investment control to implement the investment strategy of the vehicle without obtaining the consent of investors in the vehicle prior to buying or selling an investment or causing the vehicle to participate in a corporate action. We focus our investment recommendations on privately offered equity and debt securities, and we do not limit our investment recommendations to only investments issued, sponsored, or managed by us or our affiliates or to those of third parties that pay us additional compensation.

For additional information: Please see our [Form ADV, Part 2A brochure](#) (Items 4 and 7).

Conversation Starters. Ask your financial professional:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?**

What fees will I pay?

In general, we charge clients management fees as negotiated specifically with them. If you are subject to these fees, which are payable in advance in quarterly or semi-annual installments, it may differ from that of other clients based on the size and complexity of your investment portfolio. If your advisory contract with us terminates after the commencement of a billing period, the unearned portion of the annual fee will be promptly refunded. The terms of your annual fee are set forth in the advisory services agreement between you and us. Please refer to our [Form ADV, Part 2A](#) brochure for more information. Management fees are based on the amount of assets invested and may create a conflict of interest between us and you because they can encourage us to recommend higher investment amounts.

For some clients, we charge a performance-based fee for our advisory services. We negotiate the terms of this compensation on a case-by-case basis and include such terms in the applicable advisory contract (which may be in the form of a limited partnership agreement or limited liability agreement). Performance-based fees create a conflict of interest between us and you because they can encourage us to recommend riskier assets that might enhance our fees if the investments are profitable.

You are also responsible for all fees and expenses imposed by unaffiliated third parties incurred by or arising in connection with your account and the activity in your account, including without limitation brokerage commissions, fees payable to providers of data aggregation services, legal and accounting fees, consultant and expert advisor fees, research-related fees, costs of due diligence, proxies, underwriting and private placements, interest on debt balances or borrowings, travel and travel-related expenses, costs of accommodations, conference room rentals, meals and attendance at performances, sporting events, and other entertainment, charges imposed directly by an unaffiliated private fund sponsors managing securities selected for your account including transaction fees, management fees, expense reimbursements and profit participation fees (each of which shall be fully

¹ This disclosure is provided to comply with the SEC’s Form CRS disclosure requirements. It does not create or modify any agreement, relationship, or obligation as between Arcadia and you. Please consult your agreements with Arcadia (or its applicable investment management affiliate) for all terms and conditions controlling your account and relationship with us.

² For purposes of this disclosure, the SEC’s rules have defined a “retail investor” as “a natural person, or the legal representative of a such natural person, who seeks to receive or receives services primarily for personal, family or household purposes.”

disclosed in definitive documentation for such securities), certain transfer taxes, wire transfer and electronic fund fees, other fees and taxes on brokerage accounts and securities transactions, fees associated with data aggregation, customized reporting, and related costs, fees and expenses of legal and tax counsel, and expenses incurred in connection with the purchase, holding, or sale of any private investment. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

For additional information: Please see our [Form ADV, Part 2A brochure](#) (Items 2 and 3).

Conversation Starter. Ask your financial professional:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

Here are some examples to help you understand what this means.

- **Performance-based fees:** Performance-based fees create a conflict of interest between us and you because they can encourage us to recommend riskier assets that might enhance our fees if the investments are profitable.
- **Asset-based management fees:** Asset-based management fees can create a conflict of interest between us and you because they can encourage us to recommend higher sized investment commitments.

Conversation Starter. Ask your financial professional:

- **How might your conflicts of interest affect me, and how will you address them? For additional information, please see our [Form ADV, Part 2A brochure](#) (Items 4, 5, 6, and 11).**

How do your financial professionals make money?

Arcadia financial professionals receive a base salary, discretionary bonus as a function of company profitability and, in certain instances, contractual shares of profit-based incentive fees. Company profitability is a function of total asset management fees collected, creating a potential incentive to encourage higher investment levels from its clients. For those compensated by a share of incentive fees, this can create a potential incentive to encourage opportunities with higher volatility.

Do you or your financial professionals have legal or disciplinary history?

No, we don't have any legal or disciplinary history.

Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Conversation Starter. Ask your financial professional:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information

Additional information about Arcadia is available on the SEC's website at <https://adviserinfo.sec.gov/firm/summary/161426> and at www.arcadiainvestments.com. If you would like additional, up-to-date information about our services or a copy of this disclosure, please contact Paula Allamby at pallamby@arcadiainvestments.com or (212) 230-9204. To discuss any of the conversation starters included herein or to review any investment or portfolio management matter, you may contact Josh Nabatian at jnabatian@arcadiainvestments.com or (212) 931-5312.

Conversation Starter. Ask your financial professional:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**