

**Rockbridge Capital Management, LLC**  
**Form CRS – Client Relationship Summary**

July 2024

**Item 1 – Introduction**

Rockbridge Capital Management, LLC (“Rockbridge,” “we,” or “us”) is an investment adviser registered with the U.S. Securities and Exchange Commission (“SEC”). Brokerage and investment advisory services and fees differ and it is important for retail investors to understand the differences. The SEC provides free educational materials about investment advisers, brokerage services and investing as well as offers simple tools to help you research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS).

**Item 2 – Relationships and Services**

***What investment services and advice can you provide me?***

We offer investment advisory services to retail investors through separately managed accounts (“SMAs”) on a discretionary basis. These investment advisory services include, but are not limited to, the selection of securities for purchase or sale and the placement of orders for securities transactions. Generally, Rockbridge’s services are not limited to a certain type of product or investment.

As a part of our standard service set, we will monitor your portfolio, investment strategy, and investments on an ongoing basis. The minimum required to open an account is \$5,000,000.

For additional information regarding our services, please refer to our Items 4 and 7 within our Form ADV Part 2A Brochure.

**Conversation Starters:**

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

**Item 3 – Fees, Costs, Conflicts, and Standard of Conduct**

***What fees will I pay?***

For our services, we charge an annual management fee of 1% of the assets under management in your account, paid quarterly and in arrears. Additionally, you may also incur certain charges imposed by other third parties, such as broker-dealers, custodians, trust companies, banks and other financial institutions. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. The more assets in your account, the more you will pay which creates an incentive for us to encourage you to increase assets in your account. Please make sure you understand what fees and costs you are paying. For additional information regarding our fees, please refer to Item 5 of our Form ADV Part 2A Brochure. Your specific fee schedule can be found in your investment management agreement.

**Conversation Starters:**

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

***What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?***

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. For example, we have an incentive to encourage you to increase the assets in your account because that will increase the management fee. We address conflicts, such as this, by actively monitoring your accounts and ensuring your needs are put ahead of ours.

Read more about our risks in Item 8 in our ADV Brochure, and conflicts of interest and how we manage them in Item 12.

***How do your financial professionals make money?***

Rockbridge's financial professionals are compensated from the fees charged to its clients. Rockbridge has no other business relationships with third party advisers that will create a material conflict of interest. Typically, our financial professionals receive a compensation package consisting of a base salary and discretionary bonus. Payment of incentive compensation, which is a component of our employee's discretionary bonus, may create a conflict of interest for your financial professional. We have implemented internal controls to address the potential conflicts associated with performance-based fees.

**Item 4 – Disciplinary History**

***Do you or your financial professionals have a legal or disciplinary history?***

No. For a free and simple search tool to research Rockbridge and its financial professionals, please visit [www.investor.gov/CRS](http://www.investor.gov/CRS) to learn more.

**Conversation Starters:**

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

**Item 5 – Additional Information**

Additional information about our investment advisory services and a copy of our Form CRS can be obtained by contacting us at (703) 628-1036.

**Conversation Starters:**

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*