

Client Relationship Summary (CRS) / Form ADV Part 3
Causey Public Finance, LLC
July 24, 2024

Item 1. Introduction

Causey Public Finance, LLC ("CPF," "we," or "us") is registered with the U.S. Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2. Relationships and Services

What Investment Services and Advice Can You Provide Me?

We offer investment advisory services to individuals, municipalities, and institutional clients. These services are offered on a discretionary and non-discretionary basis. For individuals, we provide portfolio management services, constructing portfolios of consisting of mainly fixed-income securities in accordance with the client's risk tolerance and investment objectives. For municipalities and institutional clients, we provide portfolio management services, constructing portfolios of fixed-income securities in accordance with the client's governing bond documents, investment objectives and liquidity needs. CPF's management of your account is guided by your investment objectives (for example, capital appreciation, growth, income, or growth and income) and risk tolerance, among other considerations.

As part of providing investment advisory services, we may trade (or recommend that the client trade) corporate debt securities, commercial paper, certificates of deposit, municipal securities, U.S. Government and agency securities, money market funds, and work to procure structured products. [Whether you receive advice regarding a broad range of investments or a limited range of investments will depend on your choice of account type, strategy, and/or model.] We monitor client portfolios on an ongoing basis and make or recommend adjustments consistent with client investment objectives and goals. For discretionary accounts, you give CPF the authority to make trades when we feel it is appropriate, without consulting with you in advance. For non-discretionary accounts, you must give CPF specific approval before we make any trades for your account.

For more information about our investment advisory services, please see Items 4, 5, 7, 8, 13 and 16 of our Form ADV, Part 2A, which can be accessed following this link <https://adviserinfo.sec.gov/firm/brochure/CRD>.

Conversation Starter - Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3. Fees, Costs, Conflicts and Standard of Conduct

What Fees Will I Pay?

CPF fees for discretionary and non-discretionary accounts are charged a fee that is a percentage of the assets in your account (an asset-based fee). Alternatively, we charge a flat fee in certain instances, where appropriate. The asset-based fee is calculated and assessed quarterly. With respect to the asset-based fee, the more assets you have under management, the more you will pay in total fees. We therefore have an incentive to encourage you to increase the assets in your account, as that will increase our fee.

In addition to fees paid to CPF, you will incur costs related to the investments in your account such as the fees and expenses charged to investors by mutual funds, variable annuities, exchange traded funds, and other types of securities. Depending on the [type of account and the] investment advisory services CPF provides, you may also incur investment platform administration fees, management fees for services provided by a third-party manager, custodian fees, account maintenance fees, transaction costs, and other related fees and expenses.

You will pay fees and costs whether you make or lose money on your investments, and even if there is no trading in your account. Fees and costs will reduce the amount of money on your investment(s) over time. Please make sure you understand what fees and costs you are paying. For additional information on fees and costs, please see Items 4 and 5 of CPF's Form ADV, Part 2A.

Conversation Starter - Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest of you have?

When we act as your investment adviser, we have to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts of interest with your interests. You should understand and ask about these conflicts because they can affect the investment advice we provide you. For example, we recognize the way we charge fees may create a conflict with your own interests. We want you to understand and never hesitate to ask us about this conflict.

Conversation Starter - How might your conflicts of interest affect me and how will you address them?

For more information about our conflicts of interest, please see Items 4 and 8 of our Form ADV, Part 2A.

How Do Your Financial Professionals Make Money?

Our financial professionals are generally compensated with a base salary plus a [discretionary] cash bonus based solely on their [individual] performance and not based on any account's investment performance, or CPF's performance.

Item 4. Disciplinary History

Do You or Your Financial Professionals Have Legal or Disciplinary History?

No. Neither CPF nor any of its financial professionals has a legal or disciplinary history. Please visit www.Investor.gov/CRS for a free and simple search tool to research CPF and our financial professionals.

Conversation Starters - As a financial professional, do you have any disciplinary history? For what type of conduct?

Please visit www.Investor.gov/CRS for a free and simple search tool to research Causey Public Finance, LLC and our financial professionals who deliver advice directly to clients.

Conversation Starter - Who is my primary contact person? Is he or she a representative of an adviser or a broker/dealer? Who can I talk to if I have concerns about how this person is treating me?

You can request additional information about CPF and/or request a copy of this relationship summary by visiting www.causeypf.com or contacting us at (303) 518-3825.