



Customer Relationship Summary

We are El Castillo Investments LLC, registered with the Securities and Exchange Commission as an investment advisor. Our firm does not operate as a broker-dealer or have a pending broker-dealer registration request.

Item 1: Introduction

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: What investment services and advice can you provide me?

We offer both brokerage services and investment advisory services. For investors participating in our investment group, we manage funds directly and charge a 2% annual fee on Assets Under Management, as well as a 20% profit fee from the sale of trading securities. For advisory services, we help clients manage their own portfolios with a 2% annual fee based on the assets being advised. More detailed information about our services can be found in our Form ADV Part 2A brochure.

Monitoring: We monitor assets daily during U.S. stock market hours. Clients can contact us with questions during business hours (09:30 AM to 04:30 PM, Monday to Friday).

Investment Authority: We hold complete investment authority for assets under our management and make all buying and selling decisions.

Limited Investment Offering: Our investment advice is available only through our memberships.

Account Minimums and Other Requirements: A minimum investment of \$200.00 is required to join our investment group.

Item 3: Fees, Costs, Conflicts, and Standard of Conduct

A. What fees will I pay?

Clients pay a 2% annual fee for our services. Additionally, we charge a 20% fee on the profits from the sale of trading securities. There is also a 2% withdrawal fee on the amount being withdrawn for investment group members. For advisory clients, the fee is 2% annually on the assets being advised. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Make sure you understand what fees and costs you are paying. For more detailed information on our fees and conflicts of interest, please refer to our Form ADV Part 2A brochure.



Questions to Ask:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?

B. What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

Conflicts of Interest:

- Our firm retains all proceeds from selling covered calls on the assets we hold, which creates a financial benefit for us.

Questions to Ask:

- How might your conflicts of interest affect me, and how will you address them?

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history? No.
For additional information about our disciplinary history, visit
[Investor.gov/CRS](https://www.investor.gov/crs).

Questions to Ask:

- As a financial professional, do you have any disciplinary history?
- For what type of conduct?

Item 5: Additional Information

For more information about our investment advisory or brokerage services, email us at
information@elcastilloinvestments.com.

Questions to Ask:

- Who is my primary contact person?
- Are they a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?