

Client Relationship Summary “Form CRS” – July 2024

Introduction

ArbAlpha Capital, LLC (“**ArbAlpha**”) is registered with the Securities and Exchange Commission as an investment adviser based in the state of Florida.

Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

We will offer financial planning, consulting, and investment supervisory services on managed investment accounts to individuals, trusts, and estates. We will meet with you to identify and discuss your financial goals, financial needs and the level of investment risk that you are willing to bear. We will then help you build a portfolio of investments utilizing mutual funds, exchange traded funds (“**ETFs**”), stocks, bonds, and possibly other investments, that can help you meet those goals and financial needs. You can authorize us with discretion to trade your account and execute buy and sell trades on your behalf. We can also discuss recommended trades with you, and then you can decide whether or not to execute the trades.

As part of the standard services ArbAlpha provides, ArbAlpha monitors the investments in your account on an ongoing basis, and specifically reviews your account quarterly to make sure the investments ArbAlpha recommends to you are meeting your financial goals. Additional information can be found by reading Items 4, 5, 8, 12, and 13 in our Form ADV 2A Brochure.

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses and other qualifications? What do these qualifications mean?

What fees will I pay?

ArbAlpha has a minimum account size of \$100,000. ArbAlpha annual management fee is generally up to 1.25% of client assets under management but may vary. ArbAlpha the fee will be based on the market value of the account on the last day of the calendar quarter and will cover the period from the first day of the current calendar quarter through the last day of the current calendar quarter. Because ArbAlpha is paid based on the assets in its clients’ accounts, there is an inherent incentive for ArbAlpha to encourage a client to increase the assets under management in their account to earn higher fees. Additional information can be found by reading Items 4 and 5 in our Form ADV 2A Brochure.

Description of Other Fees and Costs

You will be charged additional fees and/or expenses by third parties that include, but are not limited to, custodial fees, brokerage commissions, mutual fund fees, and transaction fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Additional information is available by reading Items 5, 12 and 15 of our Form ADV 2A [Brochure](#).

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Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest, and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what that means:

ArbAlpha has many clients, which means that our financial professionals may have a conflict in allocating time and investments to different clients. To address this conflict, ArbAlpha has procedures in place to monitor client portfolios.

*How might your conflicts of interest affect me and how will you address them?
How do your financial professionals make money?*

ArbAlpha and our financial professionals make money by contracting with new clients and increasing assets under management. Victor and Bruno Costa also manage venture funds under Limited Ventures and its underlying group of companies. To avoid any potential conflict of interest, ArbAlpha Capital LLC will not invest any client’s assets in these funds without additional disclosure.

Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No, ArbAlpha and our financial professionals do not have any legal or disciplinary history to report. Additional information can be found in Item 9 in our Form ADV Part 2A [Brochure](#). Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research ArbAlpha and our financial professionals.

As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

*Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
Who can I talk to if I have concerns about how this person is treating me?*

Please contact us at (786) 374-2620 or by email at CCO@arbalpha.com or for additional information about our investment advisory services or to request a copy of this relationship summary.